

The Governor's
Budget
Report

Volume 2

Agency Detail

Fiscal Year 2003

Division of the Budget

The following budget staff prepared the information in the budget documents. Please feel free to contact the budget analysts regarding further details about their designated agencies.

Duane Goossen, Director

Jeff Arpin, Senior Analyst

- Kansas Dental Board
- Department of Corrections
- Lansing Correctional Facility
- El Dorado Correctional Facility
- Hutchinson Correctional Facility
- Topeka Correctional Facility
- Kansas Parole Board
- Kansas Sentencing Commission
- Board of Mortuary Arts
- Kansas Public Employees Retirement System

Keith Bradshaw, Budget Analyst

- Department of Agriculture
- Animal Health Department
- Kansas Water Office
- Ellsworth Correctional Facility
- Norton Correctional Facility
- Larned Correctional Facility
- Winfield Correctional Facility
- Ombudsman for Corrections

Scott Brunner, Senior Analyst

- Department of Social & Rehabilitation Services

Stephanie Buchanan, Budget Analyst

- Department of Commerce & Housing
- Kansas Racing & Gaming Commission
- Kansas State Lottery
- Kansas Technology Enterprise Corporation
- Kansas, Inc.
- Board of Healing Arts
- Health Care Stabilization Fund Board of Governors

Louis Chabira, Deputy Director

- Department of Administration
- Office of the Governor
- Office of the Lieutenant Governor

Cindy Denton, Principal Analyst
University of Kansas
University of Kansas Medical Center
Kansas State University
Kansas State University—Extension Systems & Agriculture Research Programs
Kansas State University—Veterinary Medical Center
Wichita State University
Board of Regents
Board of Examiners in Optometry

Aaron Dunkel, Budget Analyst
Department of Transportation
Highway Patrol
Board of Barbering
Board of Cosmetology

Ann Durkes, Senior Analyst
Kansas Corporation Commission
Citizens' Utility Ratepayer Board
Hearing Aid Board of Examiners
Department of Wildlife & Parks

Elaine Frisbie, Principal Analyst
Department of Education
Legislative Coordinating Council
Legislative Division of Post Audit
Legislative Research Department
Legislature
Revisor of Statutes
Historical Society
Board of Accountancy

Melinda Gaul, Budget Analyst
Juvenile Justice Authority
Juvenile Correctional Facilities at Atchison, Beloit, Larned, and Topeka
Kansas Human Rights Commission
Insurance Department
Board of Nursing

Vicki Helsel, Senior Analyst
Emporia State University
Pittsburg State University
Fort Hays State University
Department of Health & Environment
Behavioral Sciences Regulatory Board

Kyle Kessler, Budget Analyst
Board of Tax Appeals
Department of Revenue
Homestead Property Tax Refunds
Real Estate Appraisal Board
Real Estate Commission

Kyle Kessler, Budget Analyst (Continued)
Abstracters' Board of Examiners
Attorney General
Judiciary
Judicial Council
Board of Technical Professions

Jin Liu, Budget Analyst
Adjutant General
Kansas Bureau of Investigation
Banking Department
Emergency Medical Services Board
Securities Commissioner
Department of Credit Unions
Kansas Neurological Institute
Larned State Hospital
Osawatomie State Hospital
Parsons State Hospital & Training Center
Rainbow Mental Health Facility

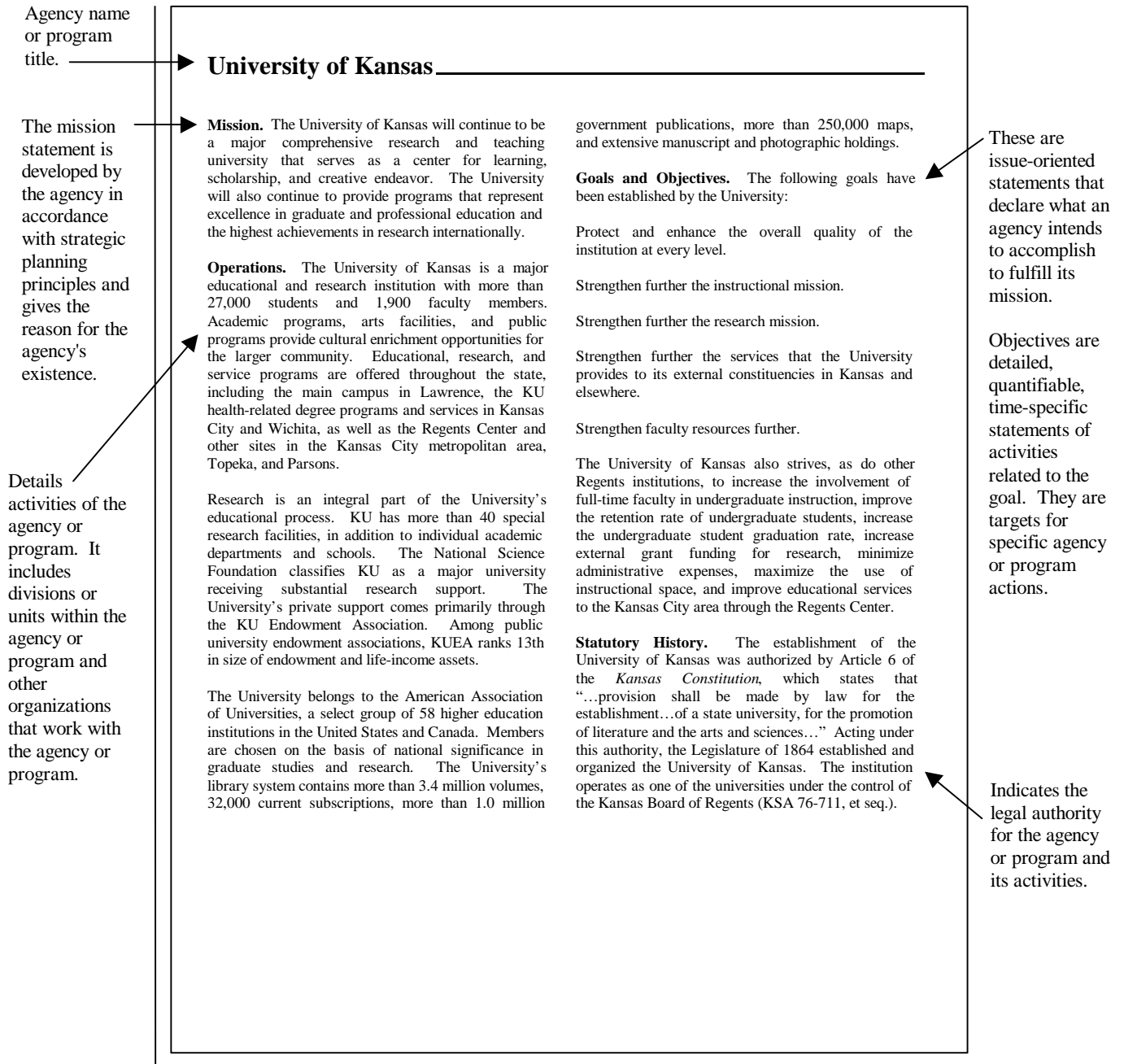
Bill Schafer, Budget Analyst
Department of Human Resources
State Library
School for the Blind
School for the Deaf
Kansas Arts Commission
Kansas State Fair
State Conservation Commission

Julie Thomas, Senior Analyst
Secretary of State
State Treasurer
Commission on Veterans' Affairs
Board of Veterinary Examiners
Board of Pharmacy
Department on Aging

Greg Tugman, Principal Analyst
Guardianship
State Fire Marshal
Board of Indigents' Defense
Governmental Ethics Commission

Sandy Russell, Administrative Officer
Shelly Dechand, Administrative Support
Colleen Taylor, Administrative Support

How to Use this Report



How to Use this Report

Reflects expenditures by program. Program pages do not contain this information.

Details expenditure information about the agency by program. Includes the actual year, current year, the current service request, enhancements, and the Governor's recommendation. Expenditures are divided by both objects of expenditure and by funding.

Non-expense items are shown for illustration but are not reported in state budget totals.

Total number of positions in the agency or program, representing the sum of FTE and Non-FTE Unclassified Permanent positions.

| University of Kansas | | | | | |
|---|----------------------|--------------------------|------------------------|-------------------------|----------------------|
| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
| Expenditures by Program | | | | | |
| Institutional Support | 26,284,991 | 34,655,023 | 34,909,413 | -- | 34,909,413 |
| Instruction | 153,305,332 | 148,146,367 | 144,716,197 | 1,703,254 | 139,497,794 |
| Academic Support | 40,246,492 | 39,603,678 | 39,895,700 | -- | 39,895,700 |
| Student Services | 20,356,868 | 18,717,353 | 18,841,388 | -- | 18,841,388 |
| Research | 42,332,635 | 31,896,783 | 32,155,734 | -- | 31,909,841 |
| Public Service | 6,349,946 | 3,874,516 | 3,906,045 | -- | 3,906,045 |
| Scholarships & Fellowships | 87,730,934 | 88,846,296 | 88,897,687 | -- | 88,897,687 |
| Auxiliaries | 33,541,073 | 30,482,955 | 30,136,223 | -- | 30,136,223 |
| Physical Plant | 30,128,483 | 29,470,983 | 29,653,620 | -- | 29,653,620 |
| Debt Service | 3,634,354 | 4,828,583 | 4,181,303 | -- | 4,181,303 |
| Capital Improvements | 16,142,240 | 18,156,330 | 252,000 | 9,140,000 | 252,000 |
| Total Expenditures | \$460,053,348 | \$448,678,867 | \$427,545,310 | \$10,843,254 | \$422,081,014 |
| Expenditures by Object | | | | | |
| Salaries and Wages | 253,480,697 | 254,753,308 | 257,382,306 | -- | 257,382,306 |
| Contractual Services | 53,976,829 | 56,344,385 | 53,222,640 | -- | 47,758,344 |
| Commodities | 18,952,186 | 18,153,651 | 17,108,647 | 1,703,254 | 17,108,647 |
| Capital Outlay | 11,435,949 | 10,241,608 | 9,146,412 | -- | 9,146,412 |
| Debt Service | 1,662,548 | 1,678,583 | 1,646,303 | -- | 1,646,303 |
| Subtotal: State Operations | \$339,508,209 | \$341,171,535 | \$338,506,308 | \$1,703,254 | \$333,042,012 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | 91,249,962 | 86,201,002 | 86,252,002 | -- | 86,252,002 |
| Subtotal: Operating Expenditures | \$430,758,171 | \$427,372,537 | \$424,758,310 | \$1,703,254 | \$419,294,014 |
| Capital Improvements | 13,328,752 | 21,306,330 | 2,787,000 | 9,140,000 | 2,787,000 |
| Total Reportable Expenditures | \$444,086,923 | \$448,678,867 | \$427,545,310 | \$10,843,254 | \$422,081,014 |
| Non-expense Items | 15,966,425 | -- | -- | -- | -- |
| Total Expenditures | \$460,053,348 | \$448,678,867 | \$427,545,310 | \$10,843,254 | \$422,081,014 |
| Expenditures by Fund | | | | | |
| State General Fund | 134,292,779 | 138,687,727 | 138,687,727 | 5,843,254 | 133,223,431 |
| Water Plan Fund | -- | 50,000 | 50,000 | -- | 50,000 |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | 1,706,063 | 5,709,047 | -- | -- | -- |
| Other Funds | 324,054,506 | 304,232,093 | 288,807,583 | 5,000,000 | 288,807,583 |
| Total Expenditures | \$460,053,348 | \$448,678,867 | \$427,545,310 | \$10,843,254 | \$422,081,014 |
| FTE Positions | | | | | |
| FTE Positions | 4,485.1 | 4,489.7 | 4,486.5 | -- | 4,486.5 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 4,485.1 | 4,489.7 | 4,486.5 | -- | 4,486.5 |
| Performance Measures | | | | | |
| | | | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
| Five-year graduation rate (cohorts for fall 1995, 1996, 1997) | | | 51.0 % | 51.0 % | 51.0 % |
| Percent of credit hours taught by tenured/tenure track faculty (fall 2000, 2001, 2002) | | | 56.1 % | 59.0 % | 60.0 % |
| Percent of expenditures for institutional support | | | 6.6 % | 6.6 % | 6.6 % |

Performance measures are outcome and output statements that measure agency or program objectives. They are used to aid in determining whether the agency or program is achieving its objectives, reaching its goals, and ultimately accomplishing its mission. They are based on the Governor's recommendations.

Department of Health & Environment

Mission. The mission of the Department of Health and Environment is to protect and promote the health of Kansans by providing community and personal health services, ensuring adequate sanitary conditions in public facilities and health facilities, and regulating and promoting environmental quality.

Operations. The Department of Health and Environment is a cabinet-level agency administered by a Secretary appointed by the Governor. The Division of Health administers a variety of public health services and regulatory programs, including services for children with special health care needs, health promotion, family and community health services, and inspection of food and lodging facilities, adult care

homes, and child care facilities. The Division of Environment administers several state and federal environmental laws that regulate water and air quality, control waste management services, remediate environmental hazards, and monitor and license radioactive materials. Agency-wide support services are provided both by the Health and Environmental Laboratory as well as the Center for Health and Environmental Statistics.

Statutory History. The Kansas Department of Health and Environment was created by Executive Reorganization Order No. 3 in 1974. Its primary statutory responsibilities are contained in Chapter 65 of the *Kansas Statutes Annotated*.

Administration

Operations. The Administration Program provides the central management and administrative support functions to the Department. The Administration Program includes Internal Management, Legal Services, Administrative Appeals, Legislative Policy and Research, the Personnel Services Office, and the Public Information Office.

Internal Management provides central management and administrative support services to all programs in the Department. Central Management services include budget development, grant management, maintenance of accounts and reports, and execution of all financial transactions. Important administrative support services include purchasing, auditing out-of-state travel requests, managing office space, and filing financial reports with the federal government and the Department of Administration. Legislative Policy and Research makes recommendations to the secretary concerning agency policies.

Information Services coordinates the collection, storage, processing, and dissemination of data for the Department and provides support and training on computerized systems and programs to Department staff. Legal Services supports the Department in the enforcement of health and environmental laws and regulations. Administrative Appeals conducts hearings to review disputed interpretations of law and regulations.

The Personnel Services Office manages all Department personnel transactions, such as recruitment and selection, employment management, benefit administration, classification, examination and certification of qualified candidates, employee relations, and EEO and affirmative action program implementation.

The Public Information Office supplies written, audio, and visual communications services to convey the Department's objectives to multiple audiences.

Goals and Objectives. The Administration Program provides guidance to the Department's programs and assures the overall effectiveness of the Department in fulfilling its mission. Administration will work toward this goal through the following objectives:

Initiate fair and effective application of all regulatory powers of the agency.

Provide a comprehensive fiscal plan.

Administer the Employee Relations and Benefits Program for all employees by maintaining a positive system of orientation, counseling assistance, and interpretation of policy.

Coordinate legislative and budgetary initiatives.

Provide user-friendly automated systems, tools, and training to enable staff to utilize data effectively in meeting objectives.

Supply professional and communications services to convey effectively departmental program content and benefits to professionals, program participants, legislators, the media, and the public.

Statutory History. The Department of Health and Environment was created by Executive Reorganization Order No. 3 of 1974. KSA 75-5601 et seq. provide general authority for the Department.

Administration

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|--------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 4,697,526 | 4,878,877 | 4,872,087 | 150,000 | 4,907,859 |
| Contractual Services | 1,992,169 | 5,725,879 | 5,481,148 | -- | 5,423,386 |
| Commodities | 190,042 | 290,500 | 295,000 | -- | 295,000 |
| Capital Outlay | 204,456 | -- | -- | -- | -- |
| Debt Service | -- | -- | 252,052 | -- | 252,052 |
| Subtotal: State Operations | \$7,084,193 | \$10,895,256 | \$10,900,287 | \$150,000 | \$10,878,297 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$7,084,193 | \$10,895,256 | \$10,900,287 | \$150,000 | \$10,878,297 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$7,084,193 | \$10,895,256 | \$10,900,287 | \$150,000 | \$10,878,297 |
| Non-expense Items | -- | -- | -- | -- | -- |
| Total Expenditures | \$7,084,193 | \$10,895,256 | \$10,900,287 | \$150,000 | \$10,878,297 |
| Expenditures by Fund | | | | | |
| State General Fund | 3,593,862 | 4,071,921 | 4,330,145 | 841,098 | 4,275,330 |
| Water Plan Fund | 22,294 | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 3,468,037 | 6,823,335 | 6,570,142 | 691,098 | 6,602,967 |
| Total Expenditures | \$7,084,193 | \$10,895,256 | \$10,900,287 | \$150,000 | \$10,878,297 |
| FTE Positions | 91.5 | 103.0 | 103.0 | -- | 103.0 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 91.5 | 103.0 | 103.0 | -- | 103.0 |

| Performance Measures | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|---|-------------------|---------------------|---------------------|
| Percent of staff trained in and utilizing current tools | 25.0 % | 25.0 % | 25.0 % |
| Percent of new and reengineered systems developed with current technology | 25.0 % | 50.0 % | 50.0 % |

Center for Health & Environmental Statistics

Operations. The Center for Health and Environmental Statistics is a data and information support group for the entire Department. The primary responsibilities of the Center are data and information acquisition, analysis, distribution, publication, and collection. The Center also certifies and disseminates vital records; establishes and maintains an integrated health care data system; and develops statistical and informational reports and publications for the public, policymakers, and program managers.

The Center includes an Office of Vital Statistics that is responsible for the civil registration process for the State of Kansas. The Office registers and provides permanent storage of birth, delayed birth, death, fetal death, marriage, divorce, and induced termination of pregnancy records. It also provides certified copies of vital records to the public.

The Center also includes the Office of Health Care Information. This Office collects and analyzes health data which it converts into usable information for health program management and policy development. It is charged with developing a health care database for the state, analyzing and publishing vital statistics data, surveying business for occupational injuries, and serving as the statistical agent for the Kansas Insurance Department.

Goals and Objectives. The goal of the Center for Health and Environmental Statistics is to provide vital records service and relevant statistical data and information to the agency, the public, policymakers,

program managers, and researchers. To achieve this goal, the Center pursues the following objectives:

File all State of Kansas vital statistics in accordance with state statutes and provide vital records services to citizens in accordance with the Vital Statistics Act.

Ensure that data meet high standards of quality control and that accurate, complete, and timely information is available for federal, state, and local researchers, policymakers, program managers, and the public.

Maintain a population-based health care database to ensure data are accessible to policymakers, health care providers, program managers, researchers, and the public.

Coordinate access to data maintained in the Center so that agency staff can use them to meet program objectives effectively.

Develop a health decision support system for the Insurance Department's statistical plan.

Statutory History. KSA 2001 Supp. 65-2401 et seq. provide the statutory authority for the Office of Vital Statistics. Authority for the Department of Health and Environment to develop a health care database is found in KSA 2001 Supp. 65-6801 et seq. KSA 2001 Supp. 40-2251 authorizes the development of an information system for the Insurance Department.

Center for Health & Environmental Statistics

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|--------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 1,955,935 | 2,211,481 | 2,220,116 | -- | 2,232,646 |
| Contractual Services | 1,189,337 | 564,365 | 568,067 | -- | 555,332 |
| Commodities | 80,653 | 68,123 | 68,670 | -- | 68,670 |
| Capital Outlay | 84,535 | 7,100 | 7,100 | -- | 7,100 |
| Debt Service | -- | 528,915 | 530,995 | -- | 530,995 |
| Subtotal: State Operations | \$3,310,460 | \$3,379,984 | \$3,394,948 | \$ -- | \$3,394,743 |
| Aid to Local Governments | 240,613 | 242,000 | 239,000 | -- | 239,000 |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$3,551,073 | \$3,621,984 | \$3,633,948 | \$ -- | \$3,633,743 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$3,551,073 | \$3,621,984 | \$3,633,948 | \$ -- | \$3,633,743 |
| Non-expense Items | -- | -- | -- | -- | -- |
| Total Expenditures | \$3,551,073 | \$3,621,984 | \$3,633,948 | \$ -- | \$3,633,743 |
| Expenditures by Fund | | | | | |
| State General Fund | 2,231,272 | 1,860,380 | 1,869,054 | -- | 1,852,665 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 1,319,801 | 1,761,604 | 1,764,894 | -- | 1,781,078 |
| Total Expenditures | \$3,551,073 | \$3,621,984 | \$3,633,948 | \$ -- | \$3,633,743 |
| FTE Positions | 50.5 | 65.5 | 65.5 | -- | 65.5 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 50.5 | 65.5 | 65.5 | -- | 65.5 |

| Performance Measures | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|-----------------------------|-------------------|---------------------|---------------------|
| Number of births registered | 40,193 | 38,700 | 37,900 |
| Number of deaths registered | 24,304 | 24,200 | 23,900 |

Director of Health

Operations. The Office of the Director of Health provides strategic direction to the Division of Health. It also coordinates the internal efforts of the Division and its external partners, providing it with fiscal and program infrastructures to achieve its goals. It includes the Office of Local and Rural Health and the Governor's Public Health Improvement Commission.

The Office of Local and Rural Health assists communities with health assessment and development of needed services; assists local health departments with the development of a capability to promote core public health services; and assures that basic personal health care services are available to all Kansans. The Office focuses on three areas: Rural Health, Primary Care, and Local Public Health. It is also responsible for development of a statewide Trauma System Plan.

The Governor's Public Health Improvement Commission is composed of nine individuals appointed by the Governor to assess the existing public health system and develop recommendations to allow that system to meet current and future health challenges in Kansas. The assessment and planning process was funded by the Robert Wood Johnson and Kansas Health Foundations.

Goals and Objectives. The goal of the Office is to achieve optimum health conditions for the citizens of Kansas. To achieve this goal, the Office pursues the following objectives:

Conduct surveillance, policy and program development, and statewide assessment

functions to determine and monitor the health status of Kansans.

Devise effective interventions and set accountable measures of progress.

Assist communities in efforts to provide public health, primary care, and prevention services.

Provide a comprehensive delivery system of services available to children with, or at risk for, developmental delays from birth through age five.

Statutory History. Authorization for these programs is contained in Chapter 65 of the *Kansas Statutes Annotated*. KSA 65-101 requires the Secretary of Health and Environment to investigate the causes of disease and provides authority to make and enforce administrative regulations establishing isolation of cases, quarantine of contact, and other means to prevent the introduction and spread of disease into and within the state.

KSA 65-128 requires the Department to designate those diseases that are infectious or contagious and to adopt administrative regulations for their control. KSA 2001 Supp. 65-118 requires physicians and others to report these diseases to the Department. KSA 65-116 mandates tuberculosis control programs by state and local health offices. KSA 2001 Supp. 75-5665 requires the Department to develop a statewide Trauma System Plan.

Director of Health

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|--------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 983,321 | 1,190,183 | 1,211,003 | 126,236 | 1,226,001 |
| Contractual Services | 1,296,565 | 1,480,341 | 1,542,334 | 100,000 | 1,526,336 |
| Commodities | 83,201 | 57,432 | 57,432 | -- | 57,432 |
| Capital Outlay | 45,662 | 12,243 | 12,243 | -- | 12,243 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$2,408,749 | \$2,740,199 | \$2,823,012 | \$226,236 | \$2,822,012 |
| Aid to Local Governments | 3,816,121 | 3,943,781 | 3,943,781 | 1,250,000 | 3,943,781 |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$6,224,870 | \$6,683,980 | \$6,766,793 | \$1,476,236 | \$6,765,793 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$6,224,870 | \$6,683,980 | \$6,766,793 | \$1,476,236 | \$6,765,793 |
| Non-expense Items | 56,000 | -- | -- | -- | -- |
| Total Expenditures | \$6,280,870 | \$6,683,980 | \$6,766,793 | \$1,476,236 | \$6,765,793 |
| Expenditures by Fund | | | | | |
| State General Fund | 4,325,596 | 4,501,323 | 4,522,147 | 1,376,236 | 4,515,304 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 1,955,274 | 2,182,657 | 2,244,646 | 100,000 | 2,250,489 |
| Total Expenditures | \$6,280,870 | \$6,683,980 | \$6,766,793 | \$1,476,236 | \$6,765,793 |
| FTE Positions | 17.0 | 23.0 | 23.0 | 2.0 | 23.0 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 17.0 | 23.0 | 23.0 | 2.0 | 23.0 |

| Performance Measures | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|---|-------------------|---------------------|---------------------|
| Number of enrolled farmworkers served | 2,750 | 3,000 | 3,100 |
| Percent of health assessments successfully completed within 60 days | 90.0 % | 90.0 % | 90.0 % |

Epidemiology & Disease Prevention

Operations. The Bureau of Epidemiology and Disease Prevention responds to short-term and long-term health problems in the state. Programs are conducted in response to the state's leading health problems associated with communicable diseases. The Bureau supports programs that assess public health problems and develops appropriate medical and administrative measures to prevent outbreaks of disease by applying an epidemiological process that studies worldwide geographic and population trends in the incidence of disease.

The Bureau identifies cases of communicable diseases and develops control measures to prevent additional cases. Continued surveillance is maintained for infectious diseases, including AIDS/HIV, hepatitis, rabies, measles, aseptic meningitis, rocky mountain spotted fever, sexually transmitted diseases, and tuberculosis.

Federal and state funds are used to purchase vaccines for use in clinics operated by local health departments and private providers. Technical assistance is provided to communities. This assistance is intended to further local abilities to interpret health data and to

evaluate the effect of intervention on the community's health.

Goals and Objectives. The Bureau's primary goal is to improve the health of Kansans by reducing the incidence of death and disability from infectious diseases. To achieve this goal, the Bureau pursues the following objectives:

Perform epidemiological investigations of priority health issues related to chronic and communicable diseases and injuries.

Maintain continuity of therapy on selected communicable disease cases, provide preventive therapy for priority infected persons, and assure medical follow-up on identifiable contacts.

Statutory History. Authorization for many of these programs is contained in Chapter 65 of the *Kansas Statutes Annotated*. KSA 72-5209 et seq. require immunization of school children. KSA 2001 Supp. 65-6001 et seq. require and outline HIV infection, AIDS, and Hepatitis B reporting responsibilities.

Epidemiology & Disease Prevention

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|---------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 2,007,091 | 2,236,585 | 2,259,251 | -- | 2,296,861 |
| Contractual Services | 2,346,529 | 2,291,126 | 2,544,617 | -- | 2,460,657 |
| Commodities | 4,162,950 | 5,555,194 | 5,851,334 | 1,176,166 | 6,251,334 |
| Capital Outlay | 65,800 | 72,505 | 632,212 | -- | 632,212 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$8,582,370 | \$10,155,410 | \$11,287,414 | \$1,176,166 | \$11,641,064 |
| Aid to Local Governments | 2,724,034 | 2,147,759 | 2,136,896 | -- | 2,136,896 |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$11,306,404 | \$12,303,169 | \$13,424,310 | \$1,176,166 | \$13,777,960 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$11,306,404 | \$12,303,169 | \$13,424,310 | \$1,176,166 | \$13,777,960 |
| Non-expense Items | 24,823 | -- | -- | -- | -- |
| Total Expenditures | \$11,331,227 | \$12,303,169 | \$13,424,310 | \$1,176,166 | \$13,777,960 |
| Expenditures by Fund | | | | | |
| State General Fund | 2,199,760 | 2,876,975 | 2,116,624 | 1,176,166 | 2,443,399 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 9,131,467 | 9,426,194 | 11,307,686 | -- | 11,334,561 |
| Total Expenditures | \$11,331,227 | \$12,303,169 | \$13,424,310 | \$1,176,166 | \$13,777,960 |
| FTE Positions | 31.0 | 52.0 | 52.0 | -- | 52.0 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 31.0 | 52.0 | 52.0 | -- | 52.0 |

| Performance Measures | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|--|-------------------|---------------------|---------------------|
| Number of communicable disease case reports sent to the CDC | 2,450 | 2,500 | 2,800 |
| Number of organizations receiving epidemiologic assistance | 25 | 30 | 30 |
| Percent of active tuberculosis cases maintained on continued therapy | 81.0 % | 88.0 % | 95.0 % |
| Number of persons receiving drugs for treatment of suspected or confirmed TB disease | 117 | 120 | 120 |
| Number of clients receiving AIDS drug assistance through KDHE | 358 | 422 | 500 |

Health Facility Regulation

Operations. The Bureau of Health Facilities Regulation inspects and licenses various health care facilities in order to protect citizens. Health Facilities develops and enforces regulations related to such health facilities as hospitals, nursing homes, home health agencies, ambulatory surgical centers, and other health care providers. Field staff documents compliance with state regulations and federal certification standards through surveys of health facilities. The Bureau also investigates allegations of abuse, neglect, or exploitation of facility residents. By court order, the agency may assume temporary management of a nursing home.

The Bureau includes the Health Occupations Credentialing Program which reviews and approves education and training courses; administers examinations for certification of nurse aides, medication aides, and home health aides; and licenses adult care home administrators. Its staff conducts the research necessary to make recommendations to the Governor and Legislature concerning licensure law for health care as an occupation.

Goals and Objectives. The Bureau of Health Facilities ensures that Kansas citizens receive adequate and responsive care and services from facilities and personnel regulated by the Bureau's programs. The

Bureau has established the following objectives to achieve its goal:

Assure that an acceptable level of care and treatment is provided in a safe, sanitary, and functionally adequate environment by Kansas adult care homes, medical care facilities, home health agencies, mental health and mental retardation facilities, and certain other health care providers.

Protect the public against health care workers who are untrained, unethical, unprofessional, or abusive.

Statutory History. The authority to license and regulate adult care homes is in KSA 39-923 et seq. The authority to license medical care facilities is contained in KSA 65-425. The licensure of adult care home administrators is authorized by KSA 2001 Supp. 65-3501 et seq. The Nurse Aide Training Program is authorized by KSA 2001 Supp. 39-936. KSA 65-1,120 and KSA 65-1,121 authorize the certification of medication aides. The Receivership Program is authorized by KSA 39-954 et seq. The credentialing authority is found in KSA 65-5001 et seq. The Home Health Agencies Licensure Program is mandated by KSA 65-5101 et seq.

Health Facility Regulation

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|--------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 5,396,786 | 5,525,572 | 5,577,835 | -- | 5,656,260 |
| Contractual Services | 1,664,945 | 1,536,293 | 1,557,652 | -- | 1,541,221 |
| Commodities | 85,973 | 82,202 | 85,448 | -- | 85,448 |
| Capital Outlay | 115,932 | 73,166 | 73,187 | -- | 73,187 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$7,263,636 | \$7,217,233 | \$7,294,122 | \$ -- | \$7,356,116 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$7,263,636 | \$7,217,233 | \$7,294,122 | \$ -- | \$7,356,116 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$7,263,636 | \$7,217,233 | \$7,294,122 | \$ -- | \$7,356,116 |
| Non-expense Items | 196,963 | 202,390 | 202,390 | -- | 202,390 |
| Total Expenditures | \$7,460,599 | \$7,419,623 | \$7,496,512 | \$ -- | \$7,558,506 |
| Expenditures by Fund | | | | | |
| State General Fund | 2,151,074 | 2,064,322 | 2,073,879 | -- | 2,071,451 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 5,309,525 | 5,355,301 | 5,422,633 | -- | 5,487,055 |
| Total Expenditures | \$7,460,599 | \$7,419,623 | \$7,496,512 | \$ -- | \$7,558,506 |
| FTE Positions | | | | | |
| FTE Positions | 128.0 | 133.0 | 133.0 | -- | 133.0 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 128.0 | 133.0 | 133.0 | -- | 133.0 |

| Performance Measures | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|--|-------------------|---------------------|---------------------|
| Average monthly interval between nursing home surveys | 11.6 | 12 | 12 |
| Number of requests for criminal records information | 29,306 | 30,000 | 30,000 |
| Number of criminal records with convictions discovered | 5,010 | 5,000 | 5,000 |

Consumer Health

Operations. The Bureau of Consumer Health licenses child care facilities and inspects food service and food manufacturing facilities. The Bureau is also responsible for consumer protection and childhood lead poisoning prevention. The Child Care Facilities Licensure activity contracts with county health departments to license child care facilities and maternity centers. Applications for licensure or registration are processed at the state level. Child care facilities licensed or registered annually include foster homes, day care homes, child care centers, maternity centers, detention centers, child placing agencies, and day care referral services.

The Food and Drug activity prevents morbidity and mortality related to food borne illnesses and consumer products. Inspections are conducted in food establishments and lodging facilities. The program conducts standardized sanitation and safety inspections. Included in inspection activities are evaluations of food and drug products to detect adulteration or misbranding.

Complaints associated with potentially hazardous consumer products in regulated establishments are investigated by program staff. The Bureau maintains contracts with local agencies to conduct inspections of food service establishments as agents of the Secretary. The Bureau also inspects wholesale food facilities under contract with the U.S. Food and Drug Administration and investigates hazardous consumer products under contract with the U.S. Consumer Product Safety Commission.

The Bureau conducts a Childhood Lead Poisoning Prevention Program. The purpose of this program is

to establish an infrastructure of trained personnel to screen, identify, and recommend proper medical treatment and environmental management for children poisoned with lead.

Goals and Objectives. The Bureau's goal is to regulate child care facilities and food and lodging establishments so that they do not endanger the health and safety of their clients and the communities they serve. The Bureau has established the following objectives to achieve this goal:

Reduce predictable health and safety risks to children in foster care and child care.

Provide consumer protection for children and families regarding the need for quality child care and foster care.

Prevent food borne illness associated with food establishments.

Reduce the incidence of childhood lead poisoning through a program of facility inspection, individual training, and community education.

Statutory History. The statutory duties of the Department regarding food and drug regulation originated with the Food and Drug Act of 1907. The current program is administered under various state laws, including the Kansas Food, Drug, and Cosmetics Act (KSA 65-655 through 65-680) and the Food Service and Lodging Act (KSA 36-501 et seq.). The child care licensing duties of the Department are contained in KSA 2001 Supp. 65-501 et seq.

Consumer Health

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|--------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 3,321,916 | 3,724,010 | 3,761,303 | -- | 3,794,021 |
| Contractual Services | 1,601,564 | 2,537,744 | 1,762,047 | -- | 1,742,208 |
| Commodities | 124,185 | 224,314 | 221,834 | -- | 221,834 |
| Capital Outlay | 82,459 | 282,000 | 263,000 | -- | 263,000 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$5,130,124 | \$6,768,068 | \$6,008,184 | \$ -- | \$6,021,063 |
| Aid to Local Governments | 2,189,167 | 2,357,298 | 2,368,548 | -- | 2,368,548 |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$7,319,291 | \$9,125,366 | \$8,376,732 | \$ -- | \$8,389,611 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$7,319,291 | \$9,125,366 | \$8,376,732 | \$ -- | \$8,389,611 |
| Non-expense Items | -- | -- | -- | -- | -- |
| Total Expenditures | \$7,319,291 | \$9,125,366 | \$8,376,732 | \$ -- | \$8,389,611 |
| Expenditures by Fund | | | | | |
| State General Fund | 1,396,691 | 1,056,650 | 1,060,857 | -- | 1,019,629 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 5,922,600 | 8,068,716 | 7,315,875 | -- | 7,369,982 |
| Total Expenditures | \$7,319,291 | \$9,125,366 | \$8,376,732 | \$ -- | \$8,389,611 |
| FTE Positions | | | | | |
| FTE Positions | 70.0 | 79.0 | 79.0 | -- | 79.0 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 70.0 | 79.0 | 79.0 | -- | 79.0 |

| Performance Measures | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|---|-------------------|---------------------|---------------------|
| Percent of food service establishments inspected annually | 89.0 % | 90.0 % | 90.0 % |
| Number of food service establishments, manufacturers, and retail food facilities | 17,110 | 17,200 | 17,200 |
| Number of licensed food service establishments | 12,034 | 12,034 | 12,034 |
| Percent of consumer complaints for food establishments investigated | 98.0 % | 75.0 % | 75.0 % |
| Number of in-service training held for child care providers | 410 | 450 | 450 |
| Number of child care facilities and agencies closed as a result of enforcement action | 142 | 143 | 145 |

Health Promotion

Operations. The Bureau of Health Promotion conducts prevention programs in response to the state's leading health problems in the areas of chronic diseases and premature death. The prevention programs administered by the Bureau include a breast cancer and cervical cancer initiative, tobacco use prevention, injury prevention, Healthy Kansans, and the Kansas Leadership to Encourage Activity and Nutrition (LEAN). State-level administration, consultation, education, and support services for the prevention, early identification, and control of chronic diseases and injuries are provided or facilitated by bureau programs.

Primary prevention strategies are implemented to reduce risk factors associated with the leading causes of death and disability, including heart disease, cancer, injuries, stroke, and others. The programs also provide technical assistance to communities. This assistance is provided to help initiate appropriate community-based prevention strategies and to evaluate their effect on the community's health.

Goals and Objectives. The Bureau's primary goal is to improve the health of Kansans through the prevention of morbidity as a result of chronic diseases and injuries. To achieve this goal, the Bureau pursues the following objectives:

Provide training, resources, and technical assistance to local health agencies, and other community organizations, so that those agencies can devise strategies to prevent the adverse health outcomes associated with chronic diseases.

Identify the types of cancer that should be targeted for intervention.

Reduce the prevalence of tobacco use in Kansas.

Statutory History. Authorization for these programs is contained in Chapter 65 of the *Kansas Statutes Annotated*.

Health Promotion

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|--------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 1,393,017 | 2,158,852 | 2,202,543 | 304,320 | 2,224,964 |
| Contractual Services | 3,599,351 | 4,123,605 | 5,159,261 | 132,916 | 5,106,179 |
| Commodities | 199,542 | 99,797 | 148,914 | 213,100 | 148,914 |
| Capital Outlay | 53,317 | 29,415 | 34,510 | -- | 34,510 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$5,245,227 | \$6,411,669 | \$7,545,228 | \$650,336 | \$7,514,567 |
| Aid to Local Governments | 1,126,760 | 1,057,744 | 1,064,744 | 725,000 | 2,064,744 |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$6,371,987 | \$7,469,413 | \$8,609,972 | \$1,375,336 | \$9,579,311 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$6,371,987 | \$7,469,413 | \$8,609,972 | \$1,375,336 | \$9,579,311 |
| Non-expense Items | 384,498 | 368,118 | 184,490 | -- | 184,490 |
| Total Expenditures | \$6,756,485 | \$7,837,531 | \$8,794,462 | \$1,375,336 | \$9,763,801 |
| Expenditures by Fund | | | | | |
| State General Fund | 108,558 | 127,031 | 127,727 | 650,336 | 58,714 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | 500,000 | 500,000 | 500,000 | 725,000 | 1,500,000 |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 6,147,927 | 7,210,500 | 8,166,735 | -- | 8,205,087 |
| Total Expenditures | \$6,756,485 | \$7,837,531 | \$8,794,462 | \$1,375,336 | \$9,763,801 |
| FTE Positions | 6.0 | 7.0 | 7.0 | 7.0 | 7.0 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 6.0 | 7.0 | 7.0 | 7.0 | 7.0 |

| Performance Measures | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|--|-------------------|---------------------|---------------------|
| Number of counties and communities receiving training in public health intervention | 20 | 20 | 20 |
| Number of health problems for which statewide population-based data are collected and analyzed | 22 | 22 | 22 |
| Number of 3rd graders participating in Kansas Kids Fitness Days | 16,900 | 17,000 | 17,000 |
| Percent of cancer cases reported to the cancer registry | 98.0 % | 98.0 % | 98.0 % |
| Percent of Kansas 12th graders who smoked in the last 30 days | 37.0 % | 37.0 % | 37.0 % |

Children, Youth, & Families

Operations. The Bureau for Children, Youth, and Families oversees the development and administration of health resources and programs to meet the health needs of Kansas families. Statewide comprehensive planning, training, consultation, and technical assistance are made available to health providers. Also, preventive screening, diagnosis, and treatment health services are provided to Kansas residents.

Children and Families provides technical assistance, consultations, monitoring, and funding to local agencies to provide comprehensive services for pregnant women, infants, children, adolescents, and their families. This program maintains local projects, which include Maternal and Infant Care, Healthy Start, Family Planning, Child Health, School Nursing Services, Adolescent Health, Teen Pregnancy Prevention, Black Infant Mortality, Pregnancy Prevention, and Abstinence Education.

Services for Children with Special Health Care Needs promotes the functional skills of young persons who have or are at risk for a disability or chronic disease by providing or supporting specialty health care. It is responsible for planning, development, and promotion of the parameters for quality of specialty health care for children and youth with disabilities.

Children's Developmental Services provides early identification and early intervention services for newborns, infants and toddlers with developmental delays or disorders through Infant Toddler Services. These services are coordinated through a statewide system of early identification and intervention services. The program identifies newborns with specific conditions leading to developmental delay through newborn infant screening. It also strives to improve the health status and well-being of Kansans through nutrition intervention services, supplemental foods, and referrals to other health and social services.

Pregnant, breast-feeding, and postpartum women, as well as infants and young children below 185 percent of the federal poverty level who are at nutritional risk are eligible for Women, Infants, and Children (WIC) nutritional services. Other nutritional services include breast-feeding promotion and support as well as substance use identification. Income- and categorical-eligible Kansans may receive food supplements through the Commodity Supplemental Food Program.

Goals and Objectives. One Bureau goal is to improve the health status and nutritional well-being of Kansas women, infants, children, adolescents, and their families through the following objectives:

Provide nutrition education and supplemental foods through WIC to potentially eligible women, infants, and children in all counties.

Increase the provision of food and nutrition to women and children not eligible for WIC.

Maximize service delivery resources to meet the needs of individuals with disabilities and their families.

Maximize community-based identification and intervention services for infants and toddlers with developmental delay and their families.

Statutory History. The following statutes are relevant to the Bureau for Children, Youth, and Families: KSA 20-165, KSA 23-501, KSA 38-1521, KSA 38-1901, KSA 65-1105, KSA 65-153, KSA 65-153f, KSA 65-177, KSA 65-180 et seq., KSA 65-202, KSA 65-2701, KSA 65-5a01 et seq., KSA 74-7801, KSA 75-5648 et seq., KSA 75-5643, KSA 75-5644, and KSA 2001 Supp. 65-701 et seq. The health programs for children enrolled in schools are outlined in Chapter 72 of the *Kansas Statutes Annotated*.

Children, Youth, & Families

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|---------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 1,759,406 | 1,977,089 | 2,121,766 | -- | 2,142,755 |
| Contractual Services | 4,029,776 | 5,485,963 | 5,340,665 | -- | 5,281,684 |
| Commodities | 599,893 | 412,112 | 530,777 | -- | 530,777 |
| Capital Outlay | 87,128 | 84,612 | 78,439 | -- | 78,439 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$6,476,203 | \$7,959,776 | \$8,071,647 | \$ -- | \$8,033,655 |
| Aid to Local Governments | 20,344,093 | 19,540,091 | 19,887,006 | -- | 20,187,006 |
| Other Assistance | 29,328,486 | 30,000,000 | 30,000,000 | -- | 30,000,000 |
| Subtotal: Operating Expenditures | \$56,148,782 | \$57,499,867 | \$57,958,653 | \$ -- | \$58,220,661 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$56,148,782 | \$57,499,867 | \$57,958,653 | \$ -- | \$58,220,661 |
| Non-expense Items | 72,532 | 24,303 | 24,000 | -- | 24,000 |
| Total Expenditures | \$56,221,314 | \$57,524,170 | \$57,982,653 | \$ -- | \$58,244,661 |
| Expenditures by Fund | | | | | |
| State General Fund | 5,762,116 | 5,893,560 | 5,920,287 | -- | 5,850,599 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | 749,495 | 750,000 | 750,000 | -- | 1,250,000 |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 49,709,703 | 50,880,610 | 51,312,366 | -- | 51,144,062 |
| Total Expenditures | \$56,221,314 | \$57,524,170 | \$57,982,653 | \$ -- | \$58,244,661 |
| FTE Positions | | | | | |
| FTE Positions | 34.5 | 50.5 | 50.5 | -- | 50.5 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 34.5 | 50.5 | 50.5 | -- | 50.5 |

| Performance Measures | FY 2000 Actual | FY 2001 Estimate | FY 2002 Estimate |
|--|-------------------|---------------------|---------------------|
| Percent of persons eligible for WIC services served by the program | 67.0 % | 67.0 % | 68.0 % |
| Percent of newborns receiving hearing screening | 91.0 % | 92.0 % | 93.0 % |
| Percent of births with early prenatal care | 86.5 % | 86.5 % | 86.5 % |
| Percent of uninsured children | 7.0 % | 6.9 % | 6.8 % |

Waste Management

Operations. This Bureau administers the Solid Waste, Hazardous Waste, and Waste Tire Regulatory Programs. The Bureau enforces federal and state regulations governing the treatment, storage, transportation, and disposal of solid and hazardous waste. The Bureau issues permits requested by persons who store, treat, or dispose of hazardous wastes. Facilities that generate hazardous wastes are regularly inspected by staff to assess compliance with applicable regulations and to provide technical assistance related to proper waste management practices.

The Bureau issues permits to persons who own or operate landfills, transfer stations, processing facilities, compost facilities, and household hazardous waste facilities. Finally, the Bureau issues permits to companies and local governments that collect, transport, process, or landfill waste tires.

This Bureau also administers several solid waste and waste tire grant programs. Public and private sector applicants are eligible for grants related to solid waste planning, recycling, composting, public education, household hazardous waste collection and disposal, agricultural pesticide collection and disposal, and waste tire pile cleanup.

Goals and Objectives. As its goal, the Bureau of Waste Management strives to minimize the effect on human health and the environment of the generation, transport, storage, treatment, and disposal of all solid and hazardous wastes. The Bureau will strive to meet this goal by using the following objectives:

Achieve and maintain compliance at all facilities subject to solid and hazardous waste rules and regulations.

Respond to the needs of the citizens of Kansas and communities with respect to management of solid and hazardous waste issues.

Assist local government in dealing with waste management issues and compliance.

Develop and adopt solid and hazardous waste regulations that are clear, sensible, and technically and economically practical.

Reduce hazardous and solid waste generation and maximize the reuse and recovery of valuable resources from waste streams.

Respond to federal waste management requirements and apply for and maintain state authority to administer federally-mandated waste programs.

Statutory History. State laws provide the Secretary of the Department with the authority to establish and implement the Hazardous and Solid Waste Programs. KSA 2001 Supp. 65-3406 authorizes the Secretary to administer a solid waste program to protect the public and environment from risks and nuisances caused by the improper management of solid wastes. KSA 2001 Supp. 65-3431 authorizes the Secretary to administer a hazardous waste program to protect public health and the environment.

Waste Management

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|--------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 2,097,933 | 2,555,521 | 2,589,587 | -- | 2,625,400 |
| Contractual Services | 2,895,279 | 2,308,849 | 2,314,825 | -- | 2,314,825 |
| Commodities | 67,959 | 75,800 | 78,650 | -- | 78,650 |
| Capital Outlay | 33,021 | 48,000 | 45,000 | -- | 45,000 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$5,094,192 | \$4,988,170 | \$5,028,062 | \$ -- | \$5,063,875 |
| Aid to Local Governments | 1,626,944 | 1,360,000 | 1,560,000 | -- | 1,560,000 |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$6,721,136 | \$6,348,170 | \$6,588,062 | \$ -- | \$6,623,875 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$6,721,136 | \$6,348,170 | \$6,588,062 | \$ -- | \$6,623,875 |
| Non-expense Items | -- | -- | -- | -- | -- |
| Total Expenditures | \$6,721,136 | \$6,348,170 | \$6,588,062 | \$ -- | \$6,623,875 |
| Expenditures by Fund | | | | | |
| State General Fund | -- | -- | -- | -- | -- |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 6,721,136 | 6,348,170 | 6,588,062 | -- | 6,623,875 |
| Total Expenditures | \$6,721,136 | \$6,348,170 | \$6,588,062 | \$ -- | \$6,623,875 |
| FTE Positions | 37.0 | 50.0 | 50.0 | -- | 50.0 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 37.0 | 50.0 | 50.0 | -- | 50.0 |

| Performance Measures | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|--|-------------------|---------------------|---------------------|
| Percent of solid waste inspections with no or minor violations | 95.0 % | 90.0 % | 90.0 % |
| Percent of counties with approved solid waste plans | 99.0 % | 100.0 % | 100.0 % |
| Percent of hazardous waste inspections with no or minor violations | 95.0 % | 90.0 % | 90.0 % |
| Percent of hazardous waste facilities with approved groundwater monitoring systems | 100.0 % | 100.0 % | 100.0 % |

Air & Radiation

Operations. This Bureau administers the Air Quality, Radiation Control, and Community Right-to-Know Programs. The Bureau also administers the state's Asbestos Licensing and Certification Program. It inspects and evaluates all air pollution sources. These actions ensure that emission controls comply with federal and state air quality standards. Statewide assessments provide an up-to-date inventory of contaminant emissions.

The Bureau is responsible for implementation of the Community Right-to-Know Program. Staff receives information from locations where extremely hazardous substances are stored and makes such information available to the public and to local emergency planning officials. The Asbestos Control Program licenses firms engaged in asbestos removal and certifies the employees who perform removal work. Staff reviews all proposed asbestos projects for compliance with state regulations and performs regular inspections at asbestos removal sites.

The Radiation Program implements comprehensive radiation protection measures, including radioactive materials licensing, radiation device registration, and nuclear emergency planning, preparedness, and response.

Goals and Objectives. A goal of the Bureau is to control air pollution in the state and protect air resources. The Bureau pursues this goal through the following objectives:

Maintain a statewide ambient air monitoring network.

Issue construction permits for all major regulated sources of air emissions in Kansas.

Assure access to hazardous chemical information.

Assure that all asbestos removal projects are completed by qualified firms and workers.

A second goal of the Bureau is to protect Kansas citizens from the harmful effects of radiation. The Bureau pursues the following objectives:

Implement effective radioactive materials licensing and mammography facility inspection programs.

Respond to radioactive contamination in the environment appropriately.

Statutory History. The Air Pollution Control Program is authorized by Chapter 65, Article 30 of the *Kansas Statutes Annotated*. The Radiation Control Program operates under the Kansas/U.S. NRC Agreement, KSA 48-1601 et seq., KSA 65-3021 et seq., KSA 48-901 et seq., and KSA 2001 Supp. 65-34a01 et seq. The Right-to-Know Program is authorized by KSA 65-5701 et seq. The Asbestos Control Program is included in KSA 65-5301 et seq.

Air & Radiation

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|--------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 3,245,162 | 3,406,554 | 3,442,081 | 471,349 | 3,466,737 |
| Contractual Services | 907,341 | 917,689 | 926,139 | 401,040 | 962,656 |
| Commodities | 81,649 | 95,645 | 95,642 | -- | 95,642 |
| Capital Outlay | 210,349 | 176,434 | 181,890 | -- | 181,890 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$4,444,501 | \$4,596,322 | \$4,645,752 | \$872,389 | \$4,706,925 |
| Aid to Local Governments | 930,409 | 899,037 | 1,131,146 | 123,075 | 1,131,146 |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$5,374,910 | \$5,495,359 | \$5,776,898 | \$995,464 | \$5,838,071 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$5,374,910 | \$5,495,359 | \$5,776,898 | \$995,464 | \$5,838,071 |
| Non-expense Items | -- | -- | -- | -- | -- |
| Total Expenditures | \$5,374,910 | \$5,495,359 | \$5,776,898 | \$995,464 | \$5,838,071 |
| Expenditures by Fund | | | | | |
| State General Fund | 1,113,522 | 1,143,359 | 1,148,231 | 462,389 | 1,126,404 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 4,261,388 | 4,352,000 | 4,628,667 | 533,075 | 4,711,667 |
| Total Expenditures | \$5,374,910 | \$5,495,359 | \$5,776,898 | \$995,464 | \$5,838,071 |
| FTE Positions | 66.0 | 67.0 | 67.0 | 5.0 | 67.0 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 66.0 | 67.0 | 67.0 | 5.0 | 67.0 |

| Performance Measures | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|---|-------------------|---------------------|---------------------|
| Percent of air transport and background monitors on line | NA | 50.0 % | 100.0 % |
| Percent of completion of ozone control strategies | 10.0 % | 25.0 % | 75.0 % |
| Percent of radiation control applications reviewed within 60 days | 35.0 % | 35.0 % | 35.0 % |
| Percent of radiation control inspections completed | 30.0 % | 30.0 % | 30.0 % |

Bureau of Water

Operations. The Bureau of Water administers programs related to the quality of water, including public water supplies and wastewater treatment systems. The Bureau, through a comprehensive review process, issues permits governing all phases of the operation of drinking water and wastewater treatment systems. As part of its regulatory action, the Bureau enforces applicable laws to set compliance limits for water supply and wastewater treatment.

The Bureau regulates and issues permits for underground injection of wastes (except oil field brine), solution mining, and LPG storage. It licenses water well drillers to ensure proper water well construction. The Bureau administers a grant program and a loan program for construction of municipal wastewater facilities. In addition to responding to complaints, bureau staff located throughout the state certifies and provides technical assistance and training to water and wastewater system managers and operators to help protect public health and the environment.

Goals and Objectives. The primary goal of the Bureau is to ensure that the Kansas water supply is safe to drink and that all lakes and streams meet designed water quality standards. To achieve this goal the Bureau pursues the following objectives:

Assist local utilities in constructing water treatment systems by issuing loans through the low interest loan program.

Protect water and soil from underground injection of fluids.

Protect water and soil from damage caused by improper storage of LP and natural gas.

Permit the indirect discharge of industrial pollutants to municipal sewers.

Statutory History. KSA 65-4501 et seq. direct the Department to maintain a certification and training program for water and wastewater operators. State law relating to public water supply systems is contained in KSA 65-162a et seq. Federal water quality standards to which state law must conform are provided in the Water Pollution Control Act. Licensing of water well drilling contractors and establishing standards for well construction are authorized by the Groundwater Exploration and Protection Act (KSA 82a-1201 et seq.). 2001 HB 2200 requires the Department to adopt separate and specific rules and regulations concerning salt solution mining and underground gas storage.

Bureau of Water

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|---------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 2,916,823 | 4,135,387 | 4,156,897 | 487,900 | 4,195,293 |
| Contractual Services | 1,241,244 | 7,739,637 | 7,265,138 | 486,020 | 7,220,268 |
| Commodities | 55,020 | 59,600 | 58,700 | -- | 58,700 |
| Capital Outlay | 42,529 | 118,449 | 108,846 | -- | 108,846 |
| Debt Service | 7,955,000 | -- | -- | -- | -- |
| Subtotal: State Operations | \$12,210,616 | \$12,053,073 | \$11,589,581 | \$973,920 | \$11,583,107 |
| Aid to Local Governments | 3,078,002 | 2,451,400 | 2,451,400 | -- | 2,401,400 |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$15,288,618 | \$14,504,473 | \$14,040,981 | \$973,920 | \$13,984,507 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$15,288,618 | \$14,504,473 | \$14,040,981 | \$973,920 | \$13,984,507 |
| Non-expense Items | -- | -- | -- | -- | -- |
| Total Expenditures | \$15,288,618 | \$14,504,473 | \$14,040,981 | \$973,920 | \$13,984,507 |
| Expenditures by Fund | | | | | |
| State General Fund | 855,396 | 1,250,605 | 1,255,966 | 627,500 | 1,175,166 |
| Water Plan Fund | 2,155,289 | 2,386,013 | 2,386,880 | -- | 2,338,616 |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 12,277,933 | 10,867,855 | 10,398,135 | 346,420 | 10,470,725 |
| Total Expenditures | \$15,288,618 | \$14,504,473 | \$14,040,981 | \$973,920 | \$13,984,507 |
| FTE Positions | 60.0 | 75.0 | 75.0 | 20.0 | 75.0 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 60.0 | 75.0 | 75.0 | 20.0 | 75.0 |

| Performance Measures | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|---|-------------------|---------------------|---------------------|
| Percent of public water systems in total compliance | 85.0 % | 75.0 % | 75.0 % |
| Percent of discharging wastewater systems in compliance | 85.0 % | 90.0 % | 90.0 % |
| Percent of wastewater facilities inspected as per Bureau of Water Work Plan | 100.0 % | 100.0 % | 100.0 % |

Environmental Remediation

Operations. The Bureau identifies, investigates, and takes appropriate remedial actions when pollution endangers the natural resources and environment of the state. Areas of responsibility include investigating pollution sources, designing and overseeing remedial activities at contaminated sites throughout the state, and negotiating with those responsible for effective cleanup. The Bureau also utilizes remediation funds to take corrective action at sites where a responsible party cannot be found or is recalcitrant.

The Bureau also regulates the mining of coal in Kansas. Staff reviews and approves mining and reclamation permits for current coal operators and contracts for reclamation of land that was mined prior to the implementation of current state and federal mining laws. Also, the emergency program abates hazards that occur because of the effects of coal mining prior to 1977. The Bureau is also responsible for administering the duties of the Department related to the regulation and clean-up of alleged illegal drug manufacturing sites.

Goals and Objectives. The goal of the Bureau is to investigate and remediate pollution of the environment or damage to natural resources across the state in order to protect the public health and the environment. The Bureau will pursue the following objectives:

Identify and investigate contaminated sites to determine the nature and extent of contamination, identify source areas, and evaluate cleanup alternatives.

Enforce laws designed to reduce releases of petroleum products into the environment.

Perform prompt cleanup of sites contaminated by releases from storage tanks.

Ensure that all contaminants present at closed landfills are monitored and contained and that off-site contamination is remedied.

Respond to releases of substances, materials, or wastes in such a manner as to prevent, contain, and clean them up to minimize damage to the water and soil of the state.

Promote compliance with all surface mining laws and regulations for timely reclamation and to prevent environmental damage.

Ensure that alleged illegal drug manufacturing sites are properly cleaned up.

Statutory History. Federal authority to respond to environmental emergencies is addressed in the Clean Water Act (PL 92-500), the Safe Drinking Water Act (PL 93-523), and the Comprehensive Environmental Response, Compensation, and Liability Act of 1980 (PL 96-510). The regulation of coal mining is governed by 30 CFR Chapter VII, Subchapter C, Parts 730, 731, and 732, Office of Surface Mining Reclamation and Enforcement (OSMRE), U.S. Department of the Interior. The Department is also designated as the agency responsible for the Federal Abandoned Mined Land Reclamation Program and Federal Emergency Program (PL 95-87, Title IV) in Kansas in accordance with KSA 49-401 et seq. KSA 2001 Supp 65-7005 requires the Department to contact agencies for the clean up of alleged illegal drug manufacturing sites.

Environmental Remediation

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|---------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 4,150,447 | 4,908,819 | 4,961,619 | -- | 5,015,940 |
| Contractual Services | 14,062,246 | 18,264,542 | 18,572,672 | 400,000 | 18,335,174 |
| Commodities | 164,456 | 170,426 | 177,139 | -- | 177,139 |
| Capital Outlay | 318,730 | 1,360,573 | 1,559,100 | -- | 1,559,100 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$18,695,879 | \$24,704,360 | \$25,270,530 | \$400,000 | \$25,087,353 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$18,695,879 | \$24,704,360 | \$25,270,530 | \$400,000 | \$25,087,353 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$18,695,879 | \$24,704,360 | \$25,270,530 | \$400,000 | \$25,087,353 |
| Non-expense Items | -- | -- | -- | -- | -- |
| Total Expenditures | \$18,695,879 | \$24,704,360 | \$25,270,530 | \$400,000 | \$25,087,353 |
| Expenditures by Fund | | | | | |
| State General Fund | 873,181 | 1,243,287 | 1,248,813 | 400,000 | 1,050,633 |
| Water Plan Fund | 1,392,670 | 1,397,506 | 1,400,000 | -- | 1,351,840 |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 16,430,028 | 22,063,567 | 22,621,717 | -- | 22,684,880 |
| Total Expenditures | \$18,695,879 | \$24,704,360 | \$25,270,530 | \$400,000 | \$25,087,353 |
| FTE Positions | | | | | |
| FTE Positions | 62.0 | 99.0 | 99.0 | -- | 99.0 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 62.0 | 99.0 | 99.0 | -- | 99.0 |

| | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|---|-------------------|---------------------|---------------------|
| Performance Measures | | | |
| Percent increase in identified contamination sites inventory | 1.1 % | 0.5 % | 0.0 % |
| Percent increase in number of underground and aboveground petroleum storage tanks | 4.3 % | 3.6 % | 3.4 % |
| Percent of new petroleum storage tank sites investigated | 83.0 % | 90.0 % | 90.0 % |
| Percent of underground storage tanks in substantial compliance with regulations | 97.0 % | 97.0 % | 97.0 % |
| Percent of spills worked on-site by the Bureau | 10.0 % | 10.0 % | 10.0 % |

Environmental Field Services

Operations. The Bureau of Environmental Field Services, which includes the Office of the Director of the Division of Environment, administers all environmental program operations at the six district offices and provides scientific, technical, and operational support to the programs in the Division of Environment. The Office of the Director works to achieve environmental goals and to address legislative priorities. Continued emphasis will be placed on the management of all environmental programs to preserve the long-term integrity and balanced regulatory approach to environmental control.

A Planning and Prevention Section has been established under the Director to develop a continuing plan for the Division, including development of total maximum daily loads for water pollution. This Bureau conducts the district office operations of the Division of Environment. The other Division of Environment bureaus provide the district staff with policy direction, requirements to implement program needs, and reporting requirements. Scientific and technical support from the district staff is essential to the decision making processes occurring in all of the Division's other bureaus.

In addition, the Bureau of Environmental Field Services conducts environmental monitoring to detect and quantify trends in environmental conditions and provides direct services, such as inspections and technical assistance, to business, communities, and individuals as required. It is also responsible for the stream segment classification program.

Goals and Objectives. The goal of the Bureau of Environmental Field Services is to administer

programs that contribute to the environmental quality of the state. Following are some of the objectives the bureau pursues:

Promote compliance with all laws, regulations, and policies.

Maintain a program of pollution prevention and environmental compliance assistance that achieves a reduction in pollution while supporting economic development in an environmentally responsible way.

Enhance the quality and utility of data generated through surface water and groundwater networks.

Supply environmental information in a concise and understandable way and work with communities to foster partnership in environmental compliance and protect the public health.

Improve water quality of state surface waters through establishment of total maximum daily loads with targeted coordination and implementation of Division programs and the State Water Plan.

Statutory History. Authority for the Director of Environment is contained in KSA 75-5605 and the environmental statutes found in Chapter 65 of the *Kansas Statutes Annotated*. 2001 SB 204 requires that the Department implement stream segment classification activities including social and economic studies.

Environmental Field Services

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|--------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 5,253,847 | 5,254,704 | 5,302,242 | -- | 5,341,753 |
| Contractual Services | 2,048,773 | 1,473,641 | 1,374,443 | 300,000 | 1,359,938 |
| Commodities | 72,966 | 79,780 | 76,015 | -- | 76,015 |
| Capital Outlay | 32,958 | 57,974 | 50,192 | -- | 50,192 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$7,408,544 | \$6,866,099 | \$6,802,892 | \$300,000 | \$6,827,898 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$7,408,544 | \$6,866,099 | \$6,802,892 | \$300,000 | \$6,827,898 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$7,408,544 | \$6,866,099 | \$6,802,892 | \$300,000 | \$6,827,898 |
| Non-expense Items | -- | -- | -- | -- | -- |
| Total Expenditures | \$7,408,544 | \$6,866,099 | \$6,802,892 | \$300,000 | \$6,827,898 |
| Expenditures by Fund | | | | | |
| State General Fund | 2,277,685 | 2,413,836 | 2,323,827 | 300,000 | 2,299,752 |
| Water Plan Fund | 481,062 | 352,469 | 353,024 | -- | 354,219 |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 4,649,797 | 4,099,794 | 4,126,041 | -- | 4,173,927 |
| Total Expenditures | \$7,408,544 | \$6,866,099 | \$6,802,892 | \$300,000 | \$6,827,898 |
| FTE Positions | | | | | |
| FTE Positions | 104.0 | 115.0 | 115.0 | -- | 115.0 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 104.0 | 115.0 | 115.0 | -- | 115.0 |

| Performance Measures | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|--|-------------------|---------------------|---------------------|
| Number of new leaking underground storage tanks reported | 992 | 600 | 600 |
| Percent of water taste and odor problems responded to | 100.0 % | 100.0 % | 100.0 % |
| Percent of assessed stream miles monitored | 92.0 % | 92.0 % | 92.0 % |
| Percent of publicly owned lake acres monitored | 93.0 % | 93.0 % | 93.0 % |

Health & Environmental Laboratories

Operations. The Division provides comprehensive chemical and biological analyses on a large volume of samples received each year. These analyses provide diagnostic and assessment information necessary for the operation of public health and environmental programs. Certification and laboratory improvement efforts are performed for regulated health, environmental, and law enforcement laboratories. The Division is divided into several sections in order to carry out its goal.

Laboratory Administration and Reporting provides overall laboratory direction and facilitates the acquisition of samples and specimens and the rapid return of data reports to public health clients each year. Chemistry uses sophisticated instrumentation to conduct analyses to evaluate environmental air and water quality, define asbestos and radiological hazards, diagnose diseases of public health interest, and monitor the proper use and disposal of chemicals.

Microbiology provides laboratory analyses to characterize and diagnose infectious diseases, food borne epidemics, intestinal illnesses, and sexually transmitted diseases, including syphilis, gonorrhea, chlamydia, and human immunodeficiency virus. Laboratory Improvement and Certification evaluates regulated clinical, environmental, and breath alcohol laboratories using established standards of laboratory operation and performance to ensure data quality. It also assists in providing court-defensible data for the prosecution of DUI subjects each year.

Goals and Objectives. The goal of the Laboratory is to provide essential support to disease prevention

programs and reduce the incidence of disease and adverse health conditions through quality laboratory analysis and active surveillance systems. The following objectives have been established in pursuit of this goal:

Provide accurate, timely, and comprehensive chemical laboratory analyses consistent with Kansas environmental and public health program priorities.

Identify factors affecting the health and quality of life of infants born with metabolic and genetic diseases.

Provide clinical and reference microbiological services aimed at the prevention and diagnosis of infectious diseases to assure the quality of water supplies in Kansas.

Maintain and improve the accuracy and precision of technical analytical data produced by all health (clinical), environmental, law enforcement laboratories, and physician office laboratories in Kansas.

Statutory History. The statutes relating to laboratory testing include KSA 65-157, requiring testing of drinking water; KSA 2001 Supp. 65-153f, requiring prenatal tests; and KSA 65-674 and 65-677, which provide for chemical analyses of food, drugs, and cosmetics. The screening of newborn infants for genetic metabolic disorders is required by KSA 2001 Supp. 65-180. The authority for the Department to approve laboratories is contained in KSA 65-425.

Health & Environmental Laboratories

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|--------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 3,465,946 | 3,411,023 | 3,445,468 | -- | 3,454,456 |
| Contractual Services | 909,957 | 453,496 | 491,326 | -- | 478,260 |
| Commodities | 672,263 | 766,094 | 815,247 | 97,000 | 815,247 |
| Capital Outlay | 325,941 | 303,629 | 262,092 | -- | 262,092 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$5,374,107 | \$4,934,242 | \$5,014,133 | \$97,000 | \$5,010,055 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$5,374,107 | \$4,934,242 | \$5,014,133 | \$97,000 | \$5,010,055 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$5,374,107 | \$4,934,242 | \$5,014,133 | \$97,000 | \$5,010,055 |
| Non-expense Items | -- | -- | -- | -- | -- |
| Total Expenditures | \$5,374,107 | \$4,934,242 | \$5,014,133 | \$97,000 | \$5,010,055 |
| Expenditures by Fund | | | | | |
| State General Fund | 4,550,124 | 4,040,352 | 4,058,518 | 97,000 | 4,050,805 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 823,983 | 893,890 | 955,615 | -- | 959,250 |
| Total Expenditures | \$5,374,107 | \$4,934,242 | \$5,014,133 | \$97,000 | \$5,010,055 |
| FTE Positions | | | | | |
| FTE Positions | 82.0 | 82.0 | 82.0 | -- | 82.0 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 82.0 | 82.0 | 82.0 | -- | 82.0 |

| Performance Measures | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|--|-------------------|---------------------|---------------------|
| Percent of customers satisfied with accuracy of results | 99.9 % | 99.9 % | 99.9 % |
| Percent of proficiency test results that are successful | 99.5 % | 99.5 % | 99.5 % |
| Percent of laboratory clients satisfied with timeliness of reporting | 97.0 % | 98.0 % | 98.0 % |
| Percent of reports electronically transmitted to laboratory clients | 48.0 % | 60.0 % | 75.0 % |
| Percent of external laboratories that achieve certification status | 100.0 % | 100.0 % | 100.0 % |

Health Care Stabilization Fund Board of Governors

Mission. The Health Care Stabilization Fund will conduct its operations and activities in a manner to assure and facilitate a sound actuarial basis; assure and assist health care providers complying with the Health Care Provider Insurance Availability Act; defend the fund aggressively when eligible health care providers become involved in claims or court actions arising from the rendering of, or failure to render, professional services; and safeguard the interest of the fund through management activities which maximize the efficient operation of the fund.

Operations. The Health Care Stabilization Fund Board of Governors administers the Health Care Provider Insurance Availability Act. The Board of Governors has ten members, all of whom are representatives of health care providers who comply with and participate in the fund. As of July 1, 1995, the Board of Governors became a separate agency.

The Health Care Provider Insurance Availability Act, became effective July 1, 1976. Prior to this act, insurance availability problems had restricted the availability of certain health care services in Kansas. Specifically, certain hospital facilities and individual providers were unable to obtain what they believed to be sufficient excess professional liability insurance, placing them in the position of curtailing certain professional services or medical procedures until such time as adequate professional liability insurance could be obtained. The act mandates basic professional liability insurance for all active defined Kansas Health Care Providers, establishes the Health Care Stabilization Fund, and authorizes the Health Care Provider Insurance Availability Plan.

Compliance records are maintained for approximately 25,000 individual health care providers, of which approximately 10,000 are actively engaged in

rendering professional services. Each compliance record contains information regarding the individual health care provider's basic professional liability insurance and the amount of the surcharge payment made to the Health Care Stabilization Fund. Basic professional liability insurance may be obtained from the voluntary insurance market, the Health Care Provider Insurance Availability Plan, if the health care provider is unable to locate coverage in the voluntary market place or a self-insurance program authorized by the Health Care Provider Insurance Availability Act.

Goals and Objectives. The goal of this agency is to manage the Health Care Stabilization Fund to assure and facilitate its sound actuarial basis. The following objectives have been established:

Assure availability of professional liability insurance coverage, as required by the Kansas Health Care Provider Insurance Availability Act, for certain defined health care providers.

Defend the interests of the fund when health care providers become involved in claims alleging malpractice or failure to render professional services.

Assist health care providers in meeting the compliance requirements of the Health Care Provider Insurance Availability Act.

Safeguard the interests of the fund through management activities which maximize the efficient operation of the fund.

Statutory History. Authority for the agency is found in KSA 40-3401 through 40-3423, the Health Care Provider Insurance Act.

Health Care Stabilization Fund Board of Governors

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|---------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 642,805 | 693,129 | 725,730 | -- | 722,902 |
| Contractual Services | 2,620,869 | 3,630,698 | 3,633,114 | -- | 3,633,114 |
| Commodities | 16,509 | 18,501 | 18,964 | -- | 18,964 |
| Capital Outlay | 8,973 | 20,000 | 18,000 | -- | 18,000 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$3,289,156 | \$4,362,328 | \$4,395,808 | \$ -- | \$4,392,980 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | 22,512,749 | 23,362,458 | 23,362,458 | -- | 23,362,458 |
| Subtotal: Operating Expenditures | \$25,801,905 | \$27,724,786 | \$27,758,266 | \$ -- | \$27,755,438 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$25,801,905 | \$27,724,786 | \$27,758,266 | \$ -- | \$27,755,438 |
| Non-expense Items | 11,827,625 | 11,860,787 | 7,622,660 | -- | 7,622,660 |
| Total Expenditures | \$37,629,530 | \$39,585,573 | \$35,380,926 | \$ -- | \$35,378,098 |
| Expenditures by Fund | | | | | |
| State General Fund | -- | -- | -- | -- | -- |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 37,629,530 | 39,585,573 | 35,380,926 | -- | 35,378,098 |
| Total Expenditures | \$37,629,530 | \$39,585,573 | \$35,380,926 | \$ -- | \$35,378,098 |
| FTE Positions | | | | | |
| FTE Positions | 16.0 | 16.0 | 16.0 | -- | 16.0 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 16.0 | 16.0 | 16.0 | -- | 16.0 |

| | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|--|-------------------|---------------------|---------------------|
| Performance Measures | | | |
| Number of cases opened | 342 | 320 | 320 |
| Number of cases closed | 277 | 330 | 330 |
| Ratio of operating expense to total program expenditures | 3.2 % | 3.4 % | 3.4 % |
| Actuarial estimated fund balance (in millions) | NA | \$38.7 | \$38.7 |

Highway Patrol

Mission. The mission of the Kansas Highway Patrol is to enforce traffic and state laws relating to vehicles, highways, and drivers of motor vehicles in order to enhance the safety of citizens traveling on state and federal highways in Kansas.

Operations. The Highway Patrol was created in 1937 to enforce traffic and other state laws relating to the operation of vehicles on state highways. The Patrol's scope of responsibility also includes (1) policing the Kansas Turnpike, (2) providing security for public officials, (3) administering the Capitol Area Security Patrol, and (4) inspecting commercial motor carriers. Sworn members of the Highway Patrol are vested with full police powers.

Highway Patrol personnel perform traffic enforcement duties, including the promotion of public safety, instruction for traffic-related courses, assistance to disabled motorists, and assistance to other state agencies with traffic-related responsibilities. The Highway Patrol also provides assistance to state and local agencies during natural disasters and other public emergencies.

The Superintendent of the Highway Patrol is appointed by the Governor. The Superintendent selects an

unclassified assistant superintendent. All other officers, troopers, security officers, and nonsworn civilian personnel are part of the classified Civil Service System. The Highway Patrol General Headquarters is located in Topeka. Regular field operations are delivered through a network of seven troops with headquarters at Olathe, Topeka, Salina, Hays, Chanute, Wichita, and Garden City. Separate troops serve the turnpike system, headquartered in Wichita, and the Capitol Area Security Patrol, headquartered in Topeka.

The Highway Patrol Training Academy in Salina is operated as an auxiliary activity and provides training for all new troopers and continuing education for all sworn Highway Patrol officers. Several other units of the Patrol are located in the complex at Salina. These include the Central Region Command, the Salina Operations Command, the Motor Carrier Safety Assistance Program, the Capitol Dispatch Operations, and the Breath Alcohol Unit.

Statutory History. Authority for the establishment, general duties, and responsibilities of the Kansas Highway Patrol is found in KSA 74-2105 et seq. Motor carrier inspection authority is found in KSA 66-1302.

Highway Patrol

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|---------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Program | | | | | |
| CASP | 2,351,555 | 2,486,728 | 2,504,269 | 58,851 | 2,548,717 |
| Administration | 40,253,959 | 42,870,188 | 42,896,187 | 2,263,408 | 42,714,942 |
| Turnpike Patrol | 2,543,712 | 2,673,364 | 2,688,841 | 110,340 | 2,690,025 |
| Motor Carrier Inspection | 5,590,735 | 6,189,105 | 6,442,439 | 40,164 | 6,562,676 |
| Capital Improvements | 729,988 | 817,911 | 935,086 | -- | 935,086 |
| Total Expenditures | \$51,469,949 | \$55,037,296 | \$55,466,822 | \$2,472,763 | \$55,451,446 |
| Expenditures by Object | | | | | |
| Salaries and Wages | 35,677,381 | 39,564,861 | 39,778,892 | 2,472,763 | 39,763,516 |
| Contractual Services | 4,259,443 | 4,674,202 | 4,674,202 | -- | 4,674,202 |
| Commodities | 3,596,545 | 3,266,747 | 3,266,747 | -- | 3,266,747 |
| Capital Outlay | 7,199,448 | 6,713,575 | 6,811,895 | -- | 6,811,895 |
| Debt Service | 227,666 | 209,210 | 188,965 | -- | 188,965 |
| Subtotal: State Operations | \$50,960,483 | \$54,428,595 | \$54,720,701 | \$2,472,763 | \$54,705,325 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | 4,144 | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$50,964,627 | \$54,428,595 | \$54,720,701 | \$2,472,763 | \$54,705,325 |
| Capital Improvements | 502,322 | 608,701 | 746,121 | -- | 746,121 |
| Total Reportable Expenditures | \$51,466,949 | \$55,037,296 | \$55,466,822 | \$2,472,763 | \$55,451,446 |
| Non-expense Items | 3,000 | -- | -- | -- | -- |
| Total Expenditures | \$51,469,949 | \$55,037,296 | \$55,466,822 | \$2,472,763 | \$55,451,446 |
| Expenditures by Fund | | | | | |
| State General Fund | 24,841,974 | 26,049,049 | 26,254,271 | 2,242,934 | 26,028,159 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 26,627,975 | 28,988,247 | 29,212,551 | 229,829 | 29,423,287 |
| Total Expenditures | \$51,469,949 | \$55,037,296 | \$55,466,822 | \$2,472,763 | \$55,451,446 |
| FTE Positions | | | | | |
| FTE Positions | 823.8 | 823.8 | 823.8 | -- | 823.8 |
| Non-FTE Unclassified Permanent | 61.3 | 61.3 | 61.3 | -- | 61.3 |
| Total Positions | 885.1 | 885.1 | 885.1 | -- | 885.1 |

Capitol Area Security Patrol

Operations. The Capitol Area Security Patrol (CASP) protects persons and property within the Capitol Complex and at a limited number of sites throughout Shawnee County. Capitol police officers provide security at the Governor’s mansion 24 hours a day, seven days a week. In addition, officers patrol 24 hours per day nearly all state-owned or leased property in Shawnee County.

CASP guards are responsible for security in several state-owned buildings. Guards who are not commissioned law enforcement officers screen persons entering the buildings, monitor alarms, conduct security tours, and provide other assistance to persons in the buildings. Security is provided to the following buildings, based on a contractual arrangement with the Department of Administration: the Statehouse, Judicial Center, Landon State Office Building, Docking State Office Building, Curtis Building, Building #740 at Forbes Field, and the Kanza Business and Technology Park. A separate contract provides for security at the Kansas Historical Center and the Kansas Museum of History.

CASP also provides security and enforces parking regulations in parking lots around the Capitol Complex. Parking lots are patrolled by CASP officers on routine patrol and by an officer specifically

assigned to parking lots. In addition, CASP guards monitor parking lots by closed circuit cameras. CASP provides crime prevention programs and conducts investigations of crimes occurring within its jurisdiction.

Goals and Objectives. The primary goal of the Capitol Area Security Patrol is to provide for the safety of persons and the protection of property within the Capitol Complex and on most state-owned or leased property in Shawnee County and to improve safety and the availability of parking for employees in the Capitol Complex. The objectives associated with this goal are to:

Increase the safety of state employees within the areas of CASP jurisdiction.

Decrease the damage of, and losses suffered by, employees and by the state for property within CASP jurisdiction.

Statutory History. The Capitol Area Security Patrol was established in the Department of Administration in 1955 with the enactment of KSA 75-4503. The 1976 Legislature enacted KSA 75-4503a, which transferred the Capitol Area Security Patrol to the Kansas Highway Patrol.

Capitol Area Security Patrol

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|--------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 2,163,362 | 2,352,440 | 2,369,981 | 58,851 | 2,414,429 |
| Contractual Services | 100,544 | 101,988 | 101,988 | -- | 101,988 |
| Commodities | 54,834 | 32,300 | 32,300 | -- | 32,300 |
| Capital Outlay | 32,815 | -- | -- | -- | -- |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$2,351,555 | \$2,486,728 | \$2,504,269 | \$58,851 | \$2,548,717 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$2,351,555 | \$2,486,728 | \$2,504,269 | \$58,851 | \$2,548,717 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$2,351,555 | \$2,486,728 | \$2,504,269 | \$58,851 | \$2,548,717 |
| Non-expense Items | -- | -- | -- | -- | -- |
| Total Expenditures | \$2,351,555 | \$2,486,728 | \$2,504,269 | \$58,851 | \$2,548,717 |
| Expenditures by Fund | | | | | |
| State General Fund | 663,234 | 649,118 | 658,163 | 58,851 | 670,958 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 1,688,321 | 1,837,610 | 1,846,106 | -- | 1,877,759 |
| Total Expenditures | \$2,351,555 | \$2,486,728 | \$2,504,269 | \$58,851 | \$2,548,717 |
| FTE Positions | 17.0 | 17.0 | 17.0 | -- | 17.0 |
| Non-FTE Unclassified Permanent | 43.3 | 43.3 | 43.3 | -- | 43.3 |
| Total Positions | 60.3 | 60.3 | 60.3 | -- | 60.3 |

| Performance Measures | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|--|-------------------|---------------------|---------------------|
| Number of crimes reported and complaints filed | 1,178 | 1,200 | 1,200 |
| Number of arrests | 259 | 260 | 260 |
| Number of patrol hours | 8,500 | 9,000 | 9,000 |

Administration

Operations. This program provides for the enforcement of traffic and other state laws relating to highways, vehicles, and vehicle operators, including road troopers who patrol Kansas highways, troopers who perform safety inspections on commercial motor carriers, troopers engaged in teaching DUI detection and apprehension techniques, and troopers who teach at the Patrol Training Academy. In addition, nearly all administrative and civilian functions are included in this program. These functions include accounting, data processing, personnel, procurement, and records. Civilian vehicle identification number clerks are also financed through this program.

To accomplish the Patrol's mission, troopers concentrate on discouraging driver behaviors which cause accidents. These behaviors include speeding, driving under the influence of alcohol and/or drugs, and driving without using seat belts. The Patrol takes a proactive approach in discouraging these behaviors by providing a presence on state highways and by conducting safety programs. The Patrol also takes a reactive approach by ticketing violators.

Goals and Objectives. One goal of the Enforcement/Administration Program is to reduce fatality accidents

occurring on Kansas roads. An objective associated with this goal is to:

Reduce the occurrence of fatalities in relation to the previous year.

Another goal of this program is to enhance the safety of persons traveling on Kansas roads by removing criminal offenders. An objective associated with this goal is to:

Increase the number of felony arrests in relation to the previous year.

The Patrol also aspires to decrease the number of persons driving while under the influence of alcohol. The objective related to this goal is to:

Reduce the number of accidents in which alcohol consumption is a contributing factor.

Statutory History. Authority for the program is found in KSA 74-2105 et seq., which establish and prescribe its duties and responsibilities. KSA 8-116a provides for vehicle identification number inspections by the Highway Patrol or its designees.

Administration

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|---------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 25,784,446 | 28,774,652 | 28,702,331 | 2,263,408 | 28,521,086 |
| Contractual Services | 3,859,083 | 4,260,614 | 4,260,614 | -- | 4,260,614 |
| Commodities | 3,439,288 | 3,121,347 | 3,121,347 | -- | 3,121,347 |
| Capital Outlay | 7,164,227 | 6,713,575 | 6,811,895 | -- | 6,811,895 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$40,247,044 | \$42,870,188 | \$42,896,187 | \$2,263,408 | \$42,714,942 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | 3,915 | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$40,250,959 | \$42,870,188 | \$42,896,187 | \$2,263,408 | \$42,714,942 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$40,250,959 | \$42,870,188 | \$42,896,187 | \$2,263,408 | \$42,714,942 |
| Non-expense Items | 3,000 | -- | -- | -- | -- |
| Total Expenditures | \$40,253,959 | \$42,870,188 | \$42,896,187 | \$2,263,408 | \$42,714,942 |
| Expenditures by Fund | | | | | |
| State General Fund | 24,178,740 | 25,399,931 | 25,596,108 | 2,184,083 | 25,352,902 |
| Water Plan | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 16,075,219 | 17,470,257 | 17,300,079 | 79,325 | 17,362,040 |
| Total Expenditures | \$40,253,959 | \$42,870,188 | \$42,896,187 | \$2,263,408 | \$42,714,942 |
| FTE Positions | 599.3 | 599.3 | 599.3 | -- | 599.3 |
| Non-FTE Unclassified Permanent | 18.0 | 18.0 | 18.0 | -- | 18.0 |
| Total Positions | 617.3 | 617.3 | 617.3 | -- | 617.3 |

| Performance Measures | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|--|-------------------|---------------------|---------------------|
| Number of trooper road hours | 377,900 | 450,000 | 450,000 |
| Percent of fatalities per 100 million miles traveled | 1.6 | 1.7 | 1.6 |
| Number of felony arrests | 653 | 750 | 750 |
| Percent of accidents involving alcohol | 8.0 % | 8.0 % | 8.0 % |
| Percent of seatbelt law compliance | 63.0 % | 64.0 % | 65.0 % |

Turnpike Patrol

Operations. The Turnpike Patrol Program provides for the enforcement of state laws relating to vehicle movement, including motor carriers, on the Kansas Turnpike. In addition, troopers assigned to the turnpike provide road and weather information to motorists, assist disabled motorists, and furnish emergency medical services. Routine coverage of the turnpike is maintained 24 hours per day, seven days per week.

Goals and Objectives. A goal of the Turnpike Patrol Program is to reduce fatality accidents. This goal's objective is to:

Reduce fatality accidents occurring on the Kansas Turnpike.

Statutory History. Authority for the program is found in KSA 68-2025 et seq.

Turnpike Patrol

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|--------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 2,507,918 | 2,637,164 | 2,652,641 | 110,340 | 2,653,825 |
| Contractual Services | 16,529 | 16,600 | 16,600 | -- | 16,600 |
| Commodities | 19,142 | 19,600 | 19,600 | -- | 19,600 |
| Capital Outlay | 123 | -- | -- | -- | -- |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$2,543,712 | \$2,673,364 | \$2,688,841 | \$110,340 | \$2,690,025 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$2,543,712 | \$2,673,364 | \$2,688,841 | \$110,340 | \$2,690,025 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$2,543,712 | \$2,673,364 | \$2,688,841 | \$110,340 | \$2,690,025 |
| Non-expense Items | -- | -- | -- | -- | -- |
| Total Expenditures | \$2,543,712 | \$2,673,364 | \$2,688,841 | \$110,340 | \$2,690,025 |
| Expenditures by Fund | | | | | |
| State General Fund | -- | -- | -- | -- | -- |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 2,543,712 | 2,673,364 | 2,688,841 | 110,340 | 2,690,025 |
| Total Expenditures | \$2,543,712 | \$2,673,364 | \$2,688,841 | \$110,340 | \$2,690,025 |
| FTE Positions | 48.5 | 48.5 | 48.5 | -- | 48.5 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 48.5 | 48.5 | 48.5 | -- | 48.5 |

| Performance Measures | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|---|-------------------|---------------------|---------------------|
| Number of miles patrolled | 1,787,074 | 1,800,000 | 1,800,000 |
| Percent fatalities per 1.0 million miles traveled | .001 % | .001 % | .001 % |
| Number of DUI arrests | 424 | 375 | 375 |

Motor Carrier Inspection

Operations. The Motor Carrier Inspection Program issues permits, weighs vehicles, and enforces laws affecting commercial motor carriers using Kansas highways. Permits are sold at any of ten fixed-location facilities throughout the state. Weigh stations, both fixed and portable, check truck and other carrier weights. Enforcement of weight laws is also performed by mobile units throughout the state. Permit issuing and weighing involve other state agencies, including the Kansas Corporation Commission, Kansas Livestock Commission, Department of Transportation, Insurance Department, and Department of Revenue.

Goals and Objectives. The goal of the Motor Carrier Inspection Program is to preserve the quality of roads in Kansas and to enhance the safety of motorists on Kansas roads by eliminating overweight commercial vehicles from operating in Kansas. The objective associated with this goal is to:

Reduce the number of overweight trucks operating on Kansas roads.

Statutory History. KSA 66-1302 gives the Highway Patrol the responsibility for enforcement of the State Motor Carrier Inspection Law.

Motor Carrier Inspection

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|--------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 5,221,655 | 5,800,605 | 6,053,939 | 40,164 | 6,174,176 |
| Contractual Services | 283,287 | 295,000 | 295,000 | -- | 295,000 |
| Commodities | 83,281 | 93,500 | 93,500 | -- | 93,500 |
| Capital Outlay | 2,283 | -- | -- | -- | -- |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$5,590,506 | \$6,189,105 | \$6,442,439 | \$40,164 | \$6,562,676 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | 229 | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$5,590,735 | \$6,189,105 | \$6,442,439 | \$40,164 | \$6,562,676 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$5,590,735 | \$6,189,105 | \$6,442,439 | \$40,164 | \$6,562,676 |
| Non-expense Items | -- | -- | -- | -- | -- |
| Total Expenditures | \$5,590,735 | \$6,189,105 | \$6,442,439 | \$40,164 | \$6,562,676 |
| Expenditures by Fund | | | | | |
| State General Fund | -- | -- | -- | -- | 4,299 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 5,590,735 | 6,189,105 | 6,442,439 | 40,164 | 6,558,377 |
| Total Expenditures | \$5,590,735 | \$6,189,105 | \$6,442,439 | \$40,164 | \$6,562,676 |
| FTE Positions | 159.0 | 159.0 | 159.0 | -- | 159.0 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 159.0 | 159.0 | 159.0 | -- | 159.0 |

Performance Measures

| | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|--|-------------------|---------------------|---------------------|
| Number of safety programs presented | 130 | 125 | 125 |
| Percent of vehicles at ports exceeding the legal weight limit | .31 % | .30 % | .30 % |
| Percent of trucks stopped by mobile units illegally overweight | 30.6 % | 30.0 % | 30.0 % |

Capital Improvements

Operations. The Debt Service Program provides for the payment of debt service to finance the purchase and renovation of the former Marymount College for use as the Highway Patrol Training Center. The Capital Improvements Program provides for capital improvements for the agency, including rehabilitation

and repair projects at the Highway Patrol Training Center and the Motor Carrier Inspection stations.

Statutory History. Debt service payments and capital improvement projects are authorized by individual appropriations of the Legislature.

Capital Improvements

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|-------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | -- | -- | -- | -- | -- |
| Contractual Services | -- | -- | -- | -- | -- |
| Commodities | -- | -- | -- | -- | -- |
| Capital Outlay | -- | -- | -- | -- | -- |
| Debt Service | 227,666 | 209,210 | 188,965 | -- | 188,965 |
| Subtotal: State Operations | \$227,666 | \$209,210 | \$188,965 | \$ -- | \$188,965 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$227,666 | \$209,210 | \$188,965 | \$ -- | \$188,965 |
| Capital Improvements | 502,322 | 608,701 | 746,121 | -- | 746,121 |
| Total Reportable Expenditures | \$729,988 | \$817,911 | \$935,086 | \$ -- | \$935,086 |
| Non-expense Items | -- | -- | -- | -- | -- |
| Total Expenditures | \$729,988 | \$817,911 | \$935,086 | \$ -- | \$935,086 |
| Expenditures by Fund | | | | | |
| State General Fund | -- | -- | -- | -- | -- |
| Water Plan | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 729,988 | 817,911 | 935,086 | -- | 935,086 |
| Total Expenditures | \$729,988 | \$817,911 | \$935,086 | \$ -- | \$935,086 |
| FTE Positions | -- | -- | -- | -- | -- |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | -- | -- | -- | -- | -- |

Performance Measures

There are no performance measures for this program.

Historical Society

Mission. The Society’s mission is to collect, preserve, and interpret historical documents to educate Kansans about their cultural heritage.

Operations. The Historical Society was chartered as a nonprofit organization in 1875. In 1879, it became the official trustee for the state historical collections. The Society has since functioned both as a nonprofit, membership organization and as a state agency. The Executive Director, known officially as the Secretary of the Society, is elected by the Society’s Board of Directors.

The agency is financed primarily by the State General Fund; however, a portion of the budget is financed by fees for archeological and research services and by federal aid in support of historic preservation assistance to communities. In addition, the Society administers the Heritage Trust Fund, which is financed by a one-cent per \$100 fee levied on new mortgage registrations in the state. The Trust Fund is used to award grants for historic preservation projects, including properties on the national and state registers of historic places.

As a private, nonprofit corporation, the Historical Society also receives public and private grants, solicits donations, and receives membership fees. The nonprofit expenditures are made for salaries, books and artifacts, printing and advertising, staff and program development, and special projects.

The agency has six programs: Administration, Education/Outreach, Library and Archives, Cultural Resources, Historic Sites, and the Museum.

Goals and Objectives. One goal of the agency is to identify, collect, preserve, interpret, and disseminate materials pertaining to Kansas history and the history of “The West” for public use. The goal is accomplished through the following objectives:

Conduct outreach and educational programs throughout the state.

Maintain appropriate interpretations of history at the Kansas Museum of History and the state historic sites.

Statutory History. The Kansas State Historical Society, Inc. was established by KSA 75-2701 et seq. 2001 Sub. for HB 2532 clarifies the distinction between the State Historical Society, the agency, and the Kansas State Historical Society, the private organization. The bill also gives the Governor the authority to appoint the executive director of the state agency, and created the Kansas Territorial Sesquicentennial Commission. KSA 75-3148 grants the Executive Director of the State Historical Society the authority to appoint certain agency staff. KSA 75-2717 establishes the authority for the portion of new mortgage registration fees to be deposited in the Heritage Trust Fund.

Historical Society

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|--------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Program | | | | | |
| Administration | 2,016,987 | 2,008,842 | 1,943,190 | 236,000 | 2,204,184 |
| Education/Outreach | 682,858 | 751,867 | 756,864 | 202,500 | 702,153 |
| Library & Archives | 1,653,871 | 1,716,347 | 1,702,162 | 130,008 | 1,727,548 |
| Cultural Resources | 1,782,608 | 1,950,206 | 2,017,307 | -- | 2,018,571 |
| Historic Properties | 932,595 | 904,144 | 913,033 | -- | 926,413 |
| Museum | 670,853 | 701,640 | 705,575 | -- | 715,801 |
| Capital Improvements | 593,098 | 285,473 | 296,092 | 1,190,082 | 221,092 |
| Total Expenditures | \$8,332,870 | \$8,318,519 | \$8,334,223 | \$1,758,590 | \$8,515,762 |
| Expenditures by Object | | | | | |
| Salaries and Wages | 5,012,285 | 5,315,711 | 5,367,842 | 39,123 | 5,374,381 |
| Contractual Services | 1,264,801 | 1,357,380 | 1,314,414 | 259,250 | 1,314,414 |
| Commodities | 364,180 | 284,455 | 274,375 | 112,385 | 274,375 |
| Capital Outlay | 128,545 | 62,500 | 68,500 | 57,750 | 68,500 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$6,769,811 | \$7,020,046 | \$7,025,131 | \$468,508 | \$7,031,670 |
| Aid to Local Governments | 965,295 | 1,013,000 | 1,013,000 | 100,000 | 1,263,000 |
| Other Assistance | 4,187 | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$7,739,293 | \$8,033,046 | \$8,038,131 | \$568,508 | \$8,294,670 |
| Capital Improvements | 593,098 | 285,473 | 296,092 | 1,190,082 | 221,092 |
| Total Reportable Expenditures | \$8,332,391 | \$8,318,519 | \$8,334,223 | \$1,758,590 | \$8,515,762 |
| Non-expense Items | 479 | -- | -- | -- | -- |
| Total Expenditures | \$8,332,870 | \$8,318,519 | \$8,334,223 | \$1,758,590 | \$8,515,762 |
| Expenditures by Fund | | | | | |
| State General Fund | 6,169,838 | 5,996,751 | 5,981,464 | 1,683,590 | 6,161,960 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | 130,860 | -- | -- | 75,000 | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 2,032,172 | 2,321,768 | 2,352,759 | -- | 2,353,802 |
| Total Expenditures | \$8,332,870 | \$8,318,519 | \$8,334,223 | \$1,758,590 | \$8,515,762 |
| FTE Positions | 136.5 | 136.5 | 136.5 | -- | 136.5 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 136.5 | 136.5 | 136.5 | -- | 136.5 |

| Performance Measures | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|---|-------------------|---------------------|---------------------|
| Percent of state agencies covered by retention and disposition schedules for public records | 70.0 % | 70.0 % | 74.0 % |
| Number of visitors to the Kansas Museum of History | 126,666 | 128,272 | 129,878 |
| Number of visitors to historic sites | 139,063 | 135,000 | 131,000 |
| Number of patrons registered to access holdings at Center for Historical Research | 12,394 | 15,500 | 15,500 |

Department of Human Resources

Mission. The Kansas Department of Human Resources cultivates a job ready workforce and a workplace environment to fuel economic growth for Kansas. The agency functions as a thought leader, a facilitator, a catalyst, and a services delivery organization, partnering with public and private organizations to serve the needs of Kansas employees and employers. The value to Kansas is reflected in the success in finding meaningful jobs for the unemployed, finding better-paying jobs for the underemployed, and removing barriers to performance for employees and employers as they seek to achieve their best.

Operations. The Department of Human Resources is a cabinet-level agency headed by a secretary appointed by the Governor. The Department has three divisions, each headed by a director: Workers Compensation, Employment and Training, and Employment Security. In addition, the Advisory Committee on Hispanic Affairs, Advisory Committee on African-American Affairs, Native American Affairs, Employment Security Advisory Council, Job Service Employer Committee, Kansas Council on Employment and Training, and Commission on Disability Concerns and various support units are attached to the Department. The Department also operates America's Workforce Technology Solutions, a national field center that provides design, development, programming, and maintenance support of national data systems for state employment security agencies and their one-stop partners.

The programs of the Department serve the entire labor force and business community in Kansas. One of the programs administered by the Department is

Unemployment Insurance. Under this program, employer payroll taxes are collected and unemployment benefits are paid to eligible individuals. Employment and training programs place individuals in jobs, provide employment counseling, and provide job training through the Workforce Investment Act (WIA). These programs are administered with funds from the federal government and are operated in accordance with federal laws and regulations. The Department coordinates the delivery of the Welfare to Work Program with SRS and local workforce investment boards.

Under the Workers Compensation Act, the Department conducts hearings on contested matters and negotiates settlements, receives accident reports, advises claimants of their rights, and directs and audits the vocational and physical rehabilitation needs of injured workers. The Department is also responsible for the enforcement of minimum wage, wage payment, and child labor laws as well as the regulation of private employment agencies. It also oversees the apprenticeship programs in the state. Services are provided in the area of industrial safety and boiler inspection, and collective bargaining assistance is given in both the private and public sectors.

Statutory History. Authority for the Department is found in KSA 75-5701 through KSA 75-5740. The Department was created by Executive Reorganization Order No. 14 of 1976. The executive order combined a number of labor-related programs under the cabinet-level Department. The Department also administers the Kansas Employment Security Law (KSA 44-701 through KSA 44-758) as well as the Workers Compensation Act (KSA 44-501 et seq.).

Department of Human Resources

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|----------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Program | | | | | |
| Administration & Support Services | 14,313,578 | 15,566,788 | 14,930,225 | -- | 15,054,912 |
| Unemployment Insurance Services | 230,306,759 | 246,272,846 | 253,346,553 | -- | 253,550,251 |
| African-American Affairs | 192,387 | 193,896 | 188,716 | -- | 187,720 |
| Industrial Safety & Health | 1,688,193 | 1,909,976 | 1,873,992 | 305,268 | 1,863,985 |
| Employment Services | 30,898,044 | 25,662,569 | 24,605,116 | -- | 24,772,313 |
| Workers Compensation Services | 6,181,963 | 7,231,386 | 7,810,286 | -- | 7,888,163 |
| Labor Relations & Employ. Stnds. | 549,321 | 561,581 | 554,700 | -- | 550,334 |
| America's Wrkforce Tech. Solutions | 2,192,689 | 1,875,651 | 1,903,398 | -- | 1,924,831 |
| Hispanic Affairs | 184,640 | 180,696 | 175,516 | -- | 173,604 |
| Apprenticeship | 86,458 | 119,436 | 121,013 | 201,536 | 120,873 |
| Disability Concerns | 238,078 | 282,173 | 277,464 | -- | 275,809 |
| Capital Improvements | 52,846 | 352,862 | 728,000 | -- | 660,000 |
| Total Expenditures | \$286,884,956 | \$300,209,860 | \$306,514,979 | \$506,804 | \$307,022,795 |
| Expenditures by Object | | | | | |
| Salaries and Wages | 34,920,942 | 37,694,077 | 38,020,269 | 434,864 | 38,642,119 |
| Contractual Services | 16,485,482 | 13,844,387 | 12,764,907 | 65,240 | 12,728,309 |
| Commodities | 1,220,598 | 1,219,134 | 1,185,203 | 6,700 | 1,185,203 |
| Capital Outlay | 1,371,216 | 1,267,400 | 1,176,600 | -- | 1,176,600 |
| Debt Service | -- | 182,000 | 368,000 | -- | 215,000 |
| Subtotal: State Operations | \$53,998,238 | \$54,206,998 | \$53,514,979 | \$506,804 | \$53,947,231 |
| Aid to Local Governments | 12,816,201 | 10,667,000 | 10,475,000 | -- | 10,465,564 |
| Other Assistance | 219,038,464 | 234,515,000 | 241,515,000 | -- | 241,515,000 |
| Subtotal: Operating Expenditures | \$285,852,903 | \$299,388,998 | \$305,504,979 | \$506,804 | \$305,927,795 |
| Capital Improvements | 52,846 | 170,862 | 360,000 | -- | 445,000 |
| Total Reportable Expenditures | \$285,905,749 | \$299,559,860 | \$305,864,979 | \$506,804 | \$306,372,795 |
| Non-expense Items | 979,207 | 650,000 | 650,000 | -- | 650,000 |
| Total Expenditures | \$286,884,956 | \$300,209,860 | \$306,514,979 | \$506,804 | \$307,022,795 |
| Expenditures by Fund | | | | | |
| State General Fund | 3,071,046 | 2,906,889 | 2,108,132 | 550,590 | 2,053,985 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 283,813,910 | 297,302,971 | 304,406,847 | (\$ 43,786) | 304,968,810 |
| Total Expenditures | \$286,884,956 | \$300,209,860 | \$306,514,979 | \$506,804 | \$307,022,795 |
| FTE Positions | | | | | |
| FTE Positions | 964.3 | 963.4 | 961.4 | 9.5 | 961.4 |
| Non-FTE Unclassified Permanent | 19.0 | 19.0 | 19.0 | -- | 19.0 |
| Total Positions | 983.3 | 982.4 | 980.4 | 9.5 | 980.4 |

Administration & Support Services

Operations. The Administration and Support Services Program includes the central management and administrative support functions of the Department of Human Resources as well as the new Native American Affairs unit. Four subprograms support the activities of the program.

The General Administration Subprogram includes the Secretary of Human Resources, the Deputy Secretary, the Director of Employment Security, the Director of Employment and Training, and the Director of Native American Affairs. General Administration staff oversees operations of the Department and is responsible for effective administration. The Native American Affairs two-person unit will implement programs of public education on issues related to Native American heritage and history. It will also promote understanding of issues relating to the Native American race, ethnicity, ancestry, and religion.

The Legal Services Subprogram deals with legal matters, which include law suits, civil rights complaints, leases, purchase agreements, real estate transactions, employer bankruptcy, legislative issues, interpretation of federal regulations, legal opinions for the Department, and wage and hour disputes.

The Support Services Subprogram consists of several organizational units. Labor Market Information Services maintains basic labor force and economic data and is the actuarial trustee of the state's Employment Security Trust Fund. Communications is responsible for marketing activities, media relations, and production of a newsletter. Other units in this subprogram include Personnel Administration, Equal

Employment Opportunity (EEO), Building and Office Services, Internal Security, and Fiscal Management.

The Data Processing Subprogram provides data processing services to the Department. The agency now uses the DISC mainframe to provide computer teleprocessing network services for over 45 locations across the state.

Goals and Objectives. The primary goal of this program is to provide administration and support services to the other programs so that the agency can provide effective services to the citizens of Kansas and maintain compliance with federal and state law. A selection of key objectives is outlined below:

Provide quality legal services in the collection of unemployment insurance taxes and the collection of unpaid wages on behalf of employees.

Provide personnel services that ensure the agency has qualified staff to meet its mission and goals.

Provide timely and accurate labor market information in response to user requests.

Statutory History. Authority for the program is found in KSA 75-5701 through 75-5740, which establish the Department of Human Resources. Applicable federal regulations include the Wagner-Peyser Act of 1933, Titles III and IX of the Social Security Act, the Federal Unemployment Tax Act, and the Workforce Investment Act.

Administration & Support Services

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|---------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 8,767,237 | 9,712,846 | 9,817,344 | -- | 9,944,264 |
| Contractual Services | 4,353,552 | 4,744,824 | 4,037,124 | -- | 4,034,891 |
| Commodities | 496,944 | 509,118 | 467,657 | -- | 467,657 |
| Capital Outlay | 676,500 | 600,000 | 608,100 | -- | 608,100 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$14,294,233 | \$15,566,788 | \$14,930,225 | \$ -- | \$15,054,912 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | 16,136 | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$14,310,369 | \$15,566,788 | \$14,930,225 | \$ -- | \$15,054,912 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$14,310,369 | \$15,566,788 | \$14,930,225 | \$ -- | \$15,054,912 |
| Non-expense Items | 3,209 | -- | -- | -- | -- |
| Total Expenditures | \$14,313,578 | \$15,566,788 | \$14,930,225 | \$ -- | \$15,054,912 |
| Expenditures by Fund | | | | | |
| State General Fund | 385,640 | 568,871 | 464,466 | 43,786 | 516,678 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 13,927,938 | 14,997,917 | 14,465,759 | (\$ 43,786) | 14,538,234 |
| Total Expenditures | \$14,313,578 | \$15,566,788 | \$14,930,225 | \$ -- | \$15,054,912 |
| FTE Positions | | | | | |
| FTE Positions | 182.0 | 181.1 | 181.1 | -- | 181.1 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 182.0 | 181.1 | 181.1 | -- | 181.1 |

| Performance Measures | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|--|-------------------|---------------------|---------------------|
| Percent of labor market requests processed within three days | 89.0 % | 95.0 % | 95.0 % |
| Percent of time network server is available for use | 99.0 % | 99.0 % | 99.0 % |

Unemployment Insurance Services

Operations. The Unemployment Insurance Services Program administers the state's unemployment insurance law and related federal programs. Benefits are provided to eligible applicants to replace part of the wages lost from involuntary unemployment.

This program determines the employer's tax rates; receives, processes, and pays benefit claims; adjudicates irregular claims; hears appeals on contested cases; and compiles data to meet state and federal requirements. The program operates through several units: the Contributions Branch, Benefits Branch, Benefit Payment Control Unit, Quality Control Unit, Appeals Unit, and the Board of Review.

The Contributions Branch establishes employer liability under the Kansas Employment Security Law; collects the unemployment insurance tax, which is used to pay benefits; processes quarterly contributions and wage reports; collects past due tax liabilities; and establishes experience ratings for payment of employer contributions. The Benefits Branch determines unemployment eligibility and processes benefit payments. These services are now provided through three telephone call centers.

The Benefit Payment Control Unit is responsible for fraud prevention, detection, and collection of benefit overpayments. Random audits are conducted by the Quality Control Unit to determine rates of fraud, abuse, or errors made by either claimants, employers, or the agency in processing benefit claims.

The Appeals Branch is responsible for reviewing the decisions made by claims examiners when appealed by the employer or claimant. Decisions made by referees of the Appeals Branch are subject to review by the

Board of Review if the employer or claimant is not satisfied with the referee's decision.

Goals and Objectives. The major goal of the Unemployment Insurance Program is to assist eligible unemployed workers by providing monetary benefits during a temporary period of unemployment. This goal is accomplished through the following objectives:

Provide unemployment benefits to eligible clients in a timely manner.

Collect, deposit, and audit employer contributions promptly and accurately.

Improve the quality and promptness of determinations and appeals in regard to eligibility.

Provide access to services that will improve claimants' chances for returning to work.

Statutory History. Authority for the program is found in KSA 44-701 through 44-758, referred to as the Employment Security Law. The 1995 Legislature amended KSA 44-710a to provide that positive balance employers not pay unemployment tax to the State Employment Security Trust Fund until January 1, 1997. Subsequent amendments continued the moratorium until January 1, 2000. Employers began, once again, to pay unemployment insurance taxes effective January 1, 2000. The rate of total collections was reduced to approximately one-third of the rate of assessment prior to the moratorium. The framework of this program was established in Titles III and IX of the Social Security Act and the Federal Unemployment Tax Act.

Unemployment Insurance Services

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|----------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 8,646,246 | 9,059,776 | 9,108,833 | -- | 9,312,531 |
| Contractual Services | 1,975,444 | 2,165,150 | 2,188,050 | -- | 2,188,050 |
| Commodities | 83,709 | 84,720 | 86,470 | -- | 86,470 |
| Capital Outlay | 84,170 | 53,200 | 53,200 | -- | 53,200 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$10,789,569 | \$11,362,846 | \$11,436,553 | \$ -- | \$11,640,251 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | 218,815,747 | 234,310,000 | 241,310,000 | -- | 241,310,000 |
| Subtotal: Operating Expenditures | \$229,605,316 | \$245,672,846 | \$252,746,553 | \$ -- | \$252,950,251 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$229,605,316 | \$245,672,846 | \$252,746,553 | \$ -- | \$252,950,251 |
| Non-expense Items | 701,443 | 600,000 | 600,000 | -- | 600,000 |
| Total Expenditures | \$230,306,759 | \$246,272,846 | \$253,346,553 | \$ -- | \$253,550,251 |
| Expenditures by Fund | | | | | |
| State General Fund | 74 | 2,928 | 5,696 | -- | 13,455 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 230,306,685 | 246,269,918 | 253,340,857 | -- | 253,536,796 |
| Total Expenditures | \$230,306,759 | \$246,272,846 | \$253,346,553 | \$ -- | \$253,550,251 |
| FTE Positions | 326.8 | 326.8 | 326.8 | -- | 326.8 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 326.8 | 326.8 | 326.8 | -- | 326.8 |

| Performance Measures | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|---|-------------------|---------------------|---------------------|
| Unemployment rate | 3.7 % | 3.9 % | 4.0 % |
| Number of initial claims filed for benefits | 141,800 | 155,000 | 165,000 |
| Percent of intrastate claimants to be issued first payment within 14 days | 91.8 % | 92.0 % | 93.0 % |
| Percent of claimants filing weekly claims via the voice response system | 98.0 % | 98.0 % | 98.0 % |
| Average duration of unemployment benefits (in weeks) | 12.8 | 12.5 | 12.0 |

African-American Affairs

Operations. The 1997 Legislature established the Advisory Commission on African-American Affairs to serve as an advisor to the Secretary of Human Resources. The Commission gathers and disseminates information on issues concerning African-Americans and cooperates with other state agencies in serving the needs of African-Americans. The Commission will develop, coordinate, and assist other public and private organizations with understanding African-American issues and will propose new programs concerning African-Americans. The seven-member Advisory Commission can appoint, with approval of the Secretary of Human Resources, an Executive Director. The Executive Director can appoint, with approval of the Advisory Commission and Secretary of Human Resources, technical advisors to develop and assist local organizations on African-American concerns.

Goals and Objectives. The Advisory Commission on African-American Affairs has identified four goals:

Provide information and opportunities that can constructively effect the lives of African-Americans.

Reduce the number of African-Americans in the criminal justice system.

Increase the number of African-Americans enrolled in institutions of higher education.

Expand African-American programming statewide.

Statutory History. KSA 2001 Supp. 74-9901 through 74-9906 create the Advisory Commission on African-American Affairs.

African-American Affairs

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|-------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 94,595 | 123,718 | 124,963 | -- | 127,507 |
| Contractual Services | 77,593 | 56,248 | 51,473 | -- | 47,933 |
| Commodities | 13,829 | 13,930 | 12,280 | -- | 12,280 |
| Capital Outlay | 6,370 | -- | -- | -- | -- |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$192,387 | \$193,896 | \$188,716 | \$ -- | \$187,720 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$192,387 | \$193,896 | \$188,716 | \$ -- | \$187,720 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$192,387 | \$193,896 | \$188,716 | \$ -- | \$187,720 |
| Non-expense Items | -- | -- | -- | -- | -- |
| Total Expenditures | \$192,387 | \$193,896 | \$188,716 | \$ -- | \$187,720 |
| Expenditures by Fund | | | | | |
| State General Fund | 173,028 | 174,396 | 169,216 | -- | 168,220 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 19,359 | 19,500 | 19,500 | -- | 19,500 |
| Total Expenditures | \$192,387 | \$193,896 | \$188,716 | \$ -- | \$187,720 |
| FTE Positions | 1.0 | 1.0 | 1.0 | -- | 1.0 |
| Non-FTE Unclassified Permanent | 2.0 | 2.0 | 2.0 | -- | 2.0 |
| Total Positions | 3.0 | 3.0 | 3.0 | -- | 3.0 |

| Performance Measures | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|--|-------------------|---------------------|---------------------|
| Number of Public Presentations | NA | 35 | 55 |
| Number of requests received for information and assistance | NA | 40 | 80 |
| Number of published articles/editorials on African-American Community needs | NA | 8 | 15 |
| Number of contacts with public and private leaders and organizations | NA | 60 | 75 |

Industrial Safety & Health

Operations. KDHR's Industrial Safety and Health Section coordinates six programs: (1) Boiler Safety Inspection Program; (2) Accident Prevention Program; (3) 21(d) Consultation Project; (4) Public Sector Compliance; (5) State Workplace Health and Safety Program; and (6) Annual Safety and Health Conference. The Boiler Safety Inspection Program and the Annual Safety and Health Conference are both fee funded. Accident Prevention funding is obtained from the Division of Workers Compensation Assessments. These funds also supply the state match money for the 21(d) Consultation Project, which derives up to 90.0 percent of its funding from a federal grant. The State Workplace Safety and Health Program is funded by a contract with the Department of Administration. Starting in FY 2003, this program will again become the responsibility of the Department of Administration. Public Sector Compliance is the single program which derives its support from the State General Fund.

The Boiler Safety Inspection Program oversees the inspection and certification of all Kansas boilers. Currently, there are 17,400 certificated boilers in the state—7,339 new and 10,061 used (over two years old). Boilers may be inspected by an insurance company inspector registered with the Boiler Safety Inspection Program or a state inspector. Certificates are issued if the boiler is found to be safe for operation. Twenty percent of fees for inspections and certification are contributed to the State General Fund.

The Accident Prevention Program assesses the safety and health services provided by workers compensation insurance companies. Evaluations are made by review of both insurers and insureds. Over the last few years, these evaluations have been extended into state agencies through the State Workplace Health and Safety Program. The Annual Safety and Health Conference is sponsored by KDHR. It is funded through attendee and vendor registration fees.

Goals and Objectives. The goal of the Industrial Safety and Health Section is to reduce the frequency

and severity of workplace accidents and illnesses. Insurance companies and self-insured employers are assisted in complying with the Workers Compensation Act; public sector agencies are coached in implementing safety and health programs; educational information is disseminated during consultations and at the annual conference to assist in regulatory compliance; and safe operation of boilers and pressure vessels is assured through the inspection and certification process. Several objectives direct program activities.

Provide high quality safety and health consultation services at no cost to employers.

Abate all identified safety and health hazards according to federal guidelines.

Review accident prevention services provided by workers compensation insurance companies and group-funded, self-insurance plans.

Respond to all public sector employee complaints and investigate all public sector fatalities.

Assure compliance of boilers belonging to uninsured owners and insured boilers.

Inspect all new installations of boilers and pressure vessels to verify initial compliance.

Statutory History. Authority for these programs is found in KSA 44-636, which authorizes inspections of all public and private work sites for safety and health hazards, and KSA 44-575(f), which mandates health and safety programs for state agencies. KSA 44-013 et seq., the Boiler Safety Act, outline the boiler and pressure vessel inspection requirements. KSA 44-5,104, Accident Prevention, identifies the requirements for workers compensation insurance providers. KSA 75-5740 relates to accident investigations.

Industrial Safety & Health

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|--------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 1,235,078 | 1,440,999 | 1,477,196 | 258,218 | 1,477,991 |
| Contractual Services | 313,192 | 335,317 | 261,344 | 41,350 | 250,542 |
| Commodities | 64,502 | 68,660 | 70,452 | 5,700 | 70,452 |
| Capital Outlay | 75,421 | 65,000 | 65,000 | -- | 65,000 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$1,688,193 | \$1,909,976 | \$1,873,992 | \$305,268 | \$1,863,985 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$1,688,193 | \$1,909,976 | \$1,873,992 | \$305,268 | \$1,863,985 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$1,688,193 | \$1,909,976 | \$1,873,992 | \$305,268 | \$1,863,985 |
| Non-expense Items | -- | -- | -- | -- | -- |
| Total Expenditures | \$1,688,193 | \$1,909,976 | \$1,873,992 | \$305,268 | \$1,863,985 |
| Expenditures by Fund | | | | | |
| State General Fund | 159,379 | 247,387 | 235,539 | 305,268 | 206,942 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 1,528,814 | 1,662,589 | 1,638,453 | -- | 1,657,043 |
| Total Expenditures | \$1,688,193 | \$1,909,976 | \$1,873,992 | \$305,268 | \$1,863,985 |
| FTE Positions | 35.0 | 35.0 | 33.0 | 5.5 | 33.0 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 35.0 | 35.0 | 33.0 | 5.5 | 33.0 |

| Performance Measures | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|---|-------------------|---------------------|---------------------|
| Number of OSHA consultations | 653 | 600 | 600 |
| Percent of planned inspections completed | 80.0 % | 90.0 % | 90.0 % |
| Number of boilers and pressure vessels inspected | 12,974 | 10,500 | 10,500 |
| Percent of boilers found to be deficient | 25.0 % | 25.0 % | 25.0 % |
| Number of workplace safety consultations for state agencies | 153 | 150 | 150 |

Employment Services

Operations. The Division of Employment and Workforce Investment Training oversees the federal Welfare-to-Work Program and the Job Service operations in the Department. Job Service places job-ready applicants with employers and helps employers find suitable employees. Workforce Investment Act (WIA) programs under Federal Title I prepare youth and unskilled adults who are economically disadvantaged or have serious problems to enter the labor force. Title I funds also provide reemployment services for dislocated workers.

The WIA, which replaced the Job Training Partnership Act on July 1, 2000, provides workforce investment activities that increase the employment, retention, and earnings of participants. It also increases the occupational skill attainment by participants and, as a result, improves the quality of the workforce, reduces welfare dependency, and enhances the productivity and competitiveness of the nation.

The Welfare-to-Work Program is funded by a mix of federal funds and state funds. The program provides transitional assistance which moves hard-to-employ welfare recipients into unsubsidized employment and economic self-sufficiency. The Division is also responsible for managing One-Stop Career Center planning to transform a collection of separate workforce development programs into a customer-focused system accessible to all Kansans. Under the grant, additional information will be gathered and a plan will be drafted to gain further financial support and implementation.

Goals and Objectives. The goal of the Job Services function is to assist employers in matching job

openings with occupationally-qualified workers. Objectives for this goal include:

Providing quality labor exchange services to employers and job applicants statewide.

Acting as lead agency in providing information on all employment and training services that are available in Kansas communities.

The goal of the Job Training function is to prepare youth and adults who face serious barriers to employment for participation in the labor force through job training and other services. One objective is to provide retraining and readjustment services to eligible dislocated workers.

The goal of the One-Stop Career Center is to transform separate programs into a customer-focused system accessible to all Kansans and characterized by high quality services. The system includes the following federally-funded programs: WIA Title I, Job Service, Unemployment Insurance, Veterans Employment Services, Senior Community Service Employment, Migrant Seasonal Farmworkers, Welfare-to-Work, and other similar programs.

Statutory History. Authority for the Employment Services Program is found in KSA 44-701 through 44-758, the Federal Wagner-Peyser Act, and the Social Security Act. Federal regulations 20 CFR Parts 602-604 and 651-653 relate to Job Services. The Workforce Investment Act is authorized by PL 105-220. The Welfare-to-Work Program is authorized by the Balanced Budget Act of 1997.

Employment Services

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|---------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 9,338,075 | 10,119,869 | 10,173,272 | -- | 10,349,905 |
| Contractual Services | 7,656,490 | 4,173,550 | 3,285,344 | -- | 3,285,344 |
| Commodities | 322,686 | 327,150 | 296,500 | -- | 296,500 |
| Capital Outlay | 339,427 | 170,000 | 170,000 | -- | 170,000 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$17,656,678 | \$14,790,569 | \$13,925,116 | \$ -- | \$14,101,749 |
| Aid to Local Governments | 12,816,201 | 10,667,000 | 10,475,000 | -- | 10,465,564 |
| Other Assistance | 206,561 | 205,000 | 205,000 | -- | 205,000 |
| Subtotal: Operating Expenditures | \$30,679,440 | \$25,662,569 | \$24,605,116 | \$ -- | \$24,772,313 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$30,679,440 | \$25,662,569 | \$24,605,116 | \$ -- | \$24,772,313 |
| Non-expense Items | 218,604 | -- | -- | -- | -- |
| Total Expenditures | \$30,898,044 | \$25,662,569 | \$24,605,116 | \$ -- | \$24,772,313 |
| Expenditures by Fund | | | | | |
| State General Fund | 1,736,785 | 1,193,770 | 471,726 | -- | 466,194 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 29,161,259 | 24,468,799 | 24,133,390 | -- | 24,306,119 |
| Total Expenditures | \$30,898,044 | \$25,662,569 | \$24,605,116 | \$ -- | \$24,772,313 |
| FTE Positions | | | | | |
| FTE Positions | 259.5 | 259.5 | 259.5 | -- | 259.5 |
| Non-FTE Unclassified Permanent | 15.0 | 15.0 | 15.0 | -- | 15.0 |
| Total Positions | 274.5 | 274.5 | 274.5 | -- | 274.5 |

| Performance Measures | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|--|-------------------|---------------------|---------------------|
| Number of job placements | 18,552 | 19,000 | 20,000 |
| Percent of employer job openings filled | 47.0 % | 50.0 % | 55.0 % |
| Number of clients referred to jobs | 46,512 | 47,000 | 48,000 |
| Percent of job seekers who obtained employment | 15.0 % | 17.0 % | 19.0 % |

Workers Compensation Services

Operations. This program, divided into nine sections, administers the Workers Compensation Act. The sections are described below. Operating funds are derived from fees assessed on insurance carriers, a self-insured program, and individual self-insured employers' insurance companies.

The Research and Analysis Section provides information and computer services for the Division and publishes an annual statistical report and newsletter. The Data Collection, Application, Mail and Research, and Data Entry units record information on injured workers, employers, insurance carriers, self-insured employers, and attorneys. The Business and Accounting Section assesses self-insured employers and insurance carriers, assists with the budget process, and regulates self-insured employers.

The Ombudsman Section employs full-time personnel who specialize in aiding injured workers, employers, and insurance professionals with claim information and problems arising from job-related injuries and illnesses. The ombudsman acts in an impartial manner and is available to provide the parties with general information about the current issues within the workers compensation system.

The Rehabilitation Section, in conjunction with insurance carriers, private rehabilitation vendors, and SRS, directs and audits the vocational and physical rehabilitation services provided to injured workers. The Medical Services Section is responsible for establishing schedules that fix the maximum fees for medically-related services.

The Judicial Section includes ten administrative law judge positions. A hearing may be requested whenever there is a disagreement regarding the right to compensation or benefits due the injured worker. Awards by the judges can be appealed to the Workers Compensation Appeals Board of Review. The Fraud and Abuse Investigation Section directs and assists in

the investigation and prosecution of alleged fraudulent or abusive acts or practices. The Compliance Section directs and assists in the investigation of noncompliance of coverage and proper filings. The Mediation Section is available, if the parties agree, to assist them in reaching agreement on any disputed issue in a workers compensation claim.

Goals and Objectives. The goal of this program is to provide services mandated in the Kansas Workers Compensation Act and to ensure Workers Compensation customers receive quality services in a positive, efficient, and expeditious manner. The following objectives will achieve this goal:

Respond to administrative and legislative requests for information in a timely manner.

Develop and administer cost saving provisions mandated by the Workers Compensation Act that will help stabilize workers compensation insurance rates.

Reduce the amount of litigation associated with current and future workers compensation claims.

Provide vocational rehabilitation counseling services that help injured workers to return to their previous job or find other gainful employment, if possible.

Provide a system for monitoring, reporting, and investigating fraud or abuse.

Statutory History. Authority for the program is found in KSA 44-501 through 44-592. The act was originally passed in 1911 and extensively revised in 1974. In 1976, the Legislature placed the Workers Compensation Program in the Department of Human Resources (KSA 75-5708). Extensive reforms were enacted in 1987, 1990, and 1993.

Workers Compensation Services

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|--------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 4,433,768 | 4,970,238 | 5,027,106 | -- | 5,104,983 |
| Contractual Services | 1,479,788 | 1,776,613 | 2,359,080 | -- | 2,359,080 |
| Commodities | 173,547 | 143,635 | 180,300 | -- | 180,300 |
| Capital Outlay | 93,984 | 340,900 | 243,800 | -- | 243,800 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$6,181,087 | \$7,231,386 | \$7,810,286 | \$ -- | \$7,888,163 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$6,181,087 | \$7,231,386 | \$7,810,286 | \$ -- | \$7,888,163 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$6,181,087 | \$7,231,386 | \$7,810,286 | \$ -- | \$7,888,163 |
| Non-expense Items | 876 | -- | -- | -- | -- |
| Total Expenditures | \$6,181,963 | \$7,231,386 | \$7,810,286 | \$ -- | \$7,888,163 |
| Expenditures by Fund | | | | | |
| State General Fund | -- | -- | -- | -- | -- |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 6,181,963 | 7,231,386 | 7,810,286 | -- | 7,888,163 |
| Total Expenditures | \$6,181,963 | \$7,231,386 | \$7,810,286 | \$ -- | \$7,888,163 |
| FTE Positions | | | | | |
| FTE Positions | 111.0 | 111.0 | 111.0 | -- | 111.0 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 111.0 | 111.0 | 111.0 | -- | 111.0 |

| | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|---|-------------------|---------------------|---------------------|
| Performance Measures | | | |
| Number of accident reports | 88,554 | 90,000 | 90,000 |
| Number of days from initial report of fraud or abuse to investigation | 54 | 40 | 40 |
| Number of fraud abuse cases reviewed | 195 | 200 | 210 |
| Percent of cases resolved in pre-trial mediation conferences | 40.0 % | 45.0 % | 50.0 % |
| Number of regular hearings held | 701 | 750 | 750 |

Labor Relations & Employment Standards

Operations. This program enforces laws relating to employment standards, labor relations, and public employee relations. The Employment Standards Section is primarily responsible for the enforcement of wage payment and child labor laws, but also regulates private employment agencies. The Labor Relations Section provides assistance in the organizational or collective bargaining process to employers and employees in the private sector who are exempt from federal laws, agricultural employers and employees, and all public employers and employees of the state and its agencies as well as those of such other public employers who elect to be brought under the provisions of KSA 75-4321 et seq. Assistance provided by staff includes holding bargaining unit determination hearings, conducting elections, and holding hearings on prohibited practice charges.

Mediation, fact finding, and arbitration services are available in the event of an impasse in negotiations. Disputes involving public employees under KSA 75-4321 et seq. and teachers under KSA 72-5413 et seq. are resolved in accordance with the Kansas Administrative Procedure Act subject to review by the Public Employee Relations Board and the Secretary of Human Resources, respectively.

Goals and Objectives. The goal of the Labor Relations and Employment Standards Program is to promote a harmonious and cooperative employer-employee relationship and to enforce laws providing protection to the Kansas workforce through due

process as provided by statute. Objectives are listed below:

Provide public employees a means to establish bargaining units and elect an organization to represent them in labor negotiations.

Provide public employers and employees with a means to resolve their disputes over unfair bargaining practices.

Provide employers and employees with a means to resolve their disputes about payment of wages and deductions from wages.

Disseminate information to the public regarding employment of minors and investigate complaints about child labor violations.

License and regulate employment agencies which charge a fee to the job seeker.

Statutory History. Authority for the Labor Relations and Employment Standards Program is found in several statutes: Wage Payment Act, KSA 44-313 et seq.; Minimum Wage and Maximum Hours Act, KSA 44-1201 et seq.; Child Labor Act, KSA 38-601 et seq.; private employment agencies, KSA 44-401 et seq.; labor relations, KSA 44-801 et seq.; public sector labor relations, KSA 75-4321; and Professional Negotiations Act, KSA 72-5413 et seq.

Labor Relations & Employment Standards

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|-------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 419,288 | 429,347 | 434,070 | -- | 439,796 |
| Contractual Services | 75,140 | 75,034 | 65,230 | -- | 55,138 |
| Commodities | 3,073 | 3,900 | 3,900 | -- | 3,900 |
| Capital Outlay | 4,695 | 3,300 | 1,500 | -- | 1,500 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$502,196 | \$511,581 | \$504,700 | \$ -- | \$500,334 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | 20 | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$502,216 | \$511,581 | \$504,700 | \$ -- | \$500,334 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$502,216 | \$511,581 | \$504,700 | \$ -- | \$500,334 |
| Non-expense Items | 47,105 | 50,000 | 50,000 | -- | 50,000 |
| Total Expenditures | \$549,321 | \$561,581 | \$554,700 | \$ -- | \$550,334 |
| Expenditures by Fund | | | | | |
| State General Fund | 114,405 | 226,596 | 215,118 | -- | 206,632 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 434,916 | 334,985 | 339,582 | -- | 343,702 |
| Total Expenditures | \$549,321 | \$561,581 | \$554,700 | \$ -- | \$550,334 |
| FTE Positions | 10.0 | 10.0 | 10.0 | -- | 10.0 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 10.0 | 10.0 | 10.0 | -- | 10.0 |

| Performance Measures | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|--|-------------------|---------------------|---------------------|
| Number of impasse cases | 154 | 155 | 155 |
| Number of prohibited practice cases processed | 21 | 30 | 30 |
| Number of wage payment cases served claims filed | 2,003 | 2,100 | 2,250 |
| Total dollar amount of wages collected/recovered (in millions) | \$1.4 | \$1.5 | \$1.7 |

America's Workforce Technology Solutions

Operations. America's Workforce Technology Solutions (AWTS) is a national data processing systems and training field center with functional direction provided by the Interstate Conference of Employment Security Agencies (ICESA). The center was founded in 1969 with funding from the U.S. Department of Labor to centralize the development of management information systems used within the federal/state employment security system. AWTS computer-based systems provide the means for the national employment, training, and one-stop community to capture, process, and report data relating to labor exchange and training opportunities.

Design and modification specifications for the computer-based systems are provided by the U.S. Department of Labor, Employment and Training Administration, or a user group from state employment security agencies representing the interstate body. AWTS programs the modifications of the systems to implement design changes. Training and technical assistance in support of these systems is provided in Topeka and in state offices throughout the country. Statistical and financial data relating to each state's employment security operations are produced by the states through these systems.

AWTS was originally funded directly by the U.S. Department of Labor. However, because of the federal government's decentralization efforts, federal

funding for the AWTS training component was eliminated in 1981 and the systems component in 1987. AWTS is now funded through a nonprofit corporation made up of a consortium of state employment security agencies.

Goals and Objectives. The primary goal of AWTS is to provide, at the lowest possible cost, high quality systems, programming, and training to the employment, training, and one-stop programs located in Kansas and in other states which contract for services. The following objectives have been identified for this program:

Improve the overall quality of AWTS products and services.

Improve the responsiveness to customer inquiries and reduce the number of inquiries.

Improve the rate of compliance to the work plan of Employment Security Systems Institute and AWTS approved by the Interstate Conference.

Statutory History. AWTS evolved as a federally-funded program in 1969 by an agreement between the Department of Human Resources and the U.S. Department of Labor. Authority for current contracting activity is contained in KSA 75-5735.

America's Workforce Technology Solutions

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|--------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 1,661,746 | 1,439,491 | 1,455,368 | -- | 1,476,801 |
| Contractual Services | 413,425 | 353,390 | 363,060 | -- | 363,060 |
| Commodities | 45,157 | 47,770 | 49,970 | -- | 49,970 |
| Capital Outlay | 64,391 | 35,000 | 35,000 | -- | 35,000 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$2,184,719 | \$1,875,651 | \$1,903,398 | \$ -- | \$1,924,831 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$2,184,719 | \$1,875,651 | \$1,903,398 | \$ -- | \$1,924,831 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$2,184,719 | \$1,875,651 | \$1,903,398 | \$ -- | \$1,924,831 |
| Non-expense Items | 7,970 | -- | -- | -- | -- |
| Total Expenditures | \$2,192,689 | \$1,875,651 | \$1,903,398 | \$ -- | \$1,924,831 |
| Expenditures by Fund | | | | | |
| State General Fund | -- | -- | -- | -- | -- |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 2,192,689 | 1,875,651 | 1,903,398 | -- | 1,924,831 |
| Total Expenditures | \$2,192,689 | \$1,875,651 | \$1,903,398 | \$ -- | \$1,924,831 |
| FTE Positions | 32.0 | 32.0 | 32.0 | -- | 32.0 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 32.0 | 32.0 | 32.0 | -- | 32.0 |

| Performance Measures | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|---|-------------------|---------------------|---------------------|
| Number of state employment security agencies as clients | 23 | 28 | 33 |
| Products delivered on-time or early | 90.0 % | 90.0 % | 90.0 % |
| Percent of administrators aware of AWTS products and services | NA | 80.0 % | 85.0 % |

Hispanic Affairs

Operations. The Kansas Advisory Committee on Hispanic Affairs (KACHA) identifies Hispanic community issues that are presented to the Governor, the Legislature, and other policymakers for consideration. KACHA serves as a liaison between the Hispanic community and the Executive, Legislative, and Judicial Branches of government. Through regular agency publications, informational releases to news media, and attendance at community meetings and public hearings, information is provided on government programs and policies affecting Hispanics. KACHA conducts research and policy analysis on legislative, educational, social, and economic issues and provides this information to the Hispanic community.

Goals and Objectives. The goal of the Hispanic Affairs Program is to promote and improve the Hispanic economic, educational, political, and cultural presence in both the private and public sectors of Kansas. This goal is pursued through the following objectives:

Decrease high school drop-out rates and increase educational opportunities for Hispanics.

Inform the Hispanic community about housing, health, employment, and legislative issues concerning Hispanics.

Act as a liaison between the Hispanic community and the Executive, Legislative, and Judicial Branches of government.

Monitor legislation that has a potential effect on the Hispanic community and inform the Hispanic community of the legislative process.

Statutory History. The Kansas Advisory Committee on Mexican-American Affairs (KACMAA) was established in 1974 by KSA 74-6501. In 1976 KACMAA was placed in the Department of Human Resources by Executive Reorganization Order No. 14. Responsibilities were expanded in 1979, and KSA 72-9510 and KSA 74-6504 were amended to include assistance to the Department of Education in the Bilingual Education Program. Because of a large influx of Hispanics from many different countries, on July 1, 1986, the Kansas Advisory Committee on Mexican-American Affairs was officially designated as the Kansas Advisory Committee on Hispanic Affairs (KSA 74-6501a).

Hispanic Affairs

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|-------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 98,155 | 116,857 | 118,037 | -- | 119,665 |
| Contractual Services | 68,772 | 54,676 | 48,695 | -- | 45,155 |
| Commodities | 9,170 | 9,163 | 8,784 | -- | 8,784 |
| Capital Outlay | 8,543 | -- | -- | -- | -- |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$184,640 | \$180,696 | \$175,516 | \$ -- | \$173,604 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$184,640 | \$180,696 | \$175,516 | \$ -- | \$173,604 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$184,640 | \$180,696 | \$175,516 | \$ -- | \$173,604 |
| Non-expense Items | -- | -- | -- | -- | -- |
| Total Expenditures | \$184,640 | \$180,696 | \$175,516 | \$ -- | \$173,604 |
| Expenditures by Fund | | | | | |
| State General Fund | 178,407 | 174,396 | 169,216 | -- | 167,304 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 6,233 | 6,300 | 6,300 | -- | 6,300 |
| Total Expenditures | \$184,640 | \$180,696 | \$175,516 | \$ -- | \$173,604 |
| FTE Positions | | | | | |
| FTE Positions | 1.0 | 1.0 | 1.0 | -- | 1.0 |
| Non-FTE Unclassified Permanent | 2.0 | 2.0 | 2.0 | -- | 2.0 |
| Total Positions | 3.0 | 3.0 | 3.0 | -- | 3.0 |

| Performance Measures | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|---|-------------------|---------------------|---------------------|
| Number of information mailings | 4 | 4 | 6 |
| Number of community meetings attended | 65 | 65 | 65 |
| Percent of Hispanic households reached through Hispanic Information Network | 35.0 % | 35.0 % | 35.0 % |
| Percent of Hispanic students participating in youth programs | 15.0 % | 15.0 % | 15.0 % |
| Number of youth in programs | 2,000 | 2,000 | 2,000 |

Apprenticeship

Operations. The Apprenticeship Program administers the rules and regulations regarding approval and registration of apprenticeship programs and apprentices. Apprentices are certified to federal agencies requesting such certification. Certificates of completion are issued to apprentices when competency has been established. The Department of Human Resources Apprenticeship Program and the U.S. Bureau of Apprenticeship and Training coordinate activities toward promoting and establishing apprenticeship programs. The Apprenticeship Program is under the Division of Employment and Training.

Goals and Objectives. The goal of the Apprenticeship Program is to increase the job opportunities, earning capacity, and security of unskilled persons interested in learning a trade in an apprenticeable occupation, thus helping employers meet the current need for skilled workers and the needs of future economic conditions and technological

development. Objectives for this program are identified below:

Maintain the current apprenticeship sponsors and increase employer participation in the budget year, thereby increasing the skilled workforce in Kansas.

Integrate the Registered Apprenticeship Program into the federal School-to-Work initiative.

Statutory History. The Industrial Welfare of Apprentices and Minors Act (KSA 44-639 et seq.) was enacted in 1915 to provide a standard for learners and apprentices. Upon creation of the Department of Human Resources in 1976, the statute was amended to substitute the Secretary of Human Resources for the Labor Commissioner. KSA 44-661 establishes the Kansas Apprenticeship Council in the Department of Human Resources.

Apprenticeship

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|-------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 63,304 | 102,416 | 103,553 | 176,646 | 105,196 |
| Contractual Services | 15,318 | 15,680 | 16,120 | 23,890 | 14,337 |
| Commodities | 1,359 | 1,340 | 1,340 | 1,000 | 1,340 |
| Capital Outlay | 6,477 | -- | -- | -- | -- |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$86,458 | \$119,436 | \$121,013 | \$201,536 | \$120,873 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$86,458 | \$119,436 | \$121,013 | \$201,536 | \$120,873 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$86,458 | \$119,436 | \$121,013 | \$201,536 | \$120,873 |
| Non-expense Items | -- | -- | -- | -- | -- |
| Total Expenditures | \$86,458 | \$119,436 | \$121,013 | \$201,536 | \$120,873 |
| Expenditures by Fund | | | | | |
| State General Fund | 86,222 | 92,612 | 89,132 | 201,536 | 88,992 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 236 | 26,824 | 31,881 | -- | 31,881 |
| Total Expenditures | \$86,458 | \$119,436 | \$121,013 | \$201,536 | \$120,873 |
| FTE Positions | 2.0 | 2.0 | 2.0 | 4.0 | 2.0 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 2.0 | 2.0 | 2.0 | 4.0 | 2.0 |

| Performance Measures | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|--|-------------------|---------------------|---------------------|
| Number of active apprenticeship programs | 228 | 231 | 231 |
| Number of new apprenticeship programs | 14 | 7 | 7 |
| Number of active apprentices | 1,212 | 1,150 | 1,150 |

Disability Concerns

Operations. The Commission on Disability Concerns (CDC) is mandated by statute to facilitate independence for disabled Kansans. Independence, in this instance, means equal access to employment opportunities and living outside institutions in the environment of the disabled person's choice. In the State of Kansas there are estimated to be 150,000 people with disabilities who benefit or who would benefit from increased employment opportunities and independent living options in the community of their choice. The function of CDC is to work with federal, state, and local governments as well as businesses, labor, private citizens, and non-profit organizations to enhance the employment opportunities and quality of life of disabled Kansans.

Goals and Objectives. The goal of the Disability Concerns Program is to make Kansas the top rated state in the nation for employment of people with disabilities. Objectives are outlined below:

Determine an effective method of measuring the employment of people with disabilities.

Establish a Youth Leadership Forum for high school juniors and seniors with disabilities to

teach them legislative process, leadership skills, and goal-setting as well as expose them to people with disabilities and university life.

Continue to work with the Division of Employment and Training to assure one-stop and career centers are accessible.

Establish a Business Leadership Network so that businesses in Kansas will be able to access information about working with people and applicants with disabilities.

Statutory History. In 1949, under KSA 44-413 through 44-417, the Governor's Committee on Employment of the Physically Handicapped was established. In 1968 these statutes were amended to remove the word "physically" from the Committee's name. The Governor's Committee on Employment of the Handicapped was abolished in 1976 under KSA 75-5703 and 75-5706, and the Advisory Committee on the Employment of the Handicapped was created in the Department of Human Resources under KSA 74-6701 through 74-6708. The 1989 Legislature again changed the name to the Commission on Disability Concerns (KSA 74-6701).

Disability Concerns

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|-------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 163,450 | 178,520 | 180,527 | -- | 183,480 |
| Contractual Services | 56,768 | 93,905 | 89,387 | -- | 84,779 |
| Commodities | 6,622 | 9,748 | 7,550 | -- | 7,550 |
| Capital Outlay | 11,238 | -- | -- | -- | -- |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$238,078 | \$282,173 | \$277,464 | \$ -- | \$275,809 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$238,078 | \$282,173 | \$277,464 | \$ -- | \$275,809 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$238,078 | \$282,173 | \$277,464 | \$ -- | \$275,809 |
| Non-expense Items | -- | -- | -- | -- | -- |
| Total Expenditures | \$238,078 | \$282,173 | \$277,464 | \$ -- | \$275,809 |
| Expenditures by Fund | | | | | |
| State General Fund | 237,106 | 225,933 | 221,223 | -- | 219,568 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 972 | 56,240 | 56,241 | -- | 56,241 |
| Total Expenditures | \$238,078 | \$282,173 | \$277,464 | \$ -- | \$275,809 |
| FTE Positions | 4.0 | 4.0 | 4.0 | -- | 4.0 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 4.0 | 4.0 | 4.0 | -- | 4.0 |

| Performance Measures | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|---|-------------------|---------------------|---------------------|
| Percent increase in people with disabilities receiving services | NA | NA | 50.0 % |
| Number of students participating | 32 | 35 | 35 |
| Percent of students who pursue higher education or become employed after completing high school | 14.0 % | 25.0 % | 50.0 % |

Capital Improvements

Operations. The Capital Improvements Program is responsible for the maintenance and construction of buildings owned by the Department of Human Resources. The agency currently owns buildings in 16 counties. The majority of the buildings house employment and training operations. The building which houses the administrative functions of the agency is located in Topeka.

The majority of the buildings were constructed with federal “Reed Act” funds. They are also used for building additions or other building space acquisitions related to employment security operations as well as rehabilitation and repair of the Department’s buildings.

The administrative use of Reed Act funds was to have expired in 1983. However, the Tax Equity and Fiscal Responsibility Act of 1982 (PL 97-248) extended the administrative use of these funds for ten years. With the enactment of PL 101-508, the limitation on the

number of years to use Reed Act funds for administrative purposes has been deleted entirely.

In accordance with the Workforce Investment Act of 1998, the existence of any local employment service office outside of the One-Stop Delivery system facility is prohibited. The FY 2001 Capital Improvement appropriation bill authorized the Department of Human Resources to sell local offices upon approval from the State Finance Council with the approval of the Joint Committee on State Building Construction.

Statutory History. General authority for the program is found in KSA 75-5701 through KSA 75-5740. The Department was created by Executive Reorganization Order No. 14 of 1976. The order combined a number of labor-related programs under the cabinet-level Department of Human Resources. The Reed Act Fund was created in 1954 by Section 903 of the Social Security Act.

Capital Improvements

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|-------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | -- | -- | -- | -- | -- |
| Contractual Services | -- | -- | -- | -- | -- |
| Commodities | -- | -- | -- | -- | -- |
| Capital Outlay | -- | -- | -- | -- | -- |
| Debt Service | -- | 182,000 | 368,000 | -- | 215,000 |
| Subtotal: State Operations | \$ -- | \$182,000 | \$368,000 | \$ -- | \$215,000 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$ -- | \$182,000 | \$368,000 | \$ -- | \$215,000 |
| Capital Improvements | 52,846 | 170,862 | 360,000 | -- | 445,000 |
| Total Reportable Expenditures | \$52,846 | \$352,862 | \$728,000 | \$ -- | \$660,000 |
| Non-expense Items | -- | -- | -- | -- | -- |
| Total Expenditures | \$52,846 | \$352,862 | \$728,000 | \$ -- | \$660,000 |
| Expenditures by Fund | | | | | |
| State General Fund | -- | -- | 66,800 | -- | -- |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 52,846 | 352,862 | 661,200 | -- | 660,000 |
| Total Expenditures | \$52,846 | \$352,862 | \$728,000 | \$ -- | \$660,000 |
| FTE Positions | -- | -- | -- | -- | -- |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | -- | -- | -- | -- | -- |

Performance Measures

There are no performance measures for this program.

Kansas Human Rights Commission

Mission. The mission of the Human Rights Commission is to eliminate and prevent discrimination and assure equal opportunities in the State of Kansas in all employment relations and all places of public accommodation and housing.

Operations. The Kansas Human Rights Commission consists of seven members: two representing labor, two representing industry, one representing the real estate industry, a practicing attorney, and one member appointed at large. The commissioners are appointed by the Governor for overlapping four-year terms. The Commission maintains offices in Topeka and Wichita, and satellite offices in Dodge City and Independence. The Commission employs professional staff and full or part-time legal, stenographic, and clerical assistants as necessary to carry out the law.

The Commission investigates complaints alleging unlawful discriminatory practices, as well as conducts hearings and pursues litigation relating to enforcement of the Kansas Act against Discrimination and the Kansas Age Discrimination in Employment Act. The agency also conducts educational programs to promote citizen awareness of civil rights problems and methods of resolving or preventing discrimination.

Goals and Objectives. The goal of the agency is to eliminate and prevent discrimination in employment,

housing, and public accommodations through enforcement, the investigation and resolution of complaints, and public education. Objectives are to:

Provide the opportunity for early resolution through a mediation process of every complaint filed.

Conduct a prompt and thorough investigation and render a timely determination for all complaints not resolved through mediation.

Conduct a timely and effective conciliation effort on all probable cause cases and to refer to the Office of the Hearing Examiner all cases in which conciliation efforts have not succeeded.

Emphasize the Commission's educational services to increase public awareness of the state's anti-discrimination laws and to reduce or eliminate all types of discrimination.

Statutory History. The applicable provisions of the Kansas Act against Discrimination for the Commission are included in KSA 44-1001 to 44-1044. The applicable provisions of the Kansas Age Discrimination Employment Act are included in KSA 44-1101 to 44-1109 and 44-1111 to 44-1121.

Kansas Human Rights Commission

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|--------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 1,345,316 | 1,514,867 | 1,486,513 | 84,418 | 1,463,744 |
| Contractual Services | 364,922 | 433,128 | 420,870 | 840 | 411,120 |
| Commodities | 22,396 | 18,000 | 18,000 | 240 | 16,500 |
| Capital Outlay | 13,935 | 19,559 | 13,650 | 2,600 | 7,525 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$1,746,569 | \$1,985,554 | \$1,939,033 | \$88,098 | \$1,898,889 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$1,746,569 | \$1,985,554 | \$1,939,033 | \$88,098 | \$1,898,889 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$1,746,569 | \$1,985,554 | \$1,939,033 | \$88,098 | \$1,898,889 |
| Non-expense Items | -- | -- | -- | -- | -- |
| Total Expenditures | \$1,746,569 | \$1,985,554 | \$1,939,033 | \$88,098 | \$1,898,889 |
| Expenditures by Fund | | | | | |
| State General Fund | 1,361,435 | 1,527,054 | 1,480,533 | 88,098 | 1,439,688 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 385,134 | 458,500 | 458,500 | -- | 459,201 |
| Total Expenditures | \$1,746,569 | \$1,985,554 | \$1,939,033 | \$88,098 | \$1,898,889 |
| FTE Positions | | | | | |
| FTE Positions | 36.0 | 37.0 | 37.0 | 1.0 | 37.0 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 36.0 | 37.0 | 37.0 | 1.0 | 37.0 |

| Performance Measures | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|-----------------------------------|-------------------|---------------------|---------------------|
| Open case inventory | 769 | 739 | 709 |
| Number of complaints filed | 1,073 | 1,050 | 1,050 |
| Number of complaints closed | 962 | 1,080 | 1,080 |
| Processing delay time (in months) | 6.2 | 6.1 | 6.1 |

Hutchinson Correctional Facility

Mission. The mission of Hutchinson Correctional Facility, as part of the criminal justice system, contributes to public safety by exercising reasonable, safe, secure, and humane control of offenders while actively encouraging and assisting them to become law-abiding citizens.

Operations. Hutchinson Correctional Facility is the state's second largest facility for detention and rehabilitation of adult male offenders. The facility has a capacity of 1,768 who are housed in the main custody compound within the walled portion of the institution, a minimum security unit located outside the walls, and a medium custody unit located approximately one and a quarter miles east of the main facility. The purpose of the facility is to provide secure and safe confinement of convicted felons while providing rehabilitation opportunities. There are four custody levels in the facility: maximum, special management, medium, and minimum. Each has a range of privileges. An inmate's behavior and environmental restrictions determine the custody level.

Facility operations consist of six major programs Administration, Security, Classification and Programs, Inmate Transportation, Support Services, and Capital Improvements. The Administration Program provides for the overall management and operation of the institution and includes fiscal and financial management, planning, and personnel administration. Emphasis is placed on staff training and reducing employee turnover, both of which directly affect the quality of the institution's programs.

The Security Program functions to minimize both escapes from the institution and acts of physical violence by inmates. Correctional officers control internal and external movement of inmates; monitor

activities; supervise work details; and investigate incidents relating to the security and well-being of the institution, inmates, and staff.

Classification and Programs' purpose is to classify inmate files and to provide recreational and religious programming for the inmate population. The Inmate Transportation Program reflects the facility's role as one of the two centers for the transportation system. It provides for the movement of inmates among the various correctional facilities. As with other facilities under the management of the Secretary of Corrections, education, mental and medical health services, and food services are provided through contracts with private vendors. These contracts are coordinated and funded through the Department of Corrections. The Support Services Program includes laundry and supply operations as well as physical plant maintenance. The Capital Improvements Program provides adequate and necessary facilities consistent with the intended use of the institution. Because many of the facilities were constructed between 1889 and 1912, primary emphasis has been placed on rehabilitating and repairing those existing structures.

Goals and Objectives. One goal of the facility is to provide for the secure and humane confinement of offenders and ensure public safety. The objectives associated with this goal are to:

Limit the number of inmate assaults on staff.

Limit the number of inmate escapes.

Statutory History. The general statutory citation for the Department of Corrections and the correctional institutions is Article 75, Chapter 52 of the *Kansas Statutes Annotated*.

Hutchinson Correctional Facility

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|---------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Program | | | | | |
| Administration | 1,830,512 | 1,830,600 | 1,837,069 | -- | 1,863,389 |
| Security | 12,756,305 | 13,571,471 | 14,013,076 | 18,998 | 14,282,841 |
| Inmate Transportation | 273,956 | 288,166 | 291,857 | -- | 295,644 |
| Classification & Programs | 3,254,364 | 3,218,599 | 3,289,709 | 209,095 | 3,342,900 |
| Support Services | 5,265,992 | 5,261,771 | 5,140,477 | 328,590 | 5,176,814 |
| Capital Improvements | 674,938 | 580,372 | -- | 748,152 | -- |
| Total Expenditures | \$24,056,067 | \$24,750,979 | \$24,572,188 | \$1,304,835 | \$24,961,588 |
| Expenditures by Object | | | | | |
| Salaries and Wages | 19,311,899 | 20,098,631 | 20,671,358 | 48,437 | 21,060,758 |
| Contractual Services | 2,206,356 | 2,240,277 | 2,069,422 | -- | 2,069,422 |
| Commodities | 1,651,971 | 1,695,699 | 1,762,908 | 8,940 | 1,762,908 |
| Capital Outlay | 207,155 | 136,000 | 68,500 | 499,306 | 68,500 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$23,377,381 | \$24,170,607 | \$24,572,188 | \$556,683 | \$24,961,588 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | 3,748 | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$23,381,129 | \$24,170,607 | \$24,572,188 | \$556,683 | \$24,961,588 |
| Capital Improvements | 674,938 | 580,372 | -- | 748,152 | -- |
| Total Reportable Expenditures | \$24,056,067 | \$24,750,979 | \$24,572,188 | \$1,304,835 | \$24,961,588 |
| Non-expense Items | -- | -- | -- | -- | -- |
| Total Expenditures | \$24,056,067 | \$24,750,979 | \$24,572,188 | \$1,304,835 | \$24,961,588 |
| Expenditures by Fund | | | | | |
| State General Fund | 22,755,545 | 23,838,130 | 24,321,550 | 1,304,835 | 24,708,493 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | 644,639 | 580,372 | -- | -- | -- |
| Other Funds | 655,883 | 332,477 | 250,638 | -- | 253,095 |
| Total Expenditures | \$24,056,067 | \$24,750,979 | \$24,572,188 | \$1,304,835 | \$24,961,588 |
| FTE Positions | | | | | |
| FTE Positions | 512.0 | 512.0 | 512.0 | -- | 512.0 |
| Non-FTE Unclassified Permanent | 0.5 | 0.5 | 0.5 | -- | 0.5 |
| Total Positions | 512.5 | 512.5 | 512.5 | -- | 512.5 |

| Performance Measures | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|------------------------------------|-------------------|---------------------|---------------------|
| Number of escapes | 3 | -- | -- |
| Number of inmate assaults on staff | 32 | 27 | 27 |

Board of Indigents' Defense Services

Mission. The mission of the State Board of Indigents' Defense Services is to provide, in the most efficient and economical manner, counsel and related services for persons prescribed by law as indigent.

Operations. The agency was created for the purpose of providing indigent felony defense services as required by the Sixth Amendment to the *United States Constitution*. The program provides for state public defender offices and the financing of court-appointed counsel and other defense services for indigent persons charged with felony crimes.

The program is supervised by a nine-member board appointed by the Governor subject to Senate confirmation. The Board consists of five members who are attorneys and four members who are non-attorneys. The Board pays for appointed counsel, makes arrangements for contract counsel, operates public defender offices, and conducts attorney training programs.

Public Defender offices are located in the following judicial districts: 3rd District—Topeka; 6th District—Paola; 8th District—Junction City; 10th District—Olathe; 18th District—Wichita; 25th District—Garden City; 26th District—Liberal; 27th District—Hutchinson; 28th District—Salina; and 31st District—Chanute. In addition, the Board has established an Appellate Defender's Office in Topeka. The Appellate Defender's Office represents indigent felony defendants on appeal statewide. The NE Kansas Conflict Office was established to provide public defenders on conflict of interest cases occurring within an hour's drive from the Topeka office. The Board established a Death Penalty Defense Unit to provide defense services in capital and capital-potential cases statewide.

The Board of Indigents' Defense Services supports Legal Services for Prisoners, Inc., which provides

legal assistance to indigent inmates in Kansas correctional institutions. Students in clinical programs at Washburn University and the University of Kansas law schools provide assistance in this area.

Goals and Objectives. One goal of the Board is to establish a regional public defender system as a tool for monitoring cost-effectiveness and quality. The Board will pursue this goal through the following objectives:

Appoint public defenders to represent a maximum of 150 indigent persons who have been charged with felony offenses, the standard established by the National Legal Aid and Defender Association (NLADA). Have each appellate office attorney prepare appeals representing a minimum of 22 work units, as defined by NLADA.

Continue to adopt and amend regulations to improve the cost-effectiveness of the indigents' defense regional system.

Provide training opportunities for all employees of the agency and for others performing indigents' defense work.

Develop a management information system for evaluating caseloads, costs, and qualitative aspects of indigents' defense systems on a county, district, and regional basis.

Another goal of the Board is to reimburse appointed private counsel or contract counsel in a timely way for those cases not handled by a public defender.

Statutory History. The State Board of Indigents' Defense Services was created by the 1982 Legislature (KSA 22-4519) for the purpose of providing indigent felony defense services.

Board of Indigents' Defense Services

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|---------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Program | | | | | |
| Administrative Operations | 670,315 | 675,594 | 708,734 | -- | 716,124 |
| Assigned Counsel Expenditures | 6,307,654 | 6,265,074 | 6,197,364 | 194,993 | 5,709,740 |
| Appellate Defender Operations | 1,261,656 | 1,383,482 | 1,396,879 | -- | 1,413,346 |
| Trial Level Public Defender Oper. | 5,426,949 | 5,419,631 | 5,593,393 | -- | 5,549,529 |
| Capital Defense Expenditures | 1,416,421 | 1,373,690 | 1,373,789 | 230,336 | 1,386,710 |
| Total Expenditures | \$15,082,995 | \$15,117,471 | \$15,270,159 | \$425,329 | \$14,775,449 |
| Expenditures by Object | | | | | |
| Salaries and Wages | 6,884,346 | 7,177,803 | 7,344,325 | -- | 7,357,240 |
| Contractual Services | 7,502,994 | 7,306,069 | 7,186,551 | 425,329 | 7,010,551 |
| Commodities | 141,066 | 112,010 | 114,850 | -- | 114,850 |
| Capital Outlay | 57,371 | 24,371 | 44,200 | -- | 44,199 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$14,585,777 | \$14,620,253 | \$14,689,926 | \$425,329 | \$14,526,840 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | 497,218 | 497,218 | 580,233 | -- | 248,609 |
| Subtotal: Operating Expenditures | \$15,082,995 | \$15,117,471 | \$15,270,159 | \$425,329 | \$14,775,449 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$15,082,995 | \$15,117,471 | \$15,270,159 | \$425,329 | \$14,775,449 |
| Non-expend Items | -- | -- | -- | -- | -- |
| Total Expenditures | \$15,082,995 | \$15,117,471 | \$15,270,159 | \$425,329 | \$14,775,449 |
| Expenditures by Fund | | | | | |
| State General Fund | 14,770,829 | 14,788,815 | 14,948,159 | 425,329 | 14,453,449 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 312,166 | 328,656 | 322,000 | -- | 322,000 |
| Total Expenditures | \$15,082,995 | \$15,117,471 | \$15,270,159 | \$425,329 | \$14,775,449 |
| FTE Positions | | | | | |
| FTE Positions | 164.0 | 165.5 | 165.5 | -- | 165.5 |
| Non-FTE Unclassified Permanent | 1.0 | 1.0 | 1.0 | -- | 1.0 |
| Total Positions | 165.0 | 166.5 | 166.5 | -- | 166.5 |

| Performance Measures | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|--|-------------------|---------------------|---------------------|
| Cost per public defender as a percentage of cost per assigned counsel case | 96.2 % | 96.2 % | 96.2 % |
| Number of counties with assigned counselors | 29 | 29 | 29 |
| Total cases | 22,972 | 22,880 | 22,800 |

Insurance Department

Mission. The mission of the Insurance Department is to protect the insurance consumers of Kansas and to serve the public interest through the supervision, control, and regulation of persons and organizations transacting the business of insurance in the state. This mission is accomplished by assuring an affordable, accessible, and competitive insurance market.

Operations. The Commissioner of Insurance is an elected official who supervises all transactions relating to insurance companies in the state, including organization merger, conduct of business, and approval of the products they sell in Kansas. The Commissioner also administers several programs indirectly related to insurance.

The Insurance Department has seven programs: Insurance Company Regulation, Insurance Company Examination, Firefighters' Relief Fund Payments, Workers Compensation, Group-Funded Workers Compensation, Municipal Group-Funded Pools, and Debt Service and Capital Improvement.

The Insurance Company Regulation Program provides the leadership, management, and general direction of the agency. It is responsible for budget preparation, personnel transactions, information technology, research and planning, legal affairs, and communications. The program also regulates rate filings, reviews forms, supervises agent examinations, and issues licenses to insurance agents and agencies. It also responds to consumer complaints and inquiries.

The Insurance Company Examination Program is responsible for examining all insurance companies doing business in the state. The Firefighters' Relief Program provides funds to firefighters' relief associations and the Kansas State Firefighters' Association. The Workers Compensation Program administers the Workers Compensation Fund. Under certain conditions, employers of disabled employees can transfer to workers compensation liability under this program. The fund is financed by assessments on all workers compensation providers.

The purpose of the Group-Funded Workers Compensation and Municipal Group-Funded Pools Programs is to permit municipalities and associations of employers in similar trades to enter into agreements to pool their liabilities, such as workers compensation as well as property and casualty coverage.

Statutory History. Authority for the Insurance Department is found in KSA 40-101 et seq. In 1871, the Insurance Department was created to regulate the business of insurance. The Fire and Casualty Act was passed in 1895, and major amendments to the insurance code occurred in 1927. The Kansas No-Fault Law and the Workers Compensation Fund became effective in 1974. The Product Liability Act was adopted in 1977 and the Mortgage Guaranty Insurance Act in 1978. KSA 44-505 provides for the establishment of group-funded workers compensation pools. In 1988, the Kansas Municipal Group-Funded Pool Act went into effect.

Insurance Department

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|---------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Program | | | | | |
| Firefighters Relief Fund Payments | 5,518,012 | 5,342,889 | 5,357,726 | -- | 5,358,897 |
| Insurance Company Regulation | 7,511,318 | 8,634,048 | 7,829,338 | -- | 7,927,434 |
| Insurance Company Examination | 863,068 | 1,038,626 | 1,087,196 | -- | 1,093,127 |
| Workers Compensation | 5,564,975 | 5,818,795 | 5,723,277 | -- | 5,728,013 |
| Grp.-Funded Workers Comp. Pools | 110,827 | 91,160 | 91,416 | -- | 92,447 |
| Municipal Group-Funded Pools | 82,874 | 54,404 | 54,293 | -- | 55,192 |
| Debt Service & Capital Improve. | 351,132 | 216,925 | 202,483 | -- | 202,483 |
| Total Expenditures | \$20,002,206 | \$21,196,847 | \$20,345,729 | \$ -- | \$20,457,593 |
| Expenditures by Object | | | | | |
| Salaries and Wages | 6,571,423 | 7,214,788 | 7,394,965 | -- | 7,506,829 |
| Contractual Services | 2,470,088 | 3,252,494 | 2,459,669 | -- | 2,459,669 |
| Commodities | 181,679 | 222,354 | 248,604 | -- | 248,604 |
| Capital Outlay | 191,817 | 452,086 | 211,808 | -- | 211,808 |
| Debt Service | 66,963 | 59,925 | 52,483 | -- | 52,483 |
| Subtotal: State Operations | \$9,481,970 | \$11,201,647 | \$10,367,529 | \$ -- | \$10,479,393 |
| Aid to Local Governments | 5,520,241 | 5,338,200 | 5,328,200 | -- | 5,328,200 |
| Other Assistance | 4,194,196 | 4,500,000 | 4,500,000 | -- | 4,500,000 |
| Subtotal: Operating Expenditures | \$19,196,407 | \$21,039,847 | \$20,195,729 | \$ -- | \$20,307,593 |
| Capital Improvements | 284,169 | 157,000 | 150,000 | -- | 150,000 |
| Total Reportable Expenditures | \$19,480,576 | \$21,196,847 | \$20,345,729 | \$ -- | \$20,457,593 |
| Non-expense Items | 521,630 | -- | -- | -- | -- |
| Total Expenditures | \$20,002,206 | \$21,196,847 | \$20,345,729 | \$ -- | \$20,457,593 |
| Expenditures by Fund | | | | | |
| State General Fund | -- | -- | -- | -- | -- |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 20,002,206 | 21,196,847 | 20,345,729 | -- | 20,457,593 |
| Total Expenditures | \$20,002,206 | \$21,196,847 | \$20,345,729 | \$ -- | \$20,457,593 |
| FTE Positions | | | | | |
| FTE Positions | 157.0 | 157.0 | 157.0 | -- | 157.0 |
| Non-FTE Unclassified Permanent | 2.0 | 4.5 | 4.5 | -- | 4.5 |
| Total Positions | 159.0 | 161.5 | 161.5 | -- | 161.5 |

Insurance Company Regulation

Operations. The Insurance Company Regulation Program provides general direction to the entire Department in achieving agency goals and objectives. It consists of the following nine operating units:

The Administrative Services Section coordinates accounting, budget preparation, information management services, fiscal management, tax and fee collections, personnel, and purchasing services.

The Legal Section provides internal advice and counsel on questions arising in the Department, issues legal opinions, and holds formal hearings regarding violations by companies or agencies.

The Consumer Assistance Section reviews and resolves complaints and inquiries received about insurance companies or agents and administers market conduct enforcement activities. Beginning with FY 1997, the Department assumed responsibility for the Senior Health Insurance Counseling for Kansans Program, which is financed through a transfer of federal funds from the Department on Aging.

The Financial Surveillance Section monitors the fiscal affairs of insurance companies and takes appropriate action to protect Kansas policy holders from insurance company insolvencies.

The Accident and Health Section, the Fire and Casualty Section, and the Life Section review policy forms, rate filings, company advertising, admissions, and annual financial statements of insurance companies doing business in Kansas.

The Agents and Brokers Section oversees the administration of exams for new agents, issues agent and agency licenses, and ensures that agents comply with continuing education requirements.

The Government and Public Affairs Section communicates the Department's initiatives through various publications and by serving as a liaison to the insurance industry, trade groups, news media, and elected officials.

Goals and Objectives. The goal of this program is to serve and protect the insurance consumers of this state. Objectives which support this goal include:

Interpret and enforce the Kansas insurance laws as well as ancillary regulations.

Develop legislative proposals and adopt administrative regulations based on the research of the National Association of Insurance Commissioners, as well as the Commissioner's staff.

Assist the other divisions by providing central services that keep pace with the continually expanding duties placed on the Department.

Provide effective and expeditious assistance to Kansas insurance policy holders and claimants through the equitable review, investigation, and resolution of complaints and inquiries regarding insurance companies and agents in the state.

Detect as early as possible those companies that are in a hazardous financial condition so that appropriate regulatory action can be implemented to minimize adverse effects.

Statutory History. Authority for the program is found in KSA 40-101 et seq. and KSA 40-110. Other functions of the program are contained in KSA 40-201 et seq.

Insurance Company Regulation

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|--------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 5,380,905 | 5,879,768 | 5,983,488 | -- | 6,081,584 |
| Contractual Services | 1,288,875 | 2,113,930 | 1,430,000 | -- | 1,430,000 |
| Commodities | 81,521 | 112,150 | 137,650 | -- | 137,650 |
| Capital Outlay | 186,337 | 440,000 | 200,000 | -- | 200,000 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$6,937,638 | \$8,545,848 | \$7,751,138 | \$ -- | \$7,849,234 |
| Aid to Local Governments | 80,276 | 88,200 | 78,200 | -- | 78,200 |
| Other Assistance | 453 | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$7,018,367 | \$8,634,048 | \$7,829,338 | \$ -- | \$7,927,434 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$7,018,367 | \$8,634,048 | \$7,829,338 | \$ -- | \$7,927,434 |
| Non-expense Items | 492,951 | -- | -- | -- | -- |
| Total Expenditures | \$7,511,318 | \$8,634,048 | \$7,829,338 | \$ -- | \$7,927,434 |
| Expenditures by Fund | | | | | |
| State General Fund | -- | -- | -- | -- | -- |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 7,511,318 | 8,634,048 | 7,829,338 | -- | 7,927,434 |
| Total Expenditures | \$7,511,318 | \$8,634,048 | \$7,829,338 | \$ -- | \$7,927,434 |
| FTE Positions | | | | | |
| FTE Positions | 134.5 | 136.6 | 136.4 | -- | 136.4 |
| Non-FTE Unclassified Permanent | 2.0 | 4.5 | 4.5 | -- | 4.5 |
| Total Positions | 136.5 | 141.1 | 140.9 | -- | 140.9 |

| Performance Measures | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|--|-------------------|---------------------|---------------------|
| Number of companies monitored | 1,675 | 1,700 | 1,700 |
| Percent of company applications reviewed within six months | 100.0 % | 100.0 % | 100.0 % |
| Number of company certifications (appointments) issued | 887,600 | 900,000 | 900,000 |
| Total number of licenses issued to agents and agencies | 12,661 | 12,600 | 12,600 |

Firefighters' Relief Fund Payments

Operations. The Firefighters' Relief Fund Payments Program provides funds to over 550 firefighters' relief associations and the Kansas State Firefighters' Association. Each association is composed of the eligible fire departments within a district. Payments made to associations are used to train firefighters, provide benefits to firefighters injured on duty, and purchase insurance coverage for firefighters. The fund is financed by a 2.0 percent tax on all fire and lightning insurance premiums collected in the state.

The Firefighters' Relief Act requires 3.0 percent of the fund to be distributed to the Kansas State Firefighters' Association for the education and training of firefighting personnel. In addition, up to 5.0 percent of the tax collections is used to maintain a \$100,000 death benefits fund, and each firefighters' relief association in the state is allotted \$500 a year for its operations. All remaining funds are then divided among firefighters relief organizations in the same proportion as premiums were collected from their respective jurisdictions. The Insurance Department retains an amount approved annually by the Legislature to fund operating expenses.

The program also oversees the organization, consolidation, merger, or dissolution of firefighters' relief associations. The program works with city, county, and township clerks to verify the eligibility of fire departments for participation in the program.

Each firefighters' relief association must submit an annual financial statement showing in full the receipts and disbursements of its funds.

Goals and Objectives. The goal of this program is to administer the statutory provisions as set forth in the Firefighters' Relief Act as they apply to all fire and casualty insurance companies, the firefighters' relief associations, and the Kansas State Firefighters' Association. The Department has outlined the following objectives for this goal:

Assure the proper and timely collection of all taxes due on fire and lightning premiums written in the state.

Distribute accurately all taxes collected on fire and lightning premiums to the various cities, townships, fire districts, and county firefighters' relief associations qualified to receive aid.

Statutory History. Authority for the program is found in KSA 40-1701 through 40-1707. The Firefighters' Relief Act was passed in 1895 to provide compensation to firefighters who were injured or physically disabled in the line of duty. A 1984 amendment requires insurance companies to pay a 2.0 percent tax on all fire and lightning insurance premiums written in Kansas.

Firefighters' Relief Fund Payments

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|--------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 67,108 | 81,563 | 96,400 | -- | 97,571 |
| Contractual Services | 6,693 | 10,414 | 10,414 | -- | 10,414 |
| Commodities | 783 | 604 | 604 | -- | 604 |
| Capital Outlay | -- | 308 | 308 | -- | 308 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$74,584 | \$92,889 | \$107,726 | \$ -- | \$108,897 |
| Aid to Local Governments | 5,439,965 | 5,250,000 | 5,250,000 | -- | 5,250,000 |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$5,514,549 | \$5,342,889 | \$5,357,726 | \$ -- | \$5,358,897 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$5,514,549 | \$5,342,889 | \$5,357,726 | \$ -- | \$5,358,897 |
| Non-expense Items | 3,463 | -- | -- | -- | -- |
| Total Expenditures | \$5,518,012 | \$5,342,889 | \$5,357,726 | \$ -- | \$5,358,897 |
| Expenditures by Fund | | | | | |
| State General Fund | -- | -- | -- | -- | -- |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 5,518,012 | 5,342,889 | 5,357,726 | -- | 5,358,897 |
| Total Expenditures | \$5,518,012 | \$5,342,889 | \$5,357,726 | \$ -- | \$5,358,897 |
| FTE Positions | 1.3 | 1.6 | 1.8 | -- | 1.8 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 1.3 | 1.6 | 1.8 | -- | 1.8 |

| Performance Measures | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|---|-------------------|---------------------|---------------------|
| Number of associations organized | 8 | 3 | 5 |
| Number of mergers of associations | 5 | 8 | 5 |
| Number of associations' financial statements received | 570 | 579 | 585 |
| Percent of associations' tax statements audited | 100.0 % | 100.0 % | 100.0 % |

Insurance Company Examination

Operations. The Insurance Company Examination Program is responsible for examining all domestic and foreign (non-Kansas) insurance companies doing business in Kansas. Domestic insurance companies are examined whenever the Commissioner of Insurance considers it necessary. Other organizations, such as insurance holding companies, health maintenance organizations, the Kansas Life and Health Insurance Guaranty Association, the Kansas Insurance Guaranty Association, Group-Funded Workers Compensation Pools, Municipal Group-Funded Pools, etc., are examined at various intervals, some of which are specified by statute.

Foreign companies are examined on the same basis as domestic companies. However, because many foreign companies do business in Kansas, the Commissioner of Insurance may accept an examination of financial condition report made upon the authority of the supervisory official of any other state. All examinations are performed using the uniform procedure established by the National Association of Insurance Commissioners (NAIC). In addition, this program is responsible for reviewing documentation regarding all securities deposited jointly with Kansas banks and the Commissioner of Insurance.

Goals and Objectives. The program goal is to identify promptly those companies that are in financial

difficulty or operating in violation of Kansas insurance laws or regulations and recommend the appropriate regulatory action. Objectives that support the program goal include:

- Perform in-house examinations of insurance company annual financial statements.

- Perform in-house examinations of securities deposits that are held under the joint custody of the Insurance Department and the State Treasurer.

Statutory History. Authority for this program is found in the following statutory citations: examination of domestic and foreign insurers—KSA 40-222; reciprocal and interinsurance exchanges—KSA 40-1612; mutual nonprofit hospital service organizations—KSA 40-1809; nonprofit dental service corporations—KSA 40-19a10; nonprofit optometric service corporations—KSA 40-19b10; nonprofit medical service corporations—KSA 40-1909; Kansas Insurance Guaranty Association—KSA 40-2912; health maintenance organizations—KSA 40-3211; rating organizations—KSA 40-1114; foreign fraternal benefit societies—KSA 40-703; Kansas Life and Health Guaranty Associations—KSA 40-3014; and affiliates of Kansas holding companies—KSA 40-3308.

Insurance Company Examination

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|-------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 642,751 | 800,376 | 857,236 | -- | 863,167 |
| Contractual Services | 213,409 | 226,000 | 216,960 | -- | 216,960 |
| Commodities | 3,800 | 1,750 | 2,500 | -- | 2,500 |
| Capital Outlay | 3,108 | 10,500 | 10,500 | -- | 10,500 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$863,068 | \$1,038,626 | \$1,087,196 | \$ -- | \$1,093,127 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$863,068 | \$1,038,626 | \$1,087,196 | \$ -- | \$1,093,127 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$863,068 | \$1,038,626 | \$1,087,196 | \$ -- | \$1,093,127 |
| Non-expense Items | -- | -- | -- | -- | -- |
| Total Expenditures | \$863,068 | \$1,038,626 | \$1,087,196 | \$ -- | \$1,093,127 |
| Expenditures by Fund | | | | | |
| State General Fund | -- | -- | -- | -- | -- |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 863,068 | 1,038,626 | 1,087,196 | -- | 1,093,127 |
| Total Expenditures | \$863,068 | \$1,038,626 | \$1,087,196 | \$ -- | \$1,093,127 |
| FTE Positions | | | | | |
| FTE Positions | 12.0 | 11.0 | 11.0 | -- | 11.0 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 12.0 | 11.0 | 11.0 | -- | 11.0 |

| Performance Measures | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|---|-------------------|---------------------|---------------------|
| Number of domestic insurance companies annual statements examined | 49 | 50 | 50 |
| Number of foreign companies annual statements examined | 50 | 75 | 75 |
| Number of insurance pools, HMOs, and other organizations examined | 1 | 1 | -- |

Workers Compensation

Operations. The Workers Compensation Program administers the portion of the Workers Compensation Act which involves second injury claims or other claims specifically exempted by law from payment by insurance companies. Such claims are paid from the Workers Compensation Fund. Claims against the fund are defended primarily by attorneys appointed by the Insurance Commissioner. An administrative law judge hears all cases. If the judge rules in favor of the claimant, payments are made from the fund in the awarded amount. Files are maintained on all cases submitted by the attorneys of claimants. However, 1993 legislation eliminated second-injury claims with accident dates after July 1, 1994.

Goals and Objectives. This program manages the Workers Compensation Fund efficiently by providing financial relief to employers for liabilities resulting from compensable industrial accidents suffered by disabled employees. The Department pursues this goal through the following objectives:

Defend successfully claims filed against the fund in an effort to minimize fund expenditures.

Evaluate carefully the future potential liability of the fund on an annual basis in an effort to minimize state fee assessments imposed on the insurance market.

Detect and collect promptly all non-dependent death benefits owed to the Workers Compensation Fund.

Statutory History. Authority for the program is found in KSA 44-501 through 44-580. The Workers Compensation Fund was created by the 1974 Legislature. The Legislature transferred all monies, duties, and responsibilities to the Insurance Commissioner, who serves as administrator of the fund. KSA 44-566 lists the 17 pre-existing conditions or disabilities covered by the fund.

Workers Compensation

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|--------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 323,440 | 325,845 | 329,827 | -- | 334,563 |
| Contractual Services | 932,600 | 885,855 | 786,250 | -- | 786,250 |
| Commodities | 94,557 | 106,700 | 106,700 | -- | 106,700 |
| Capital Outlay | -- | 395 | 500 | -- | 500 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$1,350,597 | \$1,318,795 | \$1,223,277 | \$ -- | \$1,228,013 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | 4,193,743 | 4,500,000 | 4,500,000 | -- | 4,500,000 |
| Subtotal: Operating Expenditures | \$5,544,340 | \$5,818,795 | \$5,723,277 | \$ -- | \$5,728,013 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$5,544,340 | \$5,818,795 | \$5,723,277 | \$ -- | \$5,728,013 |
| Non-expense Items | 20,635 | -- | -- | -- | -- |
| Total Expenditures | \$5,564,975 | \$5,818,795 | \$5,723,277 | \$ -- | \$5,728,013 |
| Expenditures by Fund | | | | | |
| State General Fund | -- | -- | -- | -- | -- |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 5,564,975 | 5,818,795 | 5,723,277 | -- | 5,728,013 |
| Total Expenditures | \$5,564,975 | \$5,818,795 | \$5,723,277 | \$ -- | \$5,728,013 |
| FTE Positions | 8.2 | 6.9 | 6.9 | -- | 6.9 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 8.2 | 6.9 | 6.9 | -- | 6.9 |

| Performance Measures | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|--|-------------------|---------------------|---------------------|
| Number of active cases | 4,196 | 3,776 | 3,400 |
| Number of cases opened | 112 | 90 | 72 |
| Number of cases closed | 292 | 190 | 80 |
| Number of cases for which monthly payments are processed | 44 | 25 | 20 |

Group-Funded Workers Compensation Pools

Operations. The Group-Funded Workers Compensation Pools Program was created by the 1983 Legislature to provide employers an alternative method for complying with the Workers Compensation Law and provide employees with Workers Compensation coverage. More than 20 states have similar laws allowing self-insurance associations. In Kansas, such group-funded pools must be composed of at least five employers in the same trade or professional organization. Statutory criteria determine whether group members must have a combined net worth of \$1.0 million or \$1.25 million and whether the group's gross annual premium must be at least \$250,000 or \$500,000, respectively.

An application for authority to operate a pool must be made to the Insurance Commissioner at least 60 days prior to the proposed inception date of the pool. Such application must include bylaws, a trust agreement, a certified financial statement, evidence of compliance with premium and net worth requirements, claims

adjusting procedures, and confirmation of excess workers compensation insurance. The Commissioner of Insurance promulgates regulations relating to group pools. A financial audit is required when the pool is established. The financial stability of the pool is then monitored and an additional examination is made at least every fifth year.

Goals and Objectives. The program goal is to monitor the financial stability of all authorized group-funded pools to determine the pools' ability to pay claims for coverages permitted by law. Objectives that support the program goal include:

Perform a timely review of applications.

Assure the proper collection of assessments and premium tax.

Statutory History. Authority for the program is found in KSA 44-581 through 44-592.

Group-Funded Workers Compensation Pools

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|-------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 89,951 | 81,865 | 82,371 | -- | 83,402 |
| Contractual Services | 16,152 | 8,000 | 7,750 | -- | 7,750 |
| Commodities | 568 | 795 | 795 | -- | 795 |
| Capital Outlay | 1,306 | 500 | 500 | -- | 500 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$107,977 | \$91,160 | \$91,416 | \$ -- | \$92,447 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$107,977 | \$91,160 | \$91,416 | \$ -- | \$92,447 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$107,977 | \$91,160 | \$91,416 | \$ -- | \$92,447 |
| Non-expense Items | 2,850 | -- | -- | -- | -- |
| Total Expenditures | \$110,827 | \$91,160 | \$91,416 | \$ -- | \$92,447 |
| Expenditures by Fund | | | | | |
| State General Fund | -- | -- | -- | -- | -- |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 110,827 | 91,160 | 91,416 | -- | 92,447 |
| Total Expenditures | \$110,827 | \$91,160 | \$91,416 | \$ -- | \$92,447 |
| FTE Positions | 0.6 | 0.7 | 0.7 | -- | 0.7 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 0.6 | 0.7 | 0.7 | -- | 0.7 |

| Performance Measures | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|--|-------------------|---------------------|---------------------|
| Number of authorized pools | 20 | 20 | 20 |
| Number of assessments remitted on or before the due date | 12 | 12 | 12 |
| Percent of pools determined to be solvent | 100.0 % | 100.0 % | 100.0 % |

Municipal Group-Funded Pools

Operations. The Municipal Group-Funded Pools Program was created by the 1987 Legislature to provide an alternative means of funding liability insurance by municipalities. The Municipal Group-Funded Pools Act authorizes municipalities to pool their liabilities. Under the original legislation, such liabilities could include workers compensation, property, and casualty damage. In 1990, the program was amended to allow municipalities to pool both life as well as accident and health insurance coverage. Program responsibilities include application approval; rules review, classifications, and rates; operations; supervision; financial audits; and cost assessments.

Goals and Objectives. The purpose of the program is to administer effectively the insurance laws and regulations of Kansas governing municipal group-

funded workers compensation pools. The Insurance Department has outlined the following objectives for this program:

Perform timely reviews of applications.

Assure proper collection of the necessary assessments and premium tax.

Assure that the authorized pools remain solvent and comply with statutory provisions.

Promulgate the necessary rules to assure compliance with statutes.

Statutory History. Authority for the program is found in KSA 12-2617.

Municipal Group-Funded Pools

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|-------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 67,268 | 45,371 | 45,643 | -- | 46,542 |
| Contractual Services | 12,359 | 8,295 | 8,295 | -- | 8,295 |
| Commodities | 450 | 355 | 355 | -- | 355 |
| Capital Outlay | 1,066 | 383 | -- | -- | -- |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$81,143 | \$54,404 | \$54,293 | \$ -- | \$55,192 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$81,143 | \$54,404 | \$54,293 | \$ -- | \$55,192 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$81,143 | \$54,404 | \$54,293 | \$ -- | \$55,192 |
| Non-expense Items | 1,731 | -- | -- | -- | -- |
| Total Expenditures | \$82,874 | \$54,404 | \$54,293 | \$ -- | \$55,192 |
| Expenditures by Fund | | | | | |
| State General Fund | -- | -- | -- | -- | -- |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 82,874 | 54,404 | 54,293 | -- | 55,192 |
| Total Expenditures | \$82,874 | \$54,404 | \$54,293 | \$ -- | \$55,192 |
| FTE Positions | 0.4 | 0.4 | 0.4 | -- | 0.4 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 0.4 | 0.4 | 0.4 | -- | 0.4 |

Performance Measures

| | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|--|-------------------|---------------------|---------------------|
| Number of authorized pools | 11 | 11 | 11 |
| Number of assessments remitted on or before the due date | 7 | 7 | 7 |
| Percent of pools determined to be solvent | 100.0 % | 100.0 % | 100.0 % |

Debt Service & Capital Improvements

Operations. This program provides for capital improvements necessary for the upkeep and maintenance of the Insurance Building at 420 SW 9th Street in Topeka. This three-story structure was built in the 1920s and is on the State Historical Register. The program also contains expenditures related to debt service payments for the building when it was purchased in 1992.

Goals and Objectives. The goal of this program is to restore and preserve the soundness of the Kansas Insurance Department Building. This is achieved by:

Identifying those areas of the building that require rehabilitation so that repairs can be conducted in a timely and orderly fashion.

Estimating the costs of such repairs for the Department's annual budget.

Statutory History. Capital improvement projects are authorized by individual appropriations of the Legislature. KSA 74-8902 et seq. provide the general statutory authority for issuing debt through the Kansas Development Finance Authority.

Debt Service & Capital Improvements

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|-------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | -- | -- | -- | -- | -- |
| Contractual Services | -- | -- | -- | -- | -- |
| Commodities | -- | -- | -- | -- | -- |
| Capital Outlay | -- | -- | -- | -- | -- |
| Debt Service | 66,963 | 59,925 | 52,483 | -- | 52,483 |
| Subtotal: State Operations | \$66,963 | \$59,925 | \$52,483 | \$ -- | \$52,483 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$66,963 | \$59,925 | \$52,483 | \$ -- | \$52,483 |
| Capital Improvements | 284,169 | 157,000 | 150,000 | -- | 150,000 |
| Total Reportable Expenditures | \$351,132 | \$216,925 | \$202,483 | \$ -- | \$202,483 |
| Non-expense Items | -- | -- | -- | -- | -- |
| Total Expenditures | \$351,132 | \$216,925 | \$202,483 | \$ -- | \$202,483 |
| Expenditures by Fund | | | | | |
| State General Fund | -- | -- | -- | -- | -- |
| Water Plan | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 351,132 | 216,925 | 202,483 | -- | 202,483 |
| Total Expenditures | \$351,132 | \$216,925 | \$202,483 | \$ -- | \$202,483 |
| FTE Positions | -- | -- | -- | -- | -- |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | -- | -- | -- | -- | -- |

Performance Measures

There are no performance measures for this program.

Judicial Council

Mission. The Judicial Council works to improve the administration of justice by continuously studying the Judicial System and related areas of the law, recommending changes it considers appropriate, and preparing publications which further this mission.

Operations. The Judicial Council is responsible for an ongoing study and review of the Judiciary. It recommends options for improvement in operations to both the Legislature and Supreme Court. Projects are initiated by the Council, assigned by the Legislature, and requested by the Supreme Court. Council work involves drafting legislation and court rules, writing books and manuals, preparing jury instructions, and making reports.

The Council has ten members, eight appointed by the Chief Justice of the Supreme Court as follows: one member from the Supreme Court, one from the Court of Appeals, two district court judges, and four practicing attorneys. The chairs of both the House and Senate Judiciary Committees are members of the Judicial Council.

The Judicial Council uses advisory committees to assist in various projects. A member of the Council usually serves as chair of each advisory committee. Committees which will meet in FY 2003 include Administrative Procedure, Civil Code, Civil Procedure for Limited Actions, Criminal Law, Eminent Domain, Family Law, Guardianship and Conservatorship, Juvenile Offender/Child in Need of Care, Municipal Court Manual, PIK-Civil (Pattern Instructions for Kansas), PIK-Criminal, and Probate Law.

Examples of legislation recommended and enacted recently by the Judicial Council include recodification

of the Code of Civil Procedure for Limited Actions, amendments to the Eminent Domain Act, amendments to the divorce code, legislation relating to the capacity to stand trial, and the Kansas Estate Tax Apportionment Act and Amendments increasing the dollar limitations under the Kansas probate code.

The Judicial Council will recommend to the 2002 Legislature amendments to KSA Chapter 60 and 61 relating to garnishment, enactment of the Kansas Uniform Trust Code, recodification of the Kansas Guardianship and Conservatorship Act, recodification of the Kansas Juvenile Justice Code, and an act requiring the use of independent hearing examiners in administrative hearings by KAPA agencies. Publications by the Council include the *Kansas Municipal Court Manual*, *PIK Criminal 3d*, *Kansas Probate Forms*, *PIK-Civil 3d*, and annual supplements to these publications.

Goals and Objectives. The goal of the agency is to review the Judiciary and various substantive and procedural codes used by the Judicial Branch to identify problem areas or areas of potential improvement and to recommend appropriate action. An objective to meet this goal is to:

Establish advisory committees to review specific areas and make recommendations to the Supreme Court or to the Legislature for needed improvement.

Statutory History. The Judicial Council was created in 1927. It is established under KSA 20-2201 et seq. Members of the Council are authorized compensation and allowances under KSA 20-2206, as are members of the committees appointed by the Council.

Judicial Council

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|-------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 271,585 | 259,846 | 241,654 | 23,692 | 239,075 |
| Contractual Services | 57,285 | 50,169 | 41,390 | 12,355 | 38,273 |
| Commodities | 6,437 | 5,975 | 6,307 | -- | 6,307 |
| Capital Outlay | -- | -- | -- | -- | -- |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$335,307 | \$315,990 | \$289,351 | \$36,047 | \$283,655 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$335,307 | \$315,990 | \$289,351 | \$36,047 | \$283,655 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$335,307 | \$315,990 | \$289,351 | \$36,047 | \$283,655 |
| Non-expense Items | 3,180 | 2,959 | 2,675 | -- | 2,675 |
| Total Expenditures | \$338,487 | \$318,949 | \$292,026 | \$36,047 | \$286,330 |
| Expenditures by Fund | | | | | |
| State General Fund | 216,669 | 233,193 | 205,926 | 36,047 | 199,721 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 121,818 | 85,756 | 86,100 | -- | 86,609 |
| Total Expenditures | \$338,487 | \$318,949 | \$292,026 | \$36,047 | \$286,330 |
| FTE Positions | | | | | |
| FTE Positions | 4.0 | 4.0 | 4.0 | -- | 4.0 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 4.0 | 4.0 | 4.0 | -- | 4.0 |

Performance Measures

| | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|--|-------------------|---------------------|---------------------|
| Number of Council bills introduced | 14 | 12 | 14 |
| Number of Council bills signed by the Governor | 11 | 8 | 7 |
| Number of publications sold | 1,121 | 3,491 | 2,544 |

Judiciary

Mission. The mission of the Kansas courts is to administer justice in the most equitable fashion possible, while maintaining a high level of effectiveness. The Judiciary maintains that justice is effective when it is fairly administered without delay by competent judges operating in a modern court system under simple and efficient rules of procedure.

Operations. A separate branch of government, the Judiciary hears and disposes of all civil suits and criminal cases, except those under the jurisdiction of municipal courts. According to current statutory law, a defendant convicted by a municipal court may appeal to a district court for a new trial.

The Office of Judicial Administration was established in 1965 to assist the Supreme Court in administering responsibilities of the judicial system. In 1972, a new judicial article of the *Kansas Constitution* was adopted. It brought many improvements leading to unification of the trial courts and establishment of a Court of Appeals. A citizens' study committee in 1973 examined the judicial system and recommended changes to implement the judicial article. The unification of trial courts in January 1977 abolished probate, juvenile, county courts, and magistrate-level

courts of countywide jurisdiction. The jurisdiction of these courts was consolidated into the District Court, and a Court of Appeals was established to improve the handling of appellate caseloads.

The 1978 Legislature began phasing in state funding for the cost of nonjudicial personnel in the district courts. The program was completed in 1981. Professional administrators assist judges in managing the system at both state and district levels.

Goals and Objectives. One goal of the Judiciary is to eliminate unnecessary delay in the disposition of cases. An objective of this goal is to:

Dispose of felony cases in a timely manner.

Statutory History. The "one court of justice" directed by Section 1, Article 3, of the *Kansas Constitution* is the Supreme Court, a Court of Appeals, and 31 judicial district courts. Legislation passed during the 2000 Legislative Session allowed the Judiciary to increase docket fees and use the increased revenue to implement a pay plan that will raise the salaries of all nonjudicial personnel (KSA 2001 Supp. 20-1a 14).

Judiciary

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|---------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Program | | | | | |
| Appellate Court Operations | 12,560,303 | 15,185,643 | 15,822,959 | 213,044 | 15,166,817 |
| District Courts | 74,372,583 | 75,370,221 | 79,474,619 | 2,807,829 | 77,804,026 |
| Judicial & Professional Review | 1,221,726 | 213,718 | 221,351 | 2,718 | 222,678 |
| Total Expenditures | \$88,154,612 | \$90,769,582 | \$95,518,929 | \$3,023,591 | \$93,193,521 |
| Expenditures by Object | | | | | |
| Salaries and Wages | 82,683,531 | 83,528,536 | 88,003,112 | 3,023,591 | 86,177,704 |
| Contractual Services | 2,290,588 | 3,408,202 | 3,179,833 | -- | 2,865,433 |
| Commodities | 363,864 | 335,359 | 341,573 | -- | 341,573 |
| Capital Outlay | 1,090,744 | 1,885,798 | 2,499,651 | -- | 2,199,651 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$86,428,727 | \$89,157,895 | \$94,024,169 | \$3,023,591 | \$91,584,361 |
| Aid to Local Governments | 472,350 | 526,921 | 504,154 | -- | 504,154 |
| Other Assistance | 1,253,535 | 1,084,766 | 990,606 | -- | 990,606 |
| Subtotal: Operating Expenditures | \$88,154,612 | \$90,769,582 | \$95,518,929 | \$3,023,591 | \$93,079,121 |
| Capital Improvements | -- | -- | -- | -- | 114,400 |
| Total Reportable Expenditures | \$88,154,612 | \$90,769,582 | \$95,518,929 | \$3,023,591 | \$93,193,521 |
| Non-expense Items | -- | -- | -- | -- | -- |
| Total Expenditures | \$88,154,612 | \$90,769,582 | \$95,518,929 | \$3,023,591 | \$93,193,521 |
| Expenditures by Fund | | | | | |
| State General Fund | 78,334,525 | 78,900,471 | 83,610,625 | 2,888,696 | 81,194,174 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 9,820,087 | 11,869,111 | 11,908,304 | 134,895 | 11,999,347 |
| Total Expenditures | \$88,154,612 | \$90,769,582 | \$95,518,929 | \$3,023,591 | \$93,193,521 |
| FTE Positions | 1,815.5 | 1,813.0 | 1,816.0 | -- | 1,816.0 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 1,815.5 | 1,813.0 | 1,816.0 | -- | 1,816.0 |

Appellate Courts

Operations. This program includes the Kansas Supreme Court, the Kansas Court of Appeals, the Office of Judicial Administration, the Clerk of the Appellate Courts, the Appellate Reporter, and the Law Library.

The Supreme Court is the highest appellate court in Kansas. The *Kansas Constitution* provides that it has original jurisdiction in *quo warranto*, *mandamus*, and *habeas corpus* cases and such appellate jurisdiction as provided by law. The Supreme Court has seven justices, each appointed by the Governor from among three nominees submitted by the Supreme Court Nominating Commission. After the first year in office, a justice is subject to a retention vote in the next general election. If retained in office, a justice is subject to a retention vote every six years of service. The justice who is senior in continuous service is designated chief justice. All cases are heard with at least four justices sitting.

The Court of Appeals was established in 1977 and currently has ten judges serving four-year terms. The Court of Appeals has jurisdiction over appeals in civil and criminal cases and from certain administrative bodies and officers of the state. The Court of Appeals sits in panels of three judges and on rare occasions sits *en banc*. Although Topeka is designated as the site for the court's principal offices, the body is authorized to hear oral arguments in any county.

Judicial administration implements rules and policies as they apply to operation and administration of the courts. These responsibilities include budgeting, accounting, and personnel; assisting district court

administrative judges; and compiling statistical information on court activity.

The Clerk of the Appellate Courts is a constitutional officer appointed by the Supreme Court for a two-year term. The clerk's office serves as the central receiving and recording agency for Supreme Court and Court of Appeals cases. Every case filed with the court clerk is docketed and forwarded to the courts. The clerk's office also processes motions filed on pending appeals. Once a case is acted on, orders are written and sent to all attorneys involved.

The Appellate Reporter is also a constitutional officer appointed by the Supreme Court for a two-year term. The reporter's office publishes opinions of the court. No opinion is filed until it is approved by the reporter's office. Errors are corrected and questions about citations and language are clarified prior to publication.

The Supreme Court Law Library provides services to the Judicial, Legislative, and Executive Branches. More than 200,000 volumes are contained in the library that is used by the legal profession and local governments throughout Kansas

Statutory History. Article 3, Section 1 of the *Kansas Constitution* gives the Supreme Court its administrative authority. Section 3 establishes its jurisdiction. KSA 20-3001 establishes the Kansas Court of Appeals as part of the constitutional court of justice and establishes the court's jurisdiction, subject to the general administrative authority of the Supreme Court.

Appellate Courts

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|---------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 8,381,044 | 8,912,459 | 9,356,653 | 213,044 | 9,200,511 |
| Contractual Services | 1,273,023 | 2,494,794 | 2,187,503 | -- | 1,873,103 |
| Commodities | 295,424 | 280,905 | 284,392 | -- | 284,392 |
| Capital Outlay | 1,089,284 | 1,885,798 | 2,499,651 | -- | 2,199,651 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$11,038,775 | \$13,573,956 | \$14,328,199 | \$213,044 | \$13,557,657 |
| Aid to Local Governments | 472,350 | 526,921 | 504,154 | -- | 504,154 |
| Other Assistance | 1,049,178 | 1,084,766 | 990,606 | -- | 990,606 |
| Subtotal: Operating Expenditures | \$1,521,528 | \$1,611,687 | \$1,494,760 | \$ -- | \$1,494,760 |
| Capital Improvements | -- | -- | -- | -- | 114,400 |
| Total Reportable Expenditures | \$12,560,303 | \$15,185,643 | \$15,822,959 | \$213,044 | \$15,166,817 |
| Non-expense Items | -- | -- | -- | -- | -- |
| Total Expenditures | \$12,560,303 | \$15,185,643 | \$15,822,959 | \$213,044 | \$15,166,817 |
| Expenditures by Fund | | | | | |
| State General Fund | 8,926,323 | 8,957,506 | 9,846,081 | 180,885 | 9,176,743 |
| Water Plan | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 3,633,980 | 6,228,137 | 5,976,878 | 32,159 | 5,990,074 |
| Total Expenditures | \$12,560,303 | \$15,185,643 | \$15,822,959 | \$213,044 | \$15,166,817 |
| FTE Positions | 145.5 | 144.5 | 147.5 | -- | 147.5 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 145.5 | 144.5 | 147.5 | -- | 147.5 |

Performance Measures

There are no performance measures for this program.

District Courts

Operations. This program partially finances the operations of the District Court System. The state is divided into 31 judicial districts. The district courts are courts of record and have original jurisdiction over all civil and criminal matters, with certain exceptions. The district courts have appellate jurisdiction over municipal courts. There are currently 160 district judges and 74 district magistrate judges.

District magistrate judges are limited in jurisdiction. They can hear any action in connection with the Kansas Code for Care of Children or the Kansas Juvenile Offenders Code. In some instances, a magistrate may act in the absence of a district judge. In 17 of the judicial districts, judges are nonpartisan. These district judges stand for retention in the general election every four years. In the remaining 14 districts, judges are elected in partisan elections. They also serve four-year terms.

To carry out the administrative duties of the court, an administrative judge in each district, designated by the Supreme Court, appoints a clerk of the district court in each of the counties in the district and appoints deputies and assistants as necessary to perform required duties. In some districts, district court administrators are also appointed to assist the administrative judge. The nonjudicial employees of the district courts provide the services that enable judges to perform their judicial duties. Nonjudicial employees file all documents on each case and issue all writs, maintain an accurate list of all money received and disbursed, and act as probation counselors and pre-sentence investigators.

Statutory History. KSA 20-301 establishes a district court in each county of the state. Each court maintains complete records and has jurisdiction over all matters, both civil and criminal.

District Courts

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|---------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 73,603,818 | 74,525,778 | 78,554,109 | 2,807,829 | 76,883,516 |
| Contractual Services | 721,695 | 796,371 | 870,468 | -- | 870,468 |
| Commodities | 47,070 | 48,072 | 50,042 | -- | 50,042 |
| Capital Outlay | -- | -- | -- | -- | -- |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$74,372,583 | \$75,370,221 | \$79,474,619 | \$2,807,829 | \$77,804,026 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$74,372,583 | \$75,370,221 | \$79,474,619 | \$2,807,829 | \$77,804,026 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$74,372,583 | \$75,370,221 | \$79,474,619 | \$2,807,829 | \$77,804,026 |
| Non-expense Items | -- | -- | -- | -- | -- |
| Total Expenditures | \$74,372,583 | \$75,370,221 | \$79,474,619 | \$2,807,829 | \$77,804,026 |
| Expenditures by Fund | | | | | |
| State General Fund | 69,339,468 | 69,882,741 | 73,696,358 | 2,707,811 | 71,949,194 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 5,033,115 | 5,487,480 | 5,778,261 | 100,018 | 5,854,832 |
| Total Expenditures | \$74,372,583 | \$75,370,221 | \$79,474,619 | \$2,807,829 | \$77,804,026 |
| FTE Positions | 1,668.0 | 1,666.5 | 1,666.5 | -- | 1,666.5 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 1,668.0 | 1,666.5 | 1,666.5 | -- | 1,666.5 |

Performance Measures

There are no performance measures for this program.

Judicial & Professional Review

Operations. This program finances several units responsible for judicial and professional review within the judicial system. The Commission on Judicial Qualifications and the Judicial Nominating Commission review judicial conduct and select nominees for vacant offices. The Board of Law Examiners and the Board of Examiners of Court Reporters address professional certification and review for those groups.

The Commission on Judicial Qualifications is an adjunct of the Supreme Court, assisting the Court in its constitutional responsibility for professional review. Article 3, Section 15 of the *Kansas Constitution* provides, “Judges shall be subject to retirement for incapacity, and to discipline, suspension and removal for cause by the Supreme Court after appropriate hearing.” The Court has adopted a standard of conduct for judges to observe. The Commission on Judicial Qualifications is charged with promptly reviewing, investigating, and hearing complaints concerning the conduct of judges. Its findings and recommendations are presented to the Supreme Court for final action. The Commission has nine members, including lawyers, judges, and non-lawyers.

The judicial nominating commissions consist of the Supreme Court Nominating Commission and 17 district nominating commissions. The Supreme Court

Nominating Commission, which consists of nine members and is nonpartisan, nominates and submits to the Governor three candidates eligible for appointment to each vacancy on the Supreme Court or the Court of Appeals. District judicial nominating commissions, which operate in districts using the nonpartisan selection process, submit nominees to the Governor to fill district court vacancies.

The Board of Law Examiners is a ten-member body appointed by the Supreme Court. The Board examines all applicants for admittance to the Kansas Bar and reviews the qualifications of each applicant. If the Board recommends approval, the court issues an order admitting the applicant to practice in all Kansas courts.

Statutory History. KSA 20-119 through 20-138 set forth the requirements and responsibilities of members of the Supreme Court Nominating Commission. KSA 20-2903 through KSA 20-2914 establish the responsibilities and procedures of district judicial nominating commissions. The Commission on Judicial Qualifications and the Board of Law Examiners are established through general administrative authority as vested in the courts in Article 3, Section 1 of the *Kansas Constitution* and KSA 20-101. The Board of Examiners of Court Reporters is established by rule of the Supreme Court according to KSA 20-912.

Judicial & Professional Review

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|-------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 77,510 | 90,299 | 92,350 | 2,718 | 93,677 |
| Contractual Services | 114,914 | 117,037 | 121,862 | -- | 121,862 |
| Commodities | 5,400 | 6,382 | 7,139 | -- | 7,139 |
| Capital Outlay | 18 | -- | -- | -- | -- |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$197,842 | \$213,718 | \$221,351 | \$2,718 | \$222,678 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$197,842 | \$213,718 | \$221,351 | \$2,718 | \$222,678 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$197,842 | \$213,718 | \$221,351 | \$2,718 | \$222,678 |
| Non-expense Items | -- | -- | -- | -- | -- |
| Total Expenditures | \$197,842 | \$213,718 | \$221,351 | \$2,718 | \$222,678 |
| Expenditures by Fund | | | | | |
| State General Fund | 68,734 | 60,224 | 68,186 | -- | 68,237 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 129,108 | 153,494 | 153,165 | 2,718 | 154,441 |
| Total Expenditures | \$197,842 | \$213,718 | \$221,351 | \$2,718 | \$222,678 |
| FTE Positions | 2.0 | 2.0 | 2.0 | -- | 2.0 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 2.0 | 2.0 | 2.0 | -- | 2.0 |

Performance Measures

There are no performance measures for this program.

Juvenile Justice Authority

Mission. The mission of the Juvenile Justice Authority is to promote public safety, hold juvenile offenders accountable for their behavior, and improve the ability of juveniles to live more productively and responsibly in the community. The Authority maintains conditions of confinement that are secure, safe, humane, and habilitative and that operate within the expectations of community norms and offender needs.

Operations. The Juvenile Justice Authority is responsible for the supervision and provision of services to all juvenile offenders in state custody. It provides community based juvenile offender services and oversees the four state juvenile correctional facilities. Under the guidance of the Commissioner, the agency is currently working in partnership with communities to provide prevention, intervention, and graduated sanction programs at the local level. The agency is also responsible for providing technical assistance, grants, and oversight to local organizations for the delivery of local programs. The following divisions have been established: Administration, Research and Prevention, Contracts and Audits, and Operations.

Goals and Objectives. Goals of the Juvenile Justice Authority include the following:

Ensure community based services are provided to meet the needs of juvenile offenders and to maintain public safety.

Provide technical assistance on the administration of federal grants for community-based prevention, intervention, and sanctions programs.

Statutory History. In 1995, the Legislature authorized creation of the Juvenile Justice Authority (KSA 75-7001) effective July 1, 1997. The Kansas Youth Authority was also established at that time to study the current situation of juvenile offenders. On July 1, 1997, the Kansas Youth Authority became an advisor to the Commissioner of Juvenile Justice. The advisory group was abolished in June 1999.

In 1996, the Legislature passed KSA 38-1601 et seq. This legislation renamed the Juvenile Offenders Code as the Juvenile Justice Code. It outlines the authority of the Commissioner and the new agency. In addition, it addresses regulations and laws affecting juveniles and juvenile offenders. The law's implementation date was delayed until July 1, 1997, to coincide with the establishment of the Juvenile Justice Authority.

Since 1997, the Legislature has continued to enact laws affecting juvenile justice reform. The new laws outlined the community planning process for the Juvenile Justice Authority. Oversight of the agency is provided through a legislative committee. In addition, a placement matrix for juvenile offenses implemented July 1, 1999, assists the Juvenile Justice Authority in its mission. It is designed to keep nonviolent offenders in the community and keep the serious offenders in correctional facilities for a longer time.

Juvenile Justice Authority

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|---------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Program | | | | | |
| Administration | 1,713,138 | 1,893,309 | 1,907,111 | -- | 1,920,860 |
| Operations | 905,683 | 344,958 | 312,623 | -- | 315,404 |
| Research & Prevention | 6,545,396 | 7,583,730 | 6,262,433 | 51,315 | 6,273,923 |
| Contracts & Audits | 46,417,824 | 44,490,953 | 45,841,561 | 4,405,172 | 44,181,474 |
| Debt Service & Capital Improvements | 1,591,324 | 12,706,101 | 4,444,028 | 1,575,887 | 4,367,384 |
| Total Expenditures | \$57,173,365 | \$67,019,051 | \$58,767,756 | \$6,032,374 | \$57,059,045 |
| Expenditures by Object | | | | | |
| Salaries and Wages | 2,165,457 | 2,406,602 | 2,449,290 | 170,865 | 2,483,163 |
| Contractual Services | 1,464,156 | 2,240,762 | 1,127,584 | 100,000 | 1,127,584 |
| Commodities | 450,634 | 236,857 | 203,788 | -- | 203,788 |
| Capital Outlay | 371,829 | 192,505 | 186,056 | -- | 186,056 |
| Debt Service | -- | 847,038 | 1,844,028 | -- | 1,844,028 |
| Subtotal: State Operations | \$4,452,076 | \$5,923,764 | \$5,810,746 | \$270,865 | \$5,844,619 |
| Aid to Local Governments | 43,550,864 | 42,212,183 | 42,430,769 | 4,185,622 | 40,764,829 |
| Other Assistance | 7,085,798 | 7,024,041 | 7,926,241 | -- | 7,926,241 |
| Subtotal: Operating Expenditures | \$55,088,738 | \$55,159,988 | \$56,167,756 | \$4,456,487 | \$54,535,689 |
| Capital Improvements | 1,279,554 | 11,859,063 | 2,600,000 | 1,575,887 | 2,523,356 |
| Total Reportable Expenditures | \$56,368,292 | \$67,019,051 | \$58,767,756 | \$6,032,374 | \$57,059,045 |
| Non-expense Items | 805,073 | -- | -- | -- | -- |
| Total Expenditures | \$57,173,365 | \$67,019,051 | \$58,767,756 | \$6,032,374 | \$57,059,045 |
| Expenditures by Fund | | | | | |
| State General Fund | 34,922,072 | 34,840,803 | 34,664,895 | 4,261,104 | 31,929,720 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | 7,000,000 | 8,000,000 | 8,000,000 | -- | 8,500,000 |
| Building Funds | 1,279,554 | 7,206,101 | 4,444,028 | 1,575,887 | 4,367,384 |
| Other Funds | 13,971,739 | 16,972,147 | 11,658,833 | 195,383 | 12,261,941 |
| Total Expenditures | \$57,173,365 | \$67,019,051 | \$58,767,756 | \$6,032,374 | \$57,059,045 |
| FTE Positions | | | | | |
| FTE Positions | 36.0 | 38.0 | 38.0 | 4.0 | 38.0 |
| Non-FTE Unclassified Permanent | 8.0 | 9.0 | 9.0 | -- | 9.0 |
| Total Positions | 44.0 | 47.0 | 47.0 | 4.0 | 47.0 |

Administration

Operations. The Administration Division provides policy and support services for the Juvenile Justice Authority. The Administration Division is responsible for developing, implementing, and administering policy and budgets. The Division also performs a continual evaluation of the Kansas Juvenile Justice System; legal services and consultation; planning and information analysis; public information services; human resource functions; and conducting internal and external audits.

Goals and Objectives. The following goal has been established for this division:

Provide leadership, resources, and administrative support services necessary for the divisions to perform their functions and for the agency to pursue its mission successfully.

Statutory History. KSA 75-7024 establishes the four divisions within the Juvenile Justice Authority.

Administration

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|--------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 836,036 | 956,818 | 984,035 | -- | 997,784 |
| Contractual Services | 234,618 | 231,786 | 216,709 | -- | 216,709 |
| Commodities | 18,808 | 22,087 | 22,724 | -- | 22,724 |
| Capital Outlay | 13,366 | -- | -- | -- | -- |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$1,102,828 | \$1,210,691 | \$1,223,468 | \$ -- | \$1,237,217 |
| Aid to Local Governments | 610,310 | 682,618 | 683,643 | -- | 683,643 |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$1,713,138 | \$1,893,309 | \$1,907,111 | \$ -- | \$1,920,860 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$1,713,138 | \$1,893,309 | \$1,907,111 | \$ -- | \$1,920,860 |
| Non-expense Items | -- | -- | -- | -- | -- |
| Total Expenditures | \$1,713,138 | \$1,893,309 | \$1,907,111 | \$ -- | \$1,920,860 |
| Expenditures by Fund | | | | | |
| State General Fund | 1,073,122 | 1,127,237 | 1,139,117 | -- | 1,151,716 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 640,016 | 766,072 | 767,994 | -- | 769,144 |
| Total Expenditures | \$1,713,138 | \$1,893,309 | \$1,907,111 | \$ -- | \$1,920,860 |
| FTE Positions | 16.0 | 17.0 | 17.0 | -- | 17.0 |
| Non-FTE Unclassified Permanent | 1.0 | 2.0 | 2.0 | -- | 2.0 |
| Total Positions | 17.0 | 19.0 | 19.0 | -- | 19.0 |

Performance Measures

The performance measures for this program are being developed.

Operations

Operations. The Operations Division oversees the four state juvenile correctional facilities and the capital improvements plan. The Division develops major policies and procedures and provides supervision and support for the facilities. The Division also facilitates the acceptance and assignment of juvenile offenders placed in the Authority by the courts for placement in the facilities. The Division is responsible for administration of the Juvenile Correctional Facility Alcohol and Drug Abuse Services Program and federal education grant programs. The Division also manages the statewide intake and classification of juvenile

offenders and statewide release and transition, trains and contacts court personnel on matters relating to juveniles and the facilities, and ensures compliance with state and federal law.

Goals and Objectives. The following goal has been established for this division:

Reduce recidivism in juvenile correctional facilities.

Statutory History. KSA 75-7024 establishes the four divisions within the Juvenile Justice Authority.

Operations

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|-------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 233,757 | 239,478 | 242,100 | -- | 244,881 |
| Contractual Services | 18,816 | 49,004 | 15,240 | -- | 15,240 |
| Commodities | 135,984 | 1,476 | 283 | -- | 283 |
| Capital Outlay | -- | -- | -- | -- | -- |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$388,557 | \$289,958 | \$257,623 | \$ -- | \$260,404 |
| Aid to Local Governments | 59,684 | 55,000 | 55,000 | -- | 55,000 |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$448,241 | \$344,958 | \$312,623 | \$ -- | \$315,404 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$448,241 | \$344,958 | \$312,623 | \$ -- | \$315,404 |
| Non-expense Items | 457,442 | -- | -- | -- | -- |
| Total Expenditures | \$905,683 | \$344,958 | \$312,623 | \$ -- | \$315,404 |
| Expenditures by Fund | | | | | |
| State General Fund | 388,557 | 289,958 | 257,623 | -- | 260,404 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 517,126 | 55,000 | 55,000 | -- | 55,000 |
| Total Expenditures | \$905,683 | \$344,958 | \$312,623 | \$ -- | \$315,404 |
| FTE Positions | | | | | |
| FTE Positions | 4.0 | 3.0 | 3.0 | -- | 3.0 |
| Non-FTE Unclassified Permanent | -- | 1.0 | 1.0 | -- | 1.0 |
| Total Positions | 4.0 | 4.0 | 4.0 | -- | 4.0 |

Performance Measures

| | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|---|-------------------|---------------------|---------------------|
| Compliance with ACA mandatory standards | 100.0 % | 100.0 % | 100.0 % |
| Compliance with ACA non-mandatory standards | 99.0 % | 99.0 % | 99.0 % |
| Number of ACA compliance reviews conducted | 4 | 4 | 4 |

Research & Prevention

Operations. The Research and Prevention Division generates, analyzes, and utilizes data to review existing programs on juvenile delinquency and identify effective prevention programs; develops initiatives and restructures existing programs; and assists communities in risk assessment and resource utilization. The Division also administers the federal Office of Juvenile Justice and Delinquency Prevention (OJJDP) and Juvenile Accountability Incentive Block Grant Programs for Kansas. The Division is responsible for developing and maintaining a statewide information management system. Implementation of the Juvenile Justice Information System is set for June 2003. The Division conducts

research, performs analysis, and coordinates prevention initiatives with other state and local organizations.

Goals and Objectives. The following goals have been established for this division:

Generate information necessary for the management of juvenile offender populations and programs.

Comply with federal OJJDP requirements.

Statutory History. KSA 75-7024 establishes the four divisions within the Juvenile Justice Authority.

Research & Prevention

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|--------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 761,675 | 765,538 | 773,190 | 51,315 | 784,680 |
| Contractual Services | 1,197,744 | 1,943,822 | 879,483 | -- | 879,483 |
| Commodities | 295,144 | 212,830 | 180,304 | -- | 180,304 |
| Capital Outlay | 358,463 | 192,505 | 186,056 | -- | 186,056 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$2,613,026 | \$3,114,695 | \$2,019,033 | \$51,315 | \$2,030,523 |
| Aid to Local Governments | 3,896,509 | 4,469,035 | 4,243,400 | -- | 4,243,400 |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$6,509,535 | \$7,583,730 | \$6,262,433 | \$51,315 | \$6,273,923 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$6,509,535 | \$7,583,730 | \$6,262,433 | \$51,315 | \$6,273,923 |
| Non-expense Items | 35,861 | -- | -- | -- | -- |
| Total Expenditures | \$6,545,396 | \$7,583,730 | \$6,262,433 | \$51,315 | \$6,273,923 |
| Expenditures by Fund | | | | | |
| State General Fund | 1,359,815 | 2,365,802 | 1,541,351 | 51,315 | 1,550,707 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 5,185,581 | 5,217,928 | 4,721,082 | -- | 4,723,216 |
| Total Expenditures | \$6,545,396 | \$7,583,730 | \$6,262,433 | \$51,315 | \$6,273,923 |
| FTE Positions | | | | | |
| FTE Positions | 9.0 | 11.0 | 11.0 | 1.0 | 11.0 |
| Non-FTE Unclassified Permanent | 6.0 | 4.0 | 4.0 | -- | 4.0 |
| Total Positions | 15.0 | 15.0 | 15.0 | 1.0 | 15.0 |

| Performance Measures | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|---|-------------------|---------------------|---------------------|
| Percent of Juvenile Justice Information System tasks complete | 60.0 % | 75.0 % | 100.0 % |
| Number of formula and JAIBG grants issued to communities | 126 | 113 | 82 |
| Number of visits to formula grant recipients conducted | 37.0 | 38.0 | 40.0 |

Contracts & Audits

Operations. The Contracts and Audits Division is responsible for contracts with public agencies and private vendors. Contracts are for the delivery of support programs and correctional services for juveniles at the community level. The Division administers graduated sanctions and prevention block grants through a partnership with all judicial districts and community-based programs. These include Juvenile Intake and Assessment, Case Management, and Juvenile Intensive Supervised Probation.

Goals and Objectives. The following goals have been established for this division:

Implement community-based juvenile justice programs in accordance with statutory requirements and agency guidelines.

Enhance the accountability of juvenile offenders being supervised in community-based programs.

Improve the ability of the state's juvenile offenders to live responsibly and productively in their own community.

Statutory History. KSA 75-7024 establishes the four divisions within the Juvenile Justice Authority.

Contracts & Audits

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|---------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 333,989 | 444,768 | 449,965 | 119,550 | 455,818 |
| Contractual Services | 12,978 | 16,150 | 16,152 | 100,000 | 16,152 |
| Commodities | 698 | 464 | 477 | -- | 477 |
| Capital Outlay | -- | -- | -- | -- | -- |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$347,665 | \$461,382 | \$466,594 | \$219,550 | \$472,447 |
| Aid to Local Governments | 38,984,361 | 37,005,530 | 37,448,726 | 4,185,622 | 35,782,786 |
| Other Assistance | 7,085,798 | 7,024,041 | 7,926,241 | -- | 7,926,241 |
| Subtotal: Operating Expenditures | \$46,417,824 | \$44,490,953 | \$45,841,561 | \$4,405,172 | \$44,181,474 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$46,417,824 | \$44,490,953 | \$45,841,561 | \$4,405,172 | \$44,181,474 |
| Non-expense Items | -- | -- | -- | -- | -- |
| Total Expenditures | \$46,417,824 | \$44,490,953 | \$45,841,561 | \$4,405,172 | \$44,181,474 |
| Expenditures by Fund | | | | | |
| State General Fund | 32,100,578 | 31,057,806 | 31,726,804 | 4,209,789 | 28,966,893 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | 7,000,000 | 8,000,000 | 8,000,000 | -- | 8,500,000 |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 7,317,246 | 5,433,147 | 6,114,757 | 195,383 | 6,714,581 |
| Total Expenditures | \$46,417,824 | \$44,490,953 | \$45,841,561 | \$4,405,172 | \$44,181,474 |
| FTE Positions | | | | | |
| FTE Positions | 7.0 | 7.0 | 7.0 | 3.0 | 7.0 |
| Non-FTE Unclassified Permanent | 1.0 | 2.0 | 2.0 | -- | 2.0 |
| Total Positions | 8.0 | 9.0 | 9.0 | 3.0 | 9.0 |

| Performance Measures | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|--|-------------------|---------------------|---------------------|
| New/enhanced prevention programs operating in judicial districts | 160 | 170 | 170 |
| Standards training workshops for JIAS | NA | 1 | 4 |
| Number of technical assistance site visits | 15 | 15 | 15 |

Debt Service & Capital Improvements

Operations. Expenditures for the payment of principal and interest on the debt the Juvenile Justice Authority has incurred in FY 2002 will be made through this program. FY 2002 is the first year debt service payments are being made. The Juvenile Justice Authority has begun making payments from the State Institutions Building Fund (SIBF) for the \$50.0 million of bonds issued for construction of the Topeka Complex and the Larned Juvenile Correctional Facility replacement in FY 2002. The Topeka Complex will serve as a diagnostic and classification unit, provide maximum security units, and house an infirmary. The Complex will be adjacent to the Topeka Juvenile Correctional Facility, allowing for the sharing of various services. Construction is anticipated for completion in March 2004 at Topeka and December 2002 at Larned. The SIBF and the

federal Violent Offender Incarceration and Truth-in-Sentencing grant provided an additional \$9.5 million for construction.

This program includes systemwide rehabilitation, remodeling, renovation, and repair of various structures at the juvenile correctional facilities. The Commissioner authorizes transfers of SIBF monies from the Authority's rehabilitation and repair account to the various juvenile corrections institutions for funding these projects.

Statutory History. KSA 74-8901 et seq. provide the general statutory authority for issuing debt obligations through the Kansas Development Finance Authority. All capital improvement programs are authorized by individual appropriations of the Legislature.

Debt Service & Capital Improvements

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|--------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | -- | -- | -- | -- | -- |
| Contractual Services | -- | -- | -- | -- | -- |
| Commodities | -- | -- | -- | -- | -- |
| Capital Outlay | -- | -- | -- | -- | -- |
| Debt Service | -- | 847,038 | 1,844,028 | -- | 1,844,028 |
| Subtotal: State Operations | \$ -- | \$847,038 | \$1,844,028 | \$ -- | \$1,844,028 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$ -- | \$847,038 | \$1,844,028 | \$ -- | \$1,844,028 |
| Capital Improvements | 1,279,554 | 11,859,063 | 2,600,000 | 1,575,887 | 2,523,356 |
| Total Reportable Expenditures | \$1,279,554 | \$12,706,101 | \$4,444,028 | \$1,575,887 | \$4,367,384 |
| Non-expense Items | 311,770 | -- | -- | -- | -- |
| Total Expenditures | \$1,591,324 | \$12,706,101 | \$4,444,028 | \$1,575,887 | \$4,367,384 |
| Expenditures by Fund | | | | | |
| State General Fund | -- | -- | -- | -- | -- |
| Water Plan | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | 1,279,554 | 7,206,101 | 4,444,028 | 1,575,887 | 4,367,384 |
| Other Funds | 311,770 | 5,500,000 | -- | -- | -- |
| Total Expenditures | \$1,591,324 | \$12,706,101 | \$4,444,028 | \$1,575,887 | \$4,367,384 |
| FTE Positions | -- | -- | -- | -- | -- |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | -- | -- | -- | -- | -- |

Performance Measures

There are no performance measures for this program.

Kansas Bureau of Investigation

Mission. The Kansas Bureau of Investigation is dedicated to providing professional investigative and laboratory services to criminal justice agencies as well as collecting and disseminating criminal justice information to public and private agencies for the purpose of promoting public safety and the prevention of crime in Kansas.

Operations. The 1939 Legislature established the Kansas Bureau of Investigation (KBI). The Bureau, which is under the supervision of the Attorney General's Office, is led by a director appointed by the Attorney General. When the Bureau was established, it was vested with two principal duties. The first is to conduct investigations at the direction of the Attorney General. The second is to establish and maintain criminal justice records for use by criminal justice agencies for exchange among authorized organizations. The KBI fulfills these requirements by providing expert field investigations and technical services. It also provides assistance in the training of professional law enforcement officers and information on crime trends to public officials and citizens.

The Bureau is organized into the Administrative Program and four operating programs. These operating programs are Investigations, Administration and Special Services, and Laboratory Services. The KBI also operates a statewide Community Crime Watch Program designed to disseminate information on crime prevention and to assist communities and criminal justice agencies in operating local crime watch programs.

Statutory History. KSA 75-711 and 75-712 prescribe the powers and duties of the KBI. KSA 21-1501 requires the filing of fingerprint impressions with the KBI. KSA 21-2504 requires the filing of statistical data with the KBI. KSA 22-4701 et seq. require the filing of certain criminal history information with the KBI. KSA 22-4901 et seq. establish the Sexual Offender Registration Act with the KBI. KSA 22a-237 requires the forensic laboratory to test blood or other bodily substances for the presence of alcohol or drugs in any air, land, or watercraft accident. KSA 21-2511 established the DNA database of convicted violent offenders.

Kansas Bureau of Investigation

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|---------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Program | | | | | |
| General Services | 6,706,456 | 6,513,588 | 6,289,740 | -- | 6,279,344 |
| Investigations | 9,966,856 | 9,216,253 | 10,531,240 | -- | 10,487,445 |
| Laboratory Services | 4,154,706 | 3,854,798 | 3,571,874 | -- | 3,554,125 |
| Debt Service & Capital Improvements | 320,099 | 680,032 | 311,575 | -- | 311,575 |
| Total Expenditures | \$21,148,117 | \$20,264,671 | \$20,704,429 | \$ -- | \$20,632,489 |
| Expenditures by Object | | | | | |
| Salaries and Wages | 10,691,810 | 11,814,717 | 12,010,780 | -- | 12,013,878 |
| Contractual Services | 5,480,363 | 5,345,080 | 5,718,718 | -- | 5,700,718 |
| Commodities | 950,083 | 793,506 | 686,677 | -- | 629,639 |
| Capital Outlay | 2,596,485 | 632,578 | 399,539 | -- | 399,539 |
| Debt Service | 125,099 | 116,703 | 106,575 | -- | 106,575 |
| Subtotal: State Operations | \$19,843,840 | \$18,702,584 | \$18,922,289 | \$ -- | \$18,850,349 |
| Aid to Local Governments | 1,031,828 | 998,758 | 1,569,140 | -- | 1,569,140 |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$20,875,668 | \$19,701,342 | \$20,491,429 | \$ -- | \$20,419,489 |
| Capital Improvements | 195,000 | 563,329 | 205,000 | -- | 205,000 |
| Total Reportable Expenditures | \$21,070,668 | \$20,264,671 | \$20,696,429 | \$ -- | \$20,624,489 |
| Non-expense Items | 77,449 | -- | 8,000 | -- | 8,000 |
| Total Expenditures | \$21,148,117 | \$20,264,671 | \$20,704,429 | \$ -- | \$20,632,489 |
| Expenditures by Fund | | | | | |
| State General Fund | 12,627,290 | 12,799,657 | 12,825,792 | -- | 12,715,712 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 8,520,827 | 7,465,014 | 7,878,637 | -- | 7,916,777 |
| Total Expenditures | \$21,148,117 | \$20,264,671 | \$20,704,429 | \$ -- | \$20,632,489 |
| FTE Positions | | | | | |
| FTE Positions | 200.0 | 200.0 | 200.0 | -- | 200.0 |
| Non-FTE Unclassified Permanent | 84.0 | 84.0 | 81.0 | -- | 81.0 |
| Total Positions | 284.0 | 284.0 | 281.0 | -- | 281.0 |

General Services

Operations. The Administration Section provides the overall management of the Bureau. The Support Services Section maintains, collects, and disseminates criminal histories and other criminal justice information; compiles and disseminates crime statistics; and provides internal support services.

The KBI is the state's central repository for the collection, storage, and dissemination of criminal history information and fingerprint identification data. Kansas criminal justice agencies are required to submit information to the Bureau concerning criminal offenses and their disposition. Within the central repository is a statewide juvenile justice information system consisting of data regarding juvenile offenders and children in need of care.

Additionally, local law enforcement officials are required to file fingerprint impressions of suspected law violators. Implementation of the Automated Fingerprint Identification System facilitates the identification of latent fingerprints acquired from crime scenes. This information is available to local, state, and federal criminal justice agencies. The 1993 Legislature also requires the KBI to maintain a repository of convicted sexual offenders. In addition, the Bureau maintains a communications center which serves as a relay point among more than 160 agencies in the Automated Statewide Telecommunications and Records Access (ASTRA) network and other local, state, regional, and national systems. The ASTRA network is currently being replaced by the new Criminal Justice Information System (CJIS), allowing faster transfer of an increased amount of information.

A variety of crime statistics is compiled and published by the KBI, which is then forwarded to the Federal Bureau of Investigation. The Bureau audits procedures of local users of the National Crime Information Center database. The audit team verifies that proper procedures and safeguards are being used

statewide, thereby maintaining access to the database files by Kansas users. Audit team members also train local users in the use of the database and the proper procedures for safeguarding the sensitive information which may be obtained through the database.

Goals and Objectives. The goal of the Administration and Support Services Program is to provide support for the laboratory and investigative operations of the Bureau and other criminal justice agencies to collect and disseminate crime event, criminal history, and statistical information efficiently and accurately. This goal will be pursued through the following objectives:

Improve program applications of the Bureau to meet the demands of criminal justice users.

Conduct audits in compliance with FBI agreements on each agency utilizing the ASTRA network and request NCIC data ensuring compliance with network systems, governmental policies, regulations, and statutes.

Provide training on audit compliance issues as well as placing an emphasis on NCIC, privacy and security issues, and system use.

Provide systems resources to collect and enter crime and criminal data efficiently and accurately in a centrally accessible system within one month of the date of the reportable event.

Provide routine dissemination of crime and criminal history data to requestors within ten days of the request.

Complete implementation of the switch from ASTRA to CJIS.

General Services

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|--------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 2,692,226 | 3,155,910 | 3,179,353 | -- | 3,168,957 |
| Contractual Services | 2,731,152 | 2,931,884 | 2,970,071 | -- | 2,970,071 |
| Commodities | 133,562 | 123,284 | 117,046 | -- | 117,046 |
| Capital Outlay | 1,144,248 | 302,510 | 23,270 | -- | 23,270 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$6,701,188 | \$6,513,588 | \$6,289,740 | \$ -- | \$6,279,344 |
| Aid to Local Governments | 2,429 | -- | -- | -- | -- |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$6,703,617 | \$6,513,588 | \$6,289,740 | \$ -- | \$6,279,344 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$6,703,617 | \$6,513,588 | \$6,289,740 | \$ -- | \$6,279,344 |
| Non-expense Items | 2,839 | -- | -- | -- | -- |
| Total Expenditures | \$6,706,456 | \$6,513,588 | \$6,289,740 | \$ -- | \$6,279,344 |
| Expenditures by Fund | | | | | |
| State General Fund | 4,010,230 | 4,410,883 | 4,402,490 | -- | 4,376,827 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 2,696,226 | 2,102,705 | 1,887,250 | -- | 1,902,517 |
| Total Expenditures | \$6,706,456 | \$6,513,588 | \$6,289,740 | \$ -- | \$6,279,344 |
| FTE Positions | 55.0 | 55.0 | 55.0 | -- | 55.0 |
| Non-FTE Unclassified Permanent | 36.0 | 36.0 | 36.0 | -- | 36.0 |
| Total Positions | 91.0 | 91.0 | 91.0 | -- | 91.0 |

| Performance Measures | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|--|-------------------|---------------------|---------------------|
| Number of new adult criminal records created | 25,891 | 27,200 | 28,500 |
| Total number of criminal history abstracts prepared and disseminated to: | | | |
| Criminal justice agencies | 43,764 | 50,520 | 57,700 |
| Non-criminal justice agencies | 20,451 | 19,730 | 21,400 |
| Number of potential Kansas Criminal Justice users wanting KCJIS connection | 15,000 | 15,000 | 15,000 |
| Percent of Kansas potential Criminal Justice connected | 40.0 % | 47.0 % | 47.0 % |

Investigations

Operations. In FY 1997, the Kansas Bureau of Investigation combined its Investigation, Narcotics, and Special Services Divisions to become one program called Investigations. The Investigations Program provides expert criminal investigative services to law enforcement agencies throughout the State of Kansas. Although KBI special agents have the powers and privileges of Kansas sheriffs, it remains the policy of the KBI that agents will enter a case only at the request of another law enforcement agency, prosecutor, or state agency. The KBI will also enter an investigation by order of the Attorney General. The KBI restricts case acceptance to felony offenses unless exceptional circumstances exist. Direct assistance to local authorities regarding the investigation of criminal cases is provided by special agents located throughout the state. Three special agents are trained in the use of polygraph equipment.

Several agents are assigned to the special investigation unit, which primarily investigates white-collar, gambling, and organized crime. These investigations are usually long term. The agents work such complex cases as securities fraud investigations, public corruption, and white-collar crime. The individuals are assigned to work as a unit or on an individual basis. Additionally, agents conduct surveillance, develop information, and prepare reports regarding major criminal activities.

One special agent is assigned to technical services. This agent purchases and maintains all equipment for other agents. Technical assistance is also provided by this agent for all court ordered telephone intercepts. One special agent and one office assistant are assigned to the Anti-Crime Unit, which implements crime prevention projects across the state. One training agent is assigned to the Special Services Division for the coordination of agent training. This agent also

coordinates and provides assistance in training local law enforcement agencies. Three crime information analysts are assigned to provide investigative/support services to all divisions of the Bureau.

There is also a group of agents assigned to conduct narcotics-related investigations. These agents provide covert investigative services to local agencies and conduct investigations on mid to upper level drug traffickers. These agents form a proactive drug enforcement group which focuses on the illicit production, manufacture, and distribution of drugs in the state. An Assistant Attorney General is assigned to this area to provide legal counsel in preparation of search warrants, court orders, and subpoenas, as well as in the forfeiture of seized assets.

Goals and Objectives. The goal of the Investigations Program is to promote public safety and governmental integrity through the aggressive and efficient investigation of serious criminal violations, the collection and dissemination of criminal intelligence information, and the conduct of background investigations relating to public employees. Objectives include the following:

Provide professional investigative as well as technical services to law enforcement agencies.

Identify, investigate, and prosecute drug traffickers, manufacturers of illicit drugs, and marijuana producers.

Gather and disseminate criminal intelligence information.

Provide training to other law enforcement agencies.

Investigations

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|--------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 5,317,739 | 5,700,118 | 5,868,655 | -- | 5,842,860 |
| Contractual Services | 2,156,752 | 2,111,713 | 2,495,618 | -- | 2,477,618 |
| Commodities | 381,258 | 232,676 | 213,558 | -- | 213,558 |
| Capital Outlay | 1,007,098 | 172,988 | 376,269 | -- | 376,269 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$8,862,847 | \$8,217,495 | \$8,954,100 | \$ -- | \$8,910,305 |
| Aid to Local Governments | 1,029,399 | 998,758 | 1,569,140 | -- | 1,569,140 |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$9,892,246 | \$9,216,253 | \$10,523,240 | \$ -- | \$10,479,445 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$9,892,246 | \$9,216,253 | \$10,523,240 | \$ -- | \$10,479,445 |
| Non-expense Items | 74,610 | -- | 8,000 | -- | 8,000 |
| Total Expenditures | \$9,966,856 | \$9,216,253 | \$10,531,240 | \$ -- | \$10,487,445 |
| Expenditures by Fund | | | | | |
| State General Fund | 5,333,919 | 5,427,960 | 5,465,925 | -- | 5,404,820 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 4,632,937 | 3,788,293 | 5,065,315 | -- | 5,082,625 |
| Total Expenditures | \$9,966,856 | \$9,216,253 | \$10,531,240 | \$ -- | \$10,487,445 |
| FTE Positions | 93.0 | 93.0 | 93.0 | -- | 93.0 |
| Non-FTE Unclassified Permanent | 36.0 | 36.0 | 36.0 | -- | 36.0 |
| Total Positions | 129.0 | 129.0 | 129.0 | -- | 129.0 |

| Performance Measures | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|--|-------------------|---------------------|---------------------|
| Percent of requests for assistance regarding violent crime honored | 92.0 % | 95.0 % | 95.0 % |
| Number of cases opened regarding violent crimes | 173 | 198 | 198 |
| Percent of requests for polygraph examinations honored | 100.0% | 100.0 % | 100.0 % |
| Number of polygraph examinations conducted | 320 | 320 | 400 |
| Kansas' most wanted fugitives apprehended | 84 | 90 | 90 |

Laboratory Services

Operations. The Laboratory Services Program utilizes scientists and sophisticated equipment to identify suspects, provide leads, substantiate evidence, and prove or disprove, within limits, the involvement of individuals in specific crimes. Changes in the criminal justice system have placed greater demands on the expertise and capability of the laboratory scientists. Prosecutors are demanding substantiated evidence prior to taking a case to court. The KBI lab is in the forefront of labs nationwide in regard to staff ability to develop new techniques and equipment, which provide a more effective means of substantiating information.

In past years the KBI has received new equipment, including an automated fingerprint identification system and a gas chromatograph/mass spectrometer. The recent acquisition of DNA equipment enables the KBI to perform DNA analysis. The KBI has its main laboratory in Topeka, and it maintains satellite laboratories in Great Bend, Pittsburg, and Kansas City.

The KBI lab, as the state crime lab, provides laboratory services for all Kansas law enforcement agencies. Recent improvements in law enforcement

training and the 1984 requirement of 40 hours of continuing education for all law enforcement personnel have significantly improved the quantity and quality of evidence submitted to the state lab for testing. To assist smaller and local law enforcement agencies, the KBI is implementing a mobile van unit, which will provide on-site laboratory service.

Goals and Objectives. The goal of the Laboratory Services Program is to provide efficient forensic laboratory services to Kansas criminal justice agencies. This is accomplished through the following objectives:

Provide needed response time for current services that are requested by criminal justice agencies so enforcement of laws is timely with respect to the needs of Kansas citizens.

Reduce the problems caused by laboratory reporting delays by 50.0 percent in three years. These problems include cases being continued or dismissed and cases requiring an increased amount of investigation time, resulting in the reduced solvability of cases.

Laboratory Services

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|--------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 2,681,845 | 2,958,689 | 2,962,772 | -- | 3,002,061 |
| Contractual Services | 592,459 | 301,483 | 253,029 | -- | 253,029 |
| Commodities | 435,263 | 437,546 | 356,073 | -- | 299,035 |
| Capital Outlay | 445,139 | 157,080 | -- | -- | -- |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$4,154,706 | \$3,854,798 | \$3,571,874 | \$ -- | \$3,554,125 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$4,154,706 | \$3,854,798 | \$3,571,874 | \$ -- | \$3,554,125 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$4,154,706 | \$3,854,798 | \$3,571,874 | \$ -- | \$3,554,125 |
| Non-expense Items | -- | -- | -- | -- | -- |
| Total Expenditures | \$4,154,706 | \$3,854,798 | \$3,571,874 | \$ -- | \$3,554,125 |
| Expenditures by Fund | | | | | |
| State General Fund | 2,963,042 | 2,624,111 | 2,645,802 | -- | 2,622,490 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 1,191,664 | 1,230,687 | 926,072 | -- | 931,635 |
| Total Expenditures | \$4,154,706 | \$3,854,798 | \$3,571,874 | \$ -- | \$3,554,125 |
| FTE Positions | 52.0 | 52.0 | 52.0 | -- | 52.0 |
| Non-FTE Unclassified Permanent | 12.0 | 12.0 | 9.0 | -- | 9.0 |
| Total Positions | 64.0 | 64.0 | 61.0 | -- | 61.0 |

| Performance Measures | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|--|-------------------|---------------------|---------------------|
| Number of cases analyzed using STR DNA technology | 300 | 300 | 300 |
| Number of clandestine laboratory case submissions | 424 | 446 | 600 |
| Number of case submissions for the toxicology sections | 3,868 | 4,005 | 3,915 |

Debt Service & Capital Improvements

Operations. Expenditures for the payment of principal and interest on the debt incurred by the KBI are reflected in this program. In FY 1990, \$3.4 million of bonds were issued through the Kansas Development Finance Authority to finance the purchase of the current KBI headquarters facility. The Capital Improvements Program provides for maintenance and renovation of the facility.

Goals and Objectives. The agency has identified the following goals for this program:

Make debt service payments in accordance with legal requirements.

Operate facilities of the Kansas Bureau of Investigation in an efficient manner.

Debt Service & Capital Improvements

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|-------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | -- | -- | -- | -- | -- |
| Contractual Services | -- | -- | -- | -- | -- |
| Commodities | -- | -- | -- | -- | -- |
| Capital Outlay | -- | -- | -- | -- | -- |
| Debt Service | 125,099 | 116,703 | 106,575 | -- | 106,575 |
| Subtotal: State Operations | \$125,099 | \$116,703 | \$106,575 | \$ -- | \$106,575 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$125,099 | \$116,703 | \$106,575 | \$ -- | \$106,575 |
| Capital Improvements | 195,000 | 563,329 | 205,000 | -- | 205,000 |
| Total Reportable Expenditures | \$320,099 | \$680,032 | \$311,575 | \$ -- | \$311,575 |
| Non-expense Items | -- | -- | -- | -- | -- |
| Total Expenditures | \$320,099 | \$680,032 | \$311,575 | \$ -- | \$311,575 |
| Expenditures by Fund | | | | | |
| State General Fund | 320,099 | 336,703 | 311,575 | -- | 311,575 |
| Water Plan | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | -- | 343,329 | -- | -- | -- |
| Total Expenditures | \$320,099 | \$680,032 | \$311,575 | \$ -- | \$311,575 |
| FTE Positions | -- | -- | -- | -- | -- |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | -- | -- | -- | -- | -- |

Performance Measures

There are no performance measures for this program.

Kansas, Inc.

Mission. The mission of Kansas, Inc. is to conduct strategic planning for the Kansas economy; formulate economic development policy; evaluate the state's tax, regulatory, and expenditure policies; evaluate and report on the effectiveness of state economic development programs; advise the Department of Commerce and Housing and the Kansas Technology Enterprise Corporation with regard to economic development initiatives; provide coordination among state and private organizations or bodies involved in economic development activities; and work with business, education, and communities to support economic development initiatives.

Operations. Kansas, Inc. was created by the 1986 Legislature to provide analysis, coordination, and direction to the state's economic development activities. The board consists of 17 members serving four-year terms. Eight of the members are appointed by statute, including the Governor, Secretary of Commerce and Housing, Commanding General of the Kansas Cavalry, an appointee of the Board of Regents, and Speaker of the House, President of the Senate, and the majority and minority House and Senate leaders. The remaining members, appointed by the Governor and confirmed by the Senate, represent various areas in the private sector of the Kansas economy.

The operating expenditures of Kansas, Inc. are financed entirely with state funds. Additionally, the state funds special studies and projects that it requests or mandates. The agency solicits funds from sources to finance its special research and educational programs. These funds are managed by the Kansas Economic Development Institute (KEDI), an independent not-for-profit 501(c)(3) foundation.

Goals and Objectives. The agency pursues the following goals:

Monitor and update Kansas economic development strategies and initiatives.

Serve as an advisory resource to the Governor, members of the Legislature, and the general public.

Monitor state economic activity and recommend policy options to maintain Kansas economic growth at a level equal or superior to the region's growth.

Establish and promote a working partnership between the public and private sectors.

Statutory History. The duties and responsibilities for Kansas, Inc. are defined in KSA 74-8001 et seq.

Kansas, Inc.

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|-------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 216,645 | 280,665 | 281,883 | -- | 284,801 |
| Contractual Services | 240,321 | 98,937 | 99,950 | 30,000 | 65,143 |
| Commodities | 7,851 | 4,500 | 4,500 | -- | 4,500 |
| Capital Outlay | 3,805 | -- | -- | -- | -- |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$468,622 | \$384,102 | \$386,333 | \$30,000 | \$354,444 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$468,622 | \$384,102 | \$386,333 | \$30,000 | \$354,444 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$468,622 | \$384,102 | \$386,333 | \$30,000 | \$354,444 |
| Non-expense Items | 16,049 | -- | -- | -- | -- |
| Total Expenditures | \$484,671 | \$384,102 | \$386,333 | \$30,000 | \$354,444 |
| Expenditures by Fund | | | | | |
| State General Fund | -- | -- | -- | -- | -- |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | 379,772 | 343,102 | 345,181 | 30,000 | 313,292 |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 104,899 | 41,000 | 41,152 | -- | 41,152 |
| Total Expenditures | \$484,671 | \$384,102 | \$386,333 | \$30,000 | \$354,444 |
| FTE Positions | 4.0 | 4.0 | 4.0 | -- | 4.0 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 4.0 | 4.0 | 4.0 | -- | 4.0 |

Performance Measures

| | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|--|-------------------|---------------------|---------------------|
| Number of special research reports produced | 7 | 8 | 8 |
| Annual level of private financial support | \$71,417 | \$50,000 | \$50,000 |
| Percent of customers rating research and reports as "high" | -- % | 90.0 % | 90.0 % |

Kansas Neurological Institute

Mission. The mission of the Kansas Neurological Institute is to facilitate the empowerment of people with developmental disabilities so they can engage in a meaningful life by providing opportunities for choice, promoting personal relationships, encouraging meaningful community involvement, and recognizing each person's individuality.

Operations. Habilitation and Treatment is the central program of the Institute. The program is organized into 25 treatment teams housed in five residential buildings. The teams develop, implement, and monitor an individual plan for each resident that spells out the treatment and training objectives for the resident and the means for achieving them. The team periodically reviews the resident's progress, establishing new goals when appropriate.

The Community Services Program coordinates the return of KNI residents to their communities. The General Administration Program provides overall management activities, and the Staff Education and Research Program provides training and education services. The Ancillary Services Program provides clinical and therapeutic staff for the treatment teams and contracts with the Southeast Kansas Regional Education Service Center for special education services. The Medical and Surgical Services Program evaluates, monitors, and treats illnesses and injuries and seeks to prevent infectious disease. The Physical

Plant and Central Services Program operates the power plant, maintains the facilities, and provides supply services for other programs. Laundry services are provided to the Institute by the Department of Corrections, which now operates the former Topeka State Hospital laundry.

Goals and Objectives. The primary goal of the agency is to provide a quality of life which honors each individual living at KNI. The agency has established the following objectives:

Increase opportunities for residents to experience living environments that promote choice, productivity, and independence.

Increase the range of collaborative efforts between the agency and community service providers.

Reduce the number of families and agencies seeking placement at KNI as an alternative to in-home care.

Statutory History. Current statutes governing the Institute can be found in KSA 76-17c01 et seq. The Special Education Program is mandated and governed by KSA 72-901 et seq. and federal PL 94-142 (Right to Education for All Handicapped Children Act of 1975).

Kansas Neurological Institute

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|---------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Program | | | | | |
| General Administration | 1,329,627 | 1,170,365 | 1,116,997 | -- | 1,111,947 |
| Habilitation & Treatment | 12,146,469 | 12,273,546 | 12,557,939 | 543,508 | 12,675,352 |
| Staff Education & Research | 454,931 | 762,993 | 766,095 | 11,668 | 764,705 |
| Community Service | -- | -- | -- | -- | -- |
| Ancillary Services | 3,276,202 | 3,450,855 | 3,361,576 | 1,458 | 3,368,284 |
| Medical & Surgical Services | 2,850,736 | 2,924,046 | 2,990,572 | 6,026 | 2,969,959 |
| Physical Plant & Central Services | 4,498,696 | 4,349,581 | 3,945,320 | -- | 3,952,027 |
| Capital Improvements | 417,247 | -- | -- | -- | -- |
| Total Expenditures | \$24,973,908 | \$24,931,386 | \$24,738,499 | \$562,660 | \$24,842,274 |
| Expenditures by Object | | | | | |
| Salaries and Wages | 20,143,869 | 20,893,552 | 21,166,318 | 562,660 | 21,270,093 |
| Contractual Services | 1,622,575 | 1,606,950 | 1,411,031 | -- | 1,411,031 |
| Commodities | 2,336,033 | 2,430,884 | 2,161,150 | -- | 2,161,150 |
| Capital Outlay | 446,689 | -- | -- | -- | -- |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$24,549,166 | \$24,931,386 | \$24,738,499 | \$562,660 | \$24,842,274 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | 1,006 | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$24,550,172 | \$24,931,386 | \$24,738,499 | \$562,660 | \$24,842,274 |
| Capital Improvements | 417,247 | -- | -- | -- | -- |
| Total Reportable Expenditures | \$24,967,419 | \$24,931,386 | \$24,738,499 | \$562,660 | \$24,842,274 |
| Non-expense Items | 6,489 | -- | -- | -- | -- |
| Total Expenditures | \$24,973,908 | \$24,931,386 | \$24,738,499 | \$562,660 | \$24,842,274 |
| Expenditures by Fund | | | | | |
| State General Fund | 8,483,424 | 9,285,296 | 9,600,620 | 562,660 | 9,796,562 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | 417,247 | -- | -- | -- | -- |
| Other Funds | 16,073,237 | 15,646,090 | 15,137,879 | -- | 15,045,712 |
| Total Expenditures | \$24,973,908 | \$24,931,386 | \$24,738,499 | \$562,660 | \$24,842,274 |
| FTE Positions | | | | | |
| FTE Positions | 655.5 | 655.5 | 655.5 | -- | 655.5 |
| Non-FTE Unclassified Permanent | 1.0 | 1.0 | 1.0 | -- | 1.0 |
| Total Positions | 656.5 | 656.5 | 656.5 | -- | 656.5 |

| Performance Measures | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|--|-------------------|---------------------|---------------------|
| Number of patients participating in supported employment | 106 | 112 | 118 |
| Percent of general drugs used | 70.0 % | 75.0 % | 75.0 % |
| Percent of facility medication accuracy | 98.0 % | 99.0 % | 99.0 % |

Kansas Public Employees Retirement System

Mission. The Kansas Public Employees Retirement System (KPERS) is a plan of retirement, disability, and survivor benefits provided by law for Kansas public servants and their beneficiaries. The Board of Trustees and the staff of the retirement system strive at all times to safeguard the system's assets by adhering to the highest standards of fiduciary and professional care, to comply strictly with the law, and to conduct business in a courteous, timely, and effective manner.

Operations. KPERS is a consolidated pension system covering employees from the state and various local governments. KPERS was created by the 1961 Legislature to provide retirement, death, and long-term disability benefits to state and certain local employees. Since the inception of KPERS in 1962, subsequent legislative enactments have merged other retirement systems into KPERS. At the present time, the major coverage groups in the retirement system include KPERS—Non-School, KPERS—School, the Judges Retirement System, and the Kansas Police and Firemen's Retirement System for local police and fire officials (KP&F) and certain state employees of the Kansas Highway Patrol, the Kansas Bureau of

Investigation, and the campus police at Regents institutions. KPERS is governed by a nine-member Board of Trustees. Four of the board members are appointed by the Governor, one by the Speaker of the House, and one by the President of the Senate. In addition, two members are elected by the members of KPERS, and the State Treasurer is a Board member by statutory authority. The Board appoints an Executive Secretary to administer the system.

KPERS is an actuarially-funded system. The system is financed by employee and employer contributions. The employee contributions partially finance liabilities accruing from participating service credits. The employer contributions finance the group life and long-term disability program, amortization of prior service liabilities, and the balance of the liabilities accruing from participating service credits. Administrative expenses for the system, as well as fees for managers and custodians of the system's assets, are financed directly from investment earnings.

Statutory History. Statutory authority for KPERS is found in KSA 74-4901 to 74-49a176.

Kansas Public Employees Retirement System

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|----------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Program | | | | | |
| Operations | 6,726,892 | 7,562,226 | 6,930,559 | 193,690 | 6,985,276 |
| Public Employees Ret. Benefits | 558,913,803 | 627,996,216 | 658,902,429 | -- | 658,902,429 |
| Investment-Related Costs | 22,530,379 | 22,681,970 | 24,340,208 | -- | 24,341,485 |
| Total Expenditures | \$588,171,074 | \$658,240,412 | \$690,173,196 | \$193,690 | \$690,229,190 |
| Expenditures by Object | | | | | |
| Salaries and Wages | 3,739,478 | 4,354,483 | 4,426,856 | 49,290 | 4,482,850 |
| Contractual Services | 24,880,449 | 25,170,071 | 26,517,497 | 7,500 | 26,517,497 |
| Commodities | 149,071 | 165,142 | 171,914 | -- | 171,914 |
| Capital Outlay | 437,773 | 522,000 | 122,000 | 136,900 | 122,000 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$29,206,771 | \$30,211,696 | \$31,238,267 | \$193,690 | \$31,294,261 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$29,206,771 | \$30,211,696 | \$31,238,267 | \$193,690 | \$31,294,261 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$29,206,771 | \$60,423,392 | \$62,476,534 | \$387,380 | \$62,588,522 |
| Non-expense Items | 558,964,303 | 628,028,716 | 658,934,929 | -- | 658,934,929 |
| Total Expenditures | \$588,171,074 | \$688,452,108 | \$721,411,463 | \$387,380 | \$721,523,451 |
| Expenditures by Fund | | | | | |
| State General Fund | -- | 32,000 | -- | -- | -- |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 588,171,074 | 658,208,412 | 690,173,196 | 193,690 | 690,229,190 |
| Total Expenditures | \$588,171,074 | \$658,240,412 | \$690,173,196 | \$193,690 | \$690,229,190 |
| FTE Positions | | | | | |
| FTE Positions | 84.0 | 84.0 | 84.0 | 1.0 | 84.0 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 84.0 | 84.0 | 84.0 | 1.0 | 84.0 |

Operations

Operations. This program provides a centralized structure for the day-to-day administration of the Kansas Public Employees Retirement System (KPERS). Its primary functions include the keeping of statistical information, service records, contributions, payments, and accumulation of funds for active and inactive vested members of the various systems under KPERS. Services to participating employers and members include (1) determining creditable service; (2) purchasing and repurchasing service credit; (3) withdrawing from active membership and refunding accumulated contributions; (4) retiring; (5) providing death and long-term disability coverage; and (6) reporting finances.

The Executive Secretary is appointed by the Board of Trustees and administers the operations of KPERS. The Board of Trustees employs investment management firms, each managing a portfolio of assets from the KPERS Fund. Expenses include fees paid to the fund managers, the custodian bank, and consultant and litigation expenses, all of which are financed from investment earnings. Investment policy adopted by the board is executed by the investment management firms, and the results of these investment decisions are evaluated by both a contractual consulting firm and in-house analysts.

The Board contracts with an actuarial firm to provide assistance in establishing employer contribution rates and for advice on other matters related to administration of the system and benefit programs.

Administration expenses of the system are financed from investment income.

Goals and Objectives. The program's goals involve providing advice to the Board of Trustees while seeking administrative efficiency, prudent management of financial assets, accuracy in accounting, and quality service to KPERS members. These objectives will be followed in pursuit of the program's goals:

Ensuring compliance with all investment-related statutory requirements and the Statement of Investment Policy.

Reporting KPERS financial transactions efficiently and timely.

Improving responsiveness to members, employers, legislators, and other parties.

Ensuring accuracy and timeliness in the payment of all benefit claims.

Protecting the confidentiality, accuracy, integrity, and accessibility of electronic information.

Statutory History. Statutory authority for administration of the retirement system and the various benefit programs can be found in KSA 74-4901 through 74-49a176.

Operations

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|--------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 3,739,478 | 4,211,139 | 4,282,807 | 49,290 | 4,337,524 |
| Contractual Services | 2,350,070 | 2,636,090 | 2,326,174 | 7,500 | 2,326,174 |
| Commodities | 149,071 | 163,664 | 170,375 | -- | 170,375 |
| Capital Outlay | 437,773 | 518,833 | 118,703 | 136,900 | 118,703 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$6,676,392 | \$7,529,726 | \$6,898,059 | \$193,690 | \$6,952,776 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$6,676,392 | \$7,529,726 | \$6,898,059 | \$193,690 | \$6,952,776 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$6,676,392 | \$7,529,726 | \$6,898,059 | \$193,690 | \$6,952,776 |
| Non-expense Items | 50,500 | 32,500 | 32,500 | -- | 32,500 |
| Total Expenditures | \$6,726,892 | \$7,562,226 | \$6,930,559 | \$193,690 | \$6,985,276 |
| Expenditures by Fund | | | | | |
| State General Fund | -- | -- | -- | -- | -- |
| Water Plan | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 6,726,892 | 7,562,226 | 6,930,559 | 193,690 | 6,985,276 |
| Total Expenditures | \$6,726,892 | \$7,562,226 | \$6,930,559 | \$193,690 | \$6,985,276 |
| FTE Positions | 84.0 | 84.0 | 84.0 | 1.0 | 84.0 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 84.0 | 84.0 | 84.0 | 1.0 | 84.0 |

Performance Measures

| | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|---|-------------------|---------------------|---------------------|
| Percent of monthly financial reports completed by 10th business day of the next month | 100.0 % | 100.0 % | 100.0 % |
| Annual costs of administrative operations as a percentage of Retirement System assets | .0707 % | .0746 % | .0657 % |

Public Employee Retirement Benefits

Operations. The program includes the monthly retirement benefits paid to the members and their beneficiaries of the various systems administered by KPERS. The retirement benefit under any particular retirement plan is outlined by statute and is based on total service credit and certain average salaries earned while employed. The statutes provide for early retirement and optional forms of retirement where benefits continue after a member's death. The average state employee retiring under KPERS will receive an annual benefit of 1.75 percent times the years of credited service times final average salary. Benefits paid from this program represent all benefit payments made by the system, including payments to those retiring from local government and school service.

All benefit payments from the KPERS Fund are considered "off budget" expenditures. Benefit payments are financed from employer contributions, employee contributions, and investment earnings on

the balances in the KPERS Fund. Because the employer contributions component is also included in the salary budget of each state agency, this portion of the financing would otherwise be duplicated in expenditure reports. Therefore, this method of reporting budgeted expenditures eliminates the double-counting of employer contributions.

Goals and Objectives. This program reflects only expenditures made for benefits and is not a separate administrative unit. Goals and objectives, therefore, are included in the Operations Program.

Statutory History. Since the inception of the retirement system in 1962, a substantial number of changes have been made to the nature and scope of the retirement system and the various components of the benefit programs. Current statutory authority for KPERS and the various benefit programs can be found in KSA 74-4901 through 74-49a176.

Public Employee Retirement Benefits

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|----------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | -- | -- | -- | -- | -- |
| Contractual Services | -- | -- | -- | -- | -- |
| Commodities | -- | -- | -- | -- | -- |
| Capital Outlay | -- | -- | -- | -- | -- |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$ -- | \$ -- | \$ -- | \$ -- | \$ -- |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$ -- | \$ -- | \$ -- | \$ -- | \$ -- |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$ -- | \$ -- | \$ -- | \$ -- | \$ -- |
| Non-expense Items | 558,913,803 | 627,996,216 | 658,902,429 | -- | 658,902,429 |
| Total Expenditures | \$558,913,803 | \$627,996,216 | \$658,902,429 | \$ -- | \$658,902,429 |
| Expenditures by Fund | | | | | |
| State General Fund | -- | 32,000 | -- | -- | -- |
| Water Plan | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 558,913,803 | 627,964,216 | 658,902,429 | -- | 658,902,429 |
| Total Expenditures | \$558,913,803 | \$627,996,216 | \$658,902,429 | \$ -- | \$658,902,429 |
| FTE Positions | | | | | |
| FTE Positions | -- | -- | -- | -- | -- |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | -- | -- | -- | -- | -- |

| Performance Measures | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|--|-------------------|---------------------|---------------------|
| Monthly benefit checks mailed or electronically transferred by the last working day of the month | 652,096 | 673,096 | 694,426 |

Investment-Related Costs

Operations. This program reflects expenditures made for investment-related expenses as authorized by the Legislature through appropriation limitations. Since the Kansas Public Employees Retirement System came into existence in January 1962, the KPERS Board of Trustees has had the legal responsibility for management of the fund. The 1970 Legislature authorized the Board of Trustees to enter into contracts with one or more persons determined to be qualified to perform the investment functions for portions of the fund. The first contracts for investment management services were effective in May 1971. The 1970 legislation also provided that the investment management fees could be paid from the earnings of the fund and were therefore treated as “off budget.”

Statutes require that any contracts entered into by the Board of Trustees are to be paid according to fixed rates subject to provisions of appropriation acts and are to be based on specific contractual fee arrangements. Additionally, the payment of any other investment-related expenses is subject to provisions of appropriation acts.

Investment-related expenses include direct placement investment expenses, direct placement investment management fees, real estate investment management

fees, custodial bank fees, publicly-traded securities investment management fees, investment consultant fees, and litigation expenses.

Goals and Objectives. The goal of the program is to invest the retirement system’s assets in a manner consistent with the fiduciary standard of a prudent expert for the sole benefit of the participants and beneficiaries. To achieve this goal, the program implements the following objectives:

Achieve the time-weighted total rate of return that meets or exceeds the actuarial assumed rate, while maintaining a reasonable level of risk.

Ensure that investment managers meet or exceed individual performance benchmarks.

Ensure that fees are held to the lowest level consistent with prudent management of the assets.

Statutory History. Statutory authority for KPERS is found in KSA 74-4901 through 74-49a176. The statute specific to the appropriation of investment-related expenditures is KSA 74-4921.

Investment-Related Costs

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|---------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | -- | 143,344 | 144,049 | -- | 145,326 |
| Contractual Services | 22,530,379 | 22,533,981 | 24,191,323 | -- | 24,191,323 |
| Commodities | -- | 1,478 | 1,539 | -- | 1,539 |
| Capital Outlay | -- | 3,167 | 3,297 | -- | 3,297 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$22,530,379 | \$22,681,970 | \$24,340,208 | \$ -- | \$24,341,485 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$22,530,379 | \$22,681,970 | \$24,340,208 | \$ -- | \$24,341,485 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$22,530,379 | \$22,681,970 | \$24,340,208 | \$ -- | \$24,341,485 |
| Non-expense Items | -- | -- | -- | -- | -- |
| Total Expenditures | \$22,530,379 | \$22,681,970 | \$24,340,208 | \$ -- | \$24,341,485 |
| Expenditures by Fund | | | | | |
| State General Fund | -- | -- | -- | -- | -- |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 22,530,379 | 22,681,970 | 24,340,208 | -- | 24,341,485 |
| Total Expenditures | \$22,530,379 | \$22,681,970 | \$24,340,208 | \$ -- | \$24,341,485 |
| FTE Positions | -- | -- | -- | -- | -- |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | -- | -- | -- | -- | -- |

| Performance Measures | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|---|-------------------|---------------------|---------------------|
| 5-year rate of return on all investments | 15.7 % | 13.7 % | 11.6 % |
| Percent of investment reports completed by the 10th business day of the following month | 9.4 % | 8.1 % | 6.5 % |
| Actual investment related fees as a percentage of assets | .2302 % | .2315 % | .2113 % |

Kansas State University

Mission. Kansas State University is a comprehensive, research, land-grant institution serving students and the people of Kansas. The mission of the University is to enrich the lives of the citizens of Kansas by extending to them opportunities to engage in life-long learning and to benefit from the results of research.

As an institution, Kansas State University will focus on strengthening and developing areas that emphasize its main mission and programs. Maintaining its quality faculty and developing the highest quality graduate education and research programs are the University's priorities. Other important academic issues include maintaining the academic infrastructure, addressing diversity issues, and developing interdisciplinary and internal programs.

Operations. Since its founding in 1863, the University has evolved into a modern institution of higher education, committed to quality programs, and responsive to a rapidly changing world and the aspirations of an increasingly diverse society. Together with other major comprehensive universities, Kansas State shares responsibility for developing human potential, expanding knowledge, enriching cultural expression, and extending its expertise to individuals, business, education, and government. These responsibilities are addressed through an array of undergraduate and graduate degree programs, research and creative activities, and outreach and public service programs. In addition, its land-grant mandate, based on federal and state legislation, establishes a focus on its instructional, research, and extension activities that are unique among the Regents universities.

Kansas State University is fully accredited by the North Central Accrediting Association and by various professional accrediting agencies. The faculty is dedicated to excellence in teaching, student advising, research, extension education, scholarly achievement, and creative endeavor. The faculty is also committed to public and professional service. Many are elected or appointed each year to positions of leadership in state, national, and international professional and service organizations.

The University provides undergraduate students with instructional services through eight undergraduate colleges, including agriculture, arts and sciences, engineering, business administration, architecture and design, human ecology, education, and technology. The graduate school offers master's degrees in diverse areas, such as accountancy, agriculture, architecture, business administration, landscape architecture, music, regional and community planning, and fine arts. The graduate school also offers a doctor of philosophy degree in such areas as biochemistry, chemistry, human ecology, history, horticulture, and mathematics.

The College of Technology, located in Salina, provides technical education and training in engineering, science, and aeronautical technologies. The Salina campus continues to undergo changes through a significant capital improvement program. The improvements are financed by a sales tax levied by the City of Salina, student housing fees, and state funds.

Goals and Objectives. The following goals have been established by this university:

Ensure ranked faculty teach undergraduate courses.

Increase its first-year student retention rate.

Increase external research support.

Minimize administrative costs.

Maximize the use of instructional space.

Statutory History. The Kansas Legislature of 1863 was the first in the nation to authorize the establishment of a land-grant college under the provisions of the Morrill Act of 1862 (KSA 76-401 et seq.). That act was repealed in 1970 and Kansas State University is now authorized as one of the institutions under the Board of Regents by KSA 76-711 et seq. KSA 76-205 merged the Kansas College of Technology with Kansas State University to form Kansas State University—Salina, College of Technology.

Kansas State University

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|----------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Program | | | | | |
| Institutional Support | 15,195,251 | 19,978,969 | 20,169,748 | 115,823 | 20,169,748 |
| Educational Program | 138,038,687 | 150,529,294 | 150,009,392 | 1,023,824 | 145,790,503 |
| Research | 31,242,329 | 18,163,074 | 18,272,105 | 27,206 | 18,272,105 |
| Public Service | 8,110,450 | 7,876,006 | 7,920,934 | 13,480 | 7,920,934 |
| Student Financial Aid | 62,132,447 | 74,704,718 | 74,704,718 | -- | 74,704,718 |
| Auxiliary Enterprises | 24,647,659 | 23,263,777 | 23,420,405 | -- | 23,420,405 |
| Physical Plant | 22,969,505 | 22,012,568 | 22,164,369 | 121,119 | 22,164,369 |
| Debt Service & Capital Improve. | 28,132,507 | 7,497,426 | 7,489,312 | -- | 7,489,312 |
| Total Expenditures | \$330,468,835 | \$324,025,832 | \$324,150,983 | \$1,301,452 | \$319,932,094 |
| Expenditures by Object | | | | | |
| Salaries and Wages | 168,929,859 | 179,362,912 | 181,604,218 | 1,301,452 | 181,604,218 |
| Contractual Services | 40,427,613 | 36,229,696 | 35,712,228 | -- | 31,493,339 |
| Commodities | 17,586,707 | 15,319,162 | 13,728,589 | -- | 13,728,589 |
| Capital Outlay | 9,100,191 | 7,993,883 | 7,993,883 | -- | 7,993,883 |
| Debt Service | 1,399,806 | 1,226,585 | 1,181,842 | -- | 1,181,842 |
| Subtotal: State Operations | \$237,444,176 | \$240,132,238 | \$240,220,760 | \$1,301,452 | \$236,001,871 |
| Aid to Local Governments | 4,064,491 | 2,824,848 | 2,824,848 | -- | 2,824,848 |
| Other Assistance | 62,217,053 | 74,797,905 | 74,797,905 | -- | 74,797,905 |
| Subtotal: Operating Expenditures | \$303,725,720 | \$317,754,991 | \$317,843,513 | \$1,301,452 | \$313,624,624 |
| Capital Improvements | 16,268,734 | 6,270,841 | 6,307,470 | -- | 6,307,470 |
| Total Reportable Expenditures | \$319,994,454 | \$324,025,832 | \$324,150,983 | \$1,301,452 | \$319,932,094 |
| Non-expense Items | 10,474,381 | -- | -- | -- | -- |
| Total Expenditures | \$330,468,835 | \$324,025,832 | \$324,150,983 | \$1,301,452 | \$319,932,094 |
| Expenditures by Fund | | | | | |
| State General Fund | 103,574,087 | 107,301,959 | 107,078,402 | 1,301,452 | 102,859,513 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | 1,951,386 | 2,998,000 | -- | -- | -- |
| Other Funds | 224,943,362 | 213,725,873 | 217,072,581 | -- | 217,072,581 |
| Total Expenditures | \$330,468,835 | \$324,025,832 | \$324,150,983 | \$1,301,452 | \$319,932,094 |
| FTE Positions | | | | | |
| FTE Positions | 3,178.0 | 3,201.2 | 3,201.2 | -- | 3,201.2 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 3,178.0 | 3,201.2 | 3,201.2 | -- | 3,201.2 |

| Performance Measures | FY 2000 Actual | FY 2001 Estimate | FY 2003 Estimate |
|---|-------------------|---------------------|---------------------|
| Five-year graduation rate | 45.1 % | 45.5 % | 45.5 % |
| Percent of credit hours taught by ranked faculty | -- | 73.0 % | 73.0 % |
| Percent of expenditures for institutional support | -- | 4.7 % | 4.7 % |

Kansas State University

Extension Systems & Agriculture Research Programs

Mission. The mission of the Kansas Cooperative Extension Service is to provide practical, research-based information and educational programs to address critical issues facing individuals, families, agricultural producers, business operators, and communities. The mission of the Kansas Agricultural Experiment Station is to conduct basic and applied research to ensure a plentiful, nutritious, safe, and acceptable food supply; promote a desirable quality of life for the people of Kansas now and for the future; and preserve the state's natural resource base.

Operations. Extension Systems and Agriculture Research programs were previously budgeted as part of the Kansas State University budget under the jurisdiction of the Dean of Agriculture, except the resident instruction program. These programs include the Agricultural Experiment Station, Cooperative Extension Service, and International Agricultural Programs. The Dean of Agriculture administers the agency, reporting through the Provost to the President of Kansas State University.

The Agricultural Experiment Station performs research at two research centers, two research-extension centers, and 11 experimental fields in addition to the main station located in Manhattan. These programs address the diversity in climatic and soil conditions in Kansas as they influence crop and livestock production systems, soil and water quality, and conservation, while conserving natural resources and environmental quality. The Agricultural Experiment Station supports research in five academic colleges on the main campus: Agriculture, Human Ecology, Engineering, Arts and Sciences, and Veterinary Medicine.

The Public Service Program includes the Cooperative Extension Service (CES) and International Agricultural Programs. The CES is a research-based educational system with extension agents in each county in the state and with specialists in five area

offices and four academic colleges on the main campus (Agriculture, Human Ecology, Engineering, and Veterinary Medicine).

In addition to annual program development plans, four-year plans of work are submitted to the U.S. Department of Agriculture as part of an ongoing planning effort by the CES. This provides the short- and long-term planning required to address current and emerging educational issues for counties, districts, and the state as a whole.

International Agricultural Programs include the International Grains Program established in 1978 and the International Meat and Livestock Program established in 1985. These programs provide educational information that informs people from other countries about the marketing, storage, and utilization of products produced in Kansas.

Goals and Objectives. The following goals have been established for this program:

Increase the use of computer technology and electronic communications to facilitate the spread of research across the state.

Increase the percentage of Kansas wheat acreage planted as Kansas State-developed wheat varieties.

Increase the number and dollar value of its research grants.

Statutory History. KSA 75-3717d established Kansas State University—Extension Systems and Agriculture Research Programs as a separate agency for budget purposes. KSU was the first college in the nation to establish an agricultural experiment station under the Hatch Act of Congress in 1887 (KSA 76-401 et seq.). Subsequently, in 1915, the University was the first to come under the Smith-Lever Act to expand the services of extension projects in the various counties.

Kansas State University

Extension Systems & Agriculture Research Programs

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|---------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Program | | | | | |
| Esarp Research | 56,291,736 | 56,154,952 | 56,161,440 | 276,072 | 54,984,711 |
| Esarp Public Service | 39,725,485 | 42,778,742 | 42,879,243 | 212,771 | 42,148,391 |
| Esarp Physical Plant | 814,163 | 788,368 | 785,816 | 6,986 | 754,798 |
| Esarp Auxiliary Enterprises | 374,599 | 766,590 | 770,591 | -- | 770,591 |
| Esarp Capital Improvements | -- | 8,000,000 | 4,000,000 | -- | 4,000,000 |
| Total Expenditures | \$97,205,983 | \$108,488,652 | \$104,597,090 | \$495,829 | \$102,658,491 |
| Expenditures by Object | | | | | |
| Salaries and Wages | 71,660,314 | 77,775,970 | 78,363,143 | 495,829 | 78,363,143 |
| Contractual Services | 10,951,374 | 9,407,377 | 9,407,377 | -- | 7,499,796 |
| Commodities | 10,005,730 | 9,301,140 | 8,822,405 | -- | 8,791,387 |
| Capital Outlay | 3,491,297 | 3,043,482 | 3,043,482 | -- | 3,043,482 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$96,108,715 | \$99,527,969 | \$99,636,407 | \$495,829 | \$97,697,808 |
| Aid to Local Governments | 1,072,803 | 946,196 | 946,196 | -- | 946,196 |
| Other Assistance | 24,465 | 14,487 | 14,487 | -- | 14,487 |
| Subtotal: Operating Expenditures | \$97,205,983 | \$100,488,652 | \$100,597,090 | \$495,829 | \$98,658,491 |
| Capital Improvements | -- | 8,000,000 | 4,000,000 | -- | 4,000,000 |
| Total Reportable Expenditures | \$97,205,983 | \$108,488,652 | \$104,597,090 | \$495,829 | \$102,658,491 |
| Non-expense Items | -- | -- | -- | -- | -- |
| Total Expenditures | \$97,205,983 | \$108,488,652 | \$104,597,090 | \$495,829 | \$102,658,491 |
| Expenditures by Fund | | | | | |
| State General Fund | 48,569,081 | 49,296,711 | 49,203,009 | 495,829 | 47,264,410 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | 3,000,000 | -- | -- | -- |
| Other Funds | 48,636,902 | 56,191,941 | 55,394,081 | -- | 55,394,081 |
| Total Expenditures | \$97,205,983 | \$108,488,652 | \$104,597,090 | \$495,829 | \$102,658,491 |
| FTE Positions | 1,430.2 | 1,430.2 | 1,430.2 | -- | 1,430.2 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 1,430.2 | 1,430.2 | 1,430.2 | -- | 1,430.2 |

| Performance Measures | FY 2000 Actual | FY 2001 Estimate | FY 2003 Estimate |
|---|-------------------|---------------------|---------------------|
| Number of new research grants | 362 | 400 | 425 |
| Number of renewed research grants | 118 | 75 | 80 |
| Dollar value of grant receipts (in thousands) | \$19,628 | \$20,500 | \$21,000 |

Kansas State University—Veterinary Medical Center

Mission. The mission of the Veterinary Medical Center, also called the College of Veterinary Medicine, is to provide for the health and well-being of all animal species. Included in this mission are the protection of public health and the prevention of zoonotic diseases. This mission is accomplished through unique programs of instruction, research, and graduate education as well as directed service and extension that are focused on the needs of Kansas and the north central region of the United States.

Operations. The College of Veterinary Medicine was established in 1905 as a part of Kansas State University. The 1978 Legislature directed that the college be considered a separate state agency for budget purposes, at which time it was designated as the KSU—Veterinary Medical Center. The Center provides four years of professional veterinary training and graduate training in several disciplines. In addition, it provides clinical and diagnostic services to the state livestock industry and conducts animal health research important to animal industries.

Five departments operate within the academic program: anatomy and physiology, laboratory medicine, pathology, clinical sciences, and veterinary diagnosis. Courses taken during the two pre-clinical years generally consist of lectures and highly structured laboratory training, while contact with animals becomes a prominent part of the training provided through the Veterinary Teaching Hospital in the clinical years. The College also provides limited instruction to non-veterinary students.

The enrollment in each incoming class can be up to 100 students. Selection for admission to the College

of Veterinary Medicine is based first on individual merit of qualified applicants who are graduates of Kansas high schools and who have been Kansas residents for at least three years. After the selection of Kansas students is made, students are chosen from states with which Kansas State University has a contract to provide veterinary medical education and who are certified by their state.

A limited number of at-large students may be considered after highly qualified Kansas residents and certified residents of contract states are selected. Kansas State University presently has contracts with North Dakota, Wyoming, Alaska, Puerto Rico, and Nebraska to provide veterinary medical education to their residents. Only the contract with Nebraska provides a guarantee of 20 seats.

Goals and Objectives. The following goals have been established for the Veterinary Medical Center:

Maintain the standard of excellence required for full accreditation by the Council of Education of the American Veterinary Medical Association.

Pursue excellence in veterinary medical education.

Continue the development of a nationally-competitive research program with emphasis on regional problems that affect the economy of Kansas.

Statutory History. Kansas State University was established in 1863 under KSA 76-401 et seq., and the Kansas State University—Veterinary Medical Center was established as a separate state agency under KSA 75-3717c.

Kansas State University—Veterinary Medical Center

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|---------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Program | | | | | |
| Educational Program | 18,124,439 | 21,073,562 | 20,519,485 | 120,562 | 20,123,423 |
| Research | 538,096 | 570,052 | 578,101 | 5,212 | 578,101 |
| Public Service | 1,636,846 | 2,128,608 | 2,138,098 | 9,458 | 2,138,098 |
| Physical Plant | 1,021,561 | 1,072,407 | 1,082,348 | 8,289 | 1,082,348 |
| Total Expenditures | \$21,320,942 | \$24,844,629 | \$24,318,032 | \$143,521 | \$23,921,970 |
| Expenditures by Object | | | | | |
| Salaries and Wages | 14,878,873 | 16,248,882 | 16,468,241 | 143,521 | 16,468,241 |
| Contractual Services | 2,577,914 | 2,962,754 | 2,962,754 | -- | 2,566,692 |
| Commodities | 2,675,089 | 4,372,362 | 3,626,406 | -- | 3,626,406 |
| Capital Outlay | 1,140,281 | 1,212,509 | 1,212,509 | -- | 1,212,509 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$21,272,157 | \$24,796,507 | \$24,269,910 | \$143,521 | \$23,873,848 |
| Aid to Local Governments | 2,923 | 2,413 | 2,413 | -- | 2,413 |
| Other Assistance | 45,862 | 45,709 | 45,709 | -- | 45,709 |
| Subtotal: Operating Expenditures | \$21,320,942 | \$24,844,629 | \$24,318,032 | \$143,521 | \$23,921,970 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$21,320,942 | \$24,844,629 | \$24,318,032 | \$143,521 | \$23,921,970 |
| Non-expense Items | -- | -- | -- | -- | -- |
| Total Expenditures | \$21,320,942 | \$24,844,629 | \$24,318,032 | \$143,521 | \$23,921,970 |
| Expenditures by Fund | | | | | |
| State General Fund | 9,688,142 | 10,052,324 | 10,052,324 | 143,521 | 9,656,262 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 11,632,800 | 14,792,305 | 14,265,708 | -- | 14,265,708 |
| Total Expenditures | \$21,320,942 | \$24,844,629 | \$24,318,032 | \$143,521 | \$23,921,970 |
| FTE Positions | | | | | |
| FTE Positions | 254.5 | 259.2 | 259.2 | -- | 259.2 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 254.5 | 259.2 | 259.2 | -- | 259.2 |

| Performance Measures | FY 2000 Actual | FY 2001 Estimate | FY 2003 Estimate |
|---------------------------------------|-------------------|---------------------|---------------------|
| Five-year graduation rate | 99.0 % | 95.0 % | 95.0 % |
| Veterinary teaching hospital caseload | 16,015 | 16,500 | 16,750 |
| Diagnostic laboratory caseload | 22,652 | 23,000 | 23,000 |

Kansas Technology Enterprise Corporation

Mission. The mission of the Kansas Technology Enterprise Corporation (KTEC) is to create and grow Kansas enterprises through technological innovations.

Operations. KTEC, created by the 1986 Legislature, is defined by statute as a public instrumentality whose authority and powers are considered to be an essential governmental function. The corporation is governed by a 20-member Board of Directors, including both public and private sector representation.

KTEC assists in the creation and growth of technology-based companies in Kansas through research, investment, and business assistance initiatives. Research financing at the universities includes the Experimental Program to Stimulate Competitive Research (EPSCoR) and the Centers of Excellence, which are engaged in basic and applied research and technology transfer. The program encourages partnerships with industry and multi-university collaborations. Each center focuses on a Kansas strategic technology, which includes aviation, biotechnology, information, telecommunications and computing, manufacturing, and advanced materials.

Research support and large federal awards to companies for prototype development are offered through the Applied Research Matching Fund and Innovation Research Programs. KTEC participates in financial investment activities through the Ad Astra and commercialization funds. Business assistance is offered to start-up companies through Innovation and Commercialization Corporations (ICCs) and to

existing manufacturers through the Mid-America Manufacturing Technology Center (MAMTC). The ICCs provide services such as business, sales and market planning, strategic development, market research, business systems development, finance property management, and negotiations. The ICCs are financially supported and governed by partnerships consisting of KTEC, the local university, local government, and industry.

Goals and Objectives. The goals of KTEC are to:

Stimulate the creation and commercialization of new technologies.

Improve the competitive research and development capacity of Kansas universities and corporations.

Assist client companies statewide in creating and retaining new and improved high-wage, high-skilled job opportunities.

Assist Kansas manufacturers and industries in becoming more competitive in the global economy.

Complete a comprehensive financial network that will increase investment in technology-based businesses.

Statutory History. KTEC's duties and responsibilities are prescribed in KSA 74-8101, and its purpose is defined in KSA 74-8102. Specific programs for carrying out the agency's purposes are defined in KSA 74-8103 et seq.

Kansas Technology Enterprise Corporation

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|---------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Program | | | | | |
| Grant Administration | 11,573,970 | 12,306,426 | 12,215,165 | 22,687,500 | 11,458,637 |
| Kvac/Industrial Ag. | 19,742 | -- | -- | -- | -- |
| MAMTC | 6,347,980 | 3,887,097 | 3,887,097 | 250,000 | 3,892,496 |
| Total Expenditures | \$17,941,692 | \$16,193,523 | \$16,102,262 | \$22,937,500 | \$15,351,133 |
| Expenditures by Object | | | | | |
| Salaries and Wages | 1,816,584 | 1,833,275 | 1,889,407 | -- | 1,857,365 |
| Contractual Services | 840,012 | 709,785 | 608,663 | -- | 499,576 |
| Commodities | 31,822 | 30,300 | 23,110 | -- | 23,110 |
| Capital Outlay | 29,945 | -- | -- | -- | -- |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$2,718,363 | \$2,573,360 | \$2,521,180 | \$ -- | \$2,380,051 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | 15,223,329 | 13,620,163 | 13,581,082 | 22,937,500 | 12,971,082 |
| Subtotal: Operating Expenditures | \$17,941,692 | \$16,193,523 | \$16,102,262 | \$22,937,500 | \$15,351,133 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$17,941,692 | \$16,193,523 | \$16,102,262 | \$22,937,500 | \$15,351,133 |
| Non-expense Items | -- | -- | -- | -- | -- |
| Total Expenditures | \$17,941,692 | \$16,193,523 | \$16,102,262 | \$22,937,500 | \$15,351,133 |
| Expenditures by Fund | | | | | |
| State General Fund | -- | -- | -- | 20,000,000 | -- |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | 13,086,060 | 12,896,477 | 12,958,677 | 2,937,500 | 12,207,251 |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 4,855,632 | 3,297,046 | 3,143,585 | -- | 3,143,882 |
| Total Expenditures | \$17,941,692 | \$16,193,523 | \$16,102,262 | \$22,937,500 | \$15,351,133 |
| FTE Positions | | | | | |
| FTE Positions | 29.0 | 29.0 | 29.0 | -- | 29.0 |
| Non-FTE Unclassified Permanent | 1.0 | 1.0 | 1.0 | -- | 1.0 |
| Total Positions | 30.0 | 30.0 | 30.0 | -- | 30.0 |

Performance Measures

| | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|---|-------------------|---------------------|---------------------|
| Dollars leveraged (in millions): | | | |
| Federal | \$33.8 | \$35.0 | \$35.0 |
| Private | \$32.6 | \$35.0 | \$35.0 |
| Number of jobs created or saved | 881 | 500 | 500 |
| Increased sales of client companies (in millions) | \$53.6 | \$55.0 | \$55.0 |

Lansing Correctional Facility

Mission. The mission of Lansing Correctional Facility, as part of the criminal justice system, contributes to public safety by exercising reasonable, safe, secure, and humane control of offenders while actively encouraging and assisting them to become law-abiding citizens.

Operations. The Lansing Correctional Facility is the state's largest facility for the detention and rehabilitation of adult male felony offenders with a current capacity of 2,409 beds. The bed capacity includes a reduction of 80 beds to account for the recommended closure of the Osawatomie Minimum Security Unit. The institution houses maximum, medium, and minimum custody inmates. The institution operates five major budget programs: Administration, Security, Classification and Programs, Support Services, and Capital Improvements.

The Administration Program provides for overall management and operation of the institution and includes fiscal and financial management, planning, and personnel administration. Special emphasis is placed on staff training and reducing the rate of employee turnover, both of which directly affect the quality of the institution's programs.

The Security Program's primary function is to minimize escapes from the institution, minimize acts of physical violence by inmates, and provide staff with a safe working environment. Correctional officers control the internal and external movement of inmates; monitor activities; supervise work details; investigate incidents relating to the security, safety, and well-

being of the institution, inmates, and staff; and perform miscellaneous duties.

Classification and Programs maintains all records regarding work assignments, progress reviews, attitudinal and adjustment counseling, probation/parole counseling, and other matters regarding the inmates. The Support Services Program includes laundry and supply, facilities operations, and physical plant maintenance. Food service, education, and medical services are provided under Department of Corrections' contracts with private firms.

Goals and Objectives. One goal of the facility is to provide for the secure and humane confinement of offenders and for public safety. The institution has established the following objectives:

Limit the number of inmate assaults on staff.

Limit the number of inmate escapes.

Statutory History. The *Kansas Constitution* under Article 7 provides for the establishment of a penitentiary. The general statutory citation for the Department of Corrections and the correctional institutions is Article 75, Chapter 52 of the *Kansas Statutes Annotated*. Specific statutory citations include KSA 75-5202, which provides that the Lansing Correctional Facility operate under the general supervision and management of the Secretary of Corrections, and KSA 75-5220, which prescribes who can transport and be responsible for the cost of transporting female inmates.

Lansing Correctional Facility

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|---------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Program | | | | | |
| Administration | 1,990,952 | 1,931,177 | 2,021,408 | 118,350 | 2,051,387 |
| Security | 18,150,634 | 19,500,478 | 20,191,068 | 189,199 | 20,564,780 |
| Inmate Transportation | 113,755 | 382,506 | 394,203 | -- | 397,629 |
| Classifications & Programs | 3,284,196 | 3,275,627 | 3,321,173 | 12,700 | 3,370,320 |
| Support Services | 6,636,564 | 6,403,058 | 6,274,045 | 120,530 | 6,315,045 |
| Osawatomie Correctional Facility | 1,067,167 | 1,153,433 | 1,184,858 | 2,991 | 195,611 |
| Capital Improvements | 1,400,296 | 543,812 | -- | 631,948 | -- |
| Total Expenditures | \$32,643,564 | \$33,190,091 | \$33,386,755 | \$1,075,718 | \$32,894,772 |
| Expenditures by Object | | | | | |
| Salaries and Wages | 25,727,224 | 27,457,537 | 28,270,187 | 45,854 | 27,896,103 |
| Contractual Services | 2,584,156 | 2,821,262 | 2,822,484 | -- | 2,772,484 |
| Commodities | 2,346,730 | 2,298,980 | 2,229,084 | 8,940 | 2,161,185 |
| Capital Outlay | 582,087 | 68,500 | 65,000 | 388,976 | 65,000 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$31,240,197 | \$32,646,279 | \$33,386,755 | \$443,770 | \$32,894,772 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | 3,071 | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$31,243,268 | \$32,646,279 | \$33,386,755 | \$443,770 | \$32,894,772 |
| Capital Improvements | 1,400,296 | 543,812 | -- | 631,948 | -- |
| Total Reportable Expenditures | \$32,643,564 | \$33,190,091 | \$33,386,755 | \$1,075,718 | \$32,894,772 |
| Non-expense Items | -- | -- | -- | -- | -- |
| Total Expenditures | \$32,643,564 | \$33,190,091 | \$33,386,755 | \$1,075,718 | \$32,894,772 |
| Expenditures by Fund | | | | | |
| State General Fund | 30,753,518 | 32,365,279 | 33,141,755 | 443,770 | 32,649,772 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | 1,400,296 | 543,812 | -- | 631,948 | -- |
| Other Funds | 489,750 | 281,000 | 245,000 | -- | 245,000 |
| Total Expenditures | \$32,643,564 | \$33,190,091 | \$33,386,755 | \$1,075,718 | \$32,894,772 |
| FTE Positions | | | | | |
| FTE Positions | 710.0 | 710.0 | 710.0 | 1.0 | 685.0 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 710.0 | 710.0 | 710.0 | 1.0 | 685.0 |

| Performance Measures | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|-----------------------------|-------------------|---------------------|---------------------|
| Number of escapes | 2 | 1 | -- |
| Number of assaults on staff | 23 | 20 | 20 |

Larned Correctional Mental Health Facility

Mission. The mission of Larned Correctional Mental Health Facility, as part of the criminal justice system, is to contribute to public safety by exercising reasonable, safe, secure, and humane control of offenders while actively encouraging and assisting them to become law-abiding citizens.

Operations. Larned Correctional Mental Health Facility, which opened in January 1992, consists of a maximum-security central unit with 150 beds and a minimum-security West Unit with 218 work detail beds. The facility's Central Unit serves as a transitional unit for inmates who are not able to function in the general population of a traditional correctional institution for mental health reasons, but are not in need of psychiatric hospitalization. Inmates are assigned to this facility by mental health staff at other correctional institutions.

The facility was constructed to bring the Department of Corrections into compliance with a United States District Court consent decree, which required the Department to meet the long-term needs of mentally ill inmates. The facility is located adjacent to Larned State Hospital. The facility provides acute care, extended care, transitional care, and crisis intervention services. Hospitalization services continue to be provided at Larned State Security Hospital, while outpatient services are provided at other correctional facilities.

The purpose of the Larned Correctional Mental Health Facility Central Unit is to provide as normal a range of work, programs, and activities to the inmates as would be available at a traditional correctional institution, while also providing more extensive mental health care and treatment. Toward this end, inmates spend as much time as possible in therapeutic programs and in educational and recreational activities. The purpose of the facility's West Unit is to provide facility support and community work programs for minimum security inmates. The inmates assigned to this unit practice or learn work skills.

Facility operations are organized under six major programs: Administration, Security, Classification and Programs, Chemical Dependency Recovery Program,

Support Services, and Capital Improvements. The Administration Program provides for the overall management and operation of the facility under the direction of the Warden. The Security Program provides control and surveillance, as well as crisis counseling in accordance with prescribed rules and regulations.

Classification and Programs includes the classification and management of inmates through performance reviews, counseling, and parole planning. The program also includes recreational activities and religious programming for inmates. Mental health, medical care, and food service are provided through contracts with private vendors coordinated and funded through the Department of Corrections.

On July 1, 2000, LCMHF took over operation of the Chemical Dependency Recovery Program from the Department of Social and Rehabilitation Services. This program provides outpatient substance abuse treatment to the minimum security inmate population. Forty of the inmates at the West Unit participate in this program.

The Support Services Program includes mechanical services, laundry, and supply operations. The Capital Improvements Program provides facilities consistent with the intended use of the institution.

Goals and Objectives. The goal of the facility is to provide for the secure and humane confinement of offenders and provide for public safety. The objectives associated with this goal are to:

Limit the number of inmate assaults on staff.

Limit the number of inmate escapes.

Statutory History. The general statutory citation for the Department of Corrections and the correctional institutions is Article 75, Chapter 52 of the *Kansas Statutes Annotated*. Specific statutory citations include KSA 75-5205, which provides that the facility operate under the general supervision and management of the Secretary of Corrections.

Larned Correctional Mental Health Facility

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|--------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Program | | | | | |
| Administration | 909,955 | 939,118 | 942,021 | 58,209 | 957,161 |
| Security | 4,381,028 | 4,802,098 | 4,953,669 | -- | 5,056,499 |
| Classifications & Programs | 713,412 | 712,803 | 719,778 | 11,090 | 732,132 |
| Chemical Dependency Recovery | 243,315 | 187,904 | 190,765 | 2,361 | 194,846 |
| Support Services | 1,133,618 | 1,029,989 | 1,039,362 | 8,280 | 1,050,413 |
| Capital Improvements | 222,776 | 177,790 | -- | 339,677 | -- |
| Total Expenditures | \$7,604,104 | \$7,849,702 | \$7,845,595 | \$419,617 | \$7,991,051 |
| Expenditures by Object | | | | | |
| Salaries and Wages | 6,387,537 | 6,818,865 | 7,003,901 | 50,547 | 7,149,357 |
| Contractual Services | 456,520 | 443,004 | 441,922 | 8,141 | 441,922 |
| Commodities | 408,614 | 385,234 | 377,272 | 18,252 | 377,272 |
| Capital Outlay | 128,573 | 24,809 | 22,500 | 3,000 | 22,500 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$7,381,244 | \$7,671,912 | \$7,845,595 | \$79,940 | \$7,991,051 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | 84 | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$7,381,328 | \$7,671,912 | \$7,845,595 | \$79,940 | \$7,991,051 |
| Capital Improvements | 222,776 | 177,790 | -- | 339,677 | -- |
| Total Reportable Expenditures | \$7,604,104 | \$7,849,702 | \$7,845,595 | \$419,617 | \$7,991,051 |
| Non-expense Items | -- | -- | -- | -- | -- |
| Total Expenditures | \$7,604,104 | \$7,849,702 | \$7,845,595 | \$419,617 | \$7,991,051 |
| Expenditures by Fund | | | | | |
| State General Fund | 7,376,054 | 7,671,912 | 7,842,525 | 419,617 | 7,987,981 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | 222,776 | 177,790 | -- | -- | -- |
| Other Funds | 5,274 | -- | 3,070 | -- | 3,070 |
| Total Expenditures | \$7,604,104 | \$7,849,702 | \$7,845,595 | \$419,617 | \$7,991,051 |
| FTE Positions | | | | | |
| FTE Positions | 186.0 | 186.0 | 186.0 | 1.0 | 186.0 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 186.0 | 186.0 | 186.0 | 1.0 | 186.0 |

| Performance Measures | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|-------------------------------------|-------------------|---------------------|---------------------|
| Number of escapes | 1 | -- | -- |
| Number of inmate batteries on staff | 25 | 30 | 30 |

Larned Juvenile Correctional Facility

Mission. The mission of the Larned Juvenile Correctional Facility is to promote public safety, hold offenders accountable for their behavior, and improve the offender's ability to live more productively and responsibly in the community. The Facility maintains conditions of confinement that are secure, humane, and habilitative, and it operates within the expectations of community norms and offender needs.

Operations. The Larned Juvenile Correctional Facility is a state institution for the incarceration and rehabilitation of youth, generally between the ages of 13 to 18, whom the courts have found to be juvenile offenders or felons. With implementation of the sentencing matrix on July 1, 1999, convicted juveniles are placed in the facility by court order. Sentences are determined by the court, and the Facility's Superintendent does not have release authority to regulate population levels. Juvenile offenders are placed in the juvenile correctional facility for serious offenses with longer stays.

The Facility emphasizes positive thinking and responsibility for the behavior of oneself and others. The Juvenile Correctional Services Program and the Ancillary Services Program provide behavior management, social, pre-vocational, vocational, and other habilitation services for juvenile offenders. The on-site educational services are provided under contract with the Fort Larned school district, USD 495. Support services, such as dietary, laundry, medical, accounting, engineering, and security, are currently provided by Larned State Hospital.

Goals and Objectives. Goals of the Larned Juvenile Correctional Facility include the following:

Maintain a high standard of professionalism in providing juvenile correctional services to ensure a controlled, healthy, safe, and secure environment for the habilitation of committed offenders.

Provide programs that will improve the juvenile offender's behavior and ability to live productively and responsibly in the community.

Statutory History. The Larned Youth Rehabilitation Center was established at Larned State Hospital in 1972. In 1982, the Legislature established a Youth Services Division in SRS, and the Larned Youth Rehabilitation Center was renamed the Youth Center at Larned. At the same time, the bed capacity was doubled. The Center was expanded again in 1994 with a capacity of 116 beds in four buildings. In 1995, upon recommendation of the Governor, the Legislature separated the Youth Center at Larned from the Larned State Hospital budget. The current name, Larned Juvenile Correctional Facility, was established during the 1997 Legislative Session (KSA 76-3204). On July 1, 1997, responsibility for the juvenile correctional facilities in Kansas was transferred from SRS to the Juvenile Justice Authority (KSA 75-7001 et seq.). In 2000, the Legislature approved construction of a 152-bed facility to replace existing beds. Completion is slated for December 2002. The additional capacity will allow more juvenile offenders to be served by the Residential Substance Abuse Treatment Program.

Larned Juvenile Correctional Facility

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|---------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Program | | | | | |
| General Administration | 573,781 | 691,345 | 710,508 | 42,743 | 724,203 |
| Special Education Services | -- | -- | 770,269 | -- | 770,269 |
| Juvenile Correctional Services | 2,419,142 | 2,676,297 | 2,971,694 | 311,405 | 3,060,233 |
| Ancillary Services | 1,456,974 | 1,448,762 | 1,732,038 | 113,865 | 1,773,797 |
| Physical Plant & Central Services | -- | 102,426 | 461,313 | -- | 465,921 |
| Capital Improvements | 40,971,573 | -- | -- | -- | -- |
| Total Expenditures | \$45,421,470 | \$4,918,830 | \$6,645,822 | \$468,013 | \$6,794,423 |
| Expenditures by Object | | | | | |
| Salaries and Wages | 4,260,625 | 4,692,859 | 5,415,025 | 339,270 | 5,563,626 |
| Contractual Services | 71,858 | 103,659 | 1,143,858 | 58,213 | 1,143,858 |
| Commodities | 78,084 | 82,585 | 72,907 | 58,887 | 72,907 |
| Capital Outlay | 39,330 | 39,727 | 14,032 | 11,643 | 14,032 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$4,449,897 | \$4,918,830 | \$6,645,822 | \$468,013 | \$6,794,423 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$4,449,897 | \$4,918,830 | \$6,645,822 | \$468,013 | \$6,794,423 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$4,449,897 | \$4,918,830 | \$6,645,822 | \$468,013 | \$6,794,423 |
| Non-expense Items | 40,971,573 | -- | -- | -- | -- |
| Total Expenditures | \$45,421,470 | \$4,918,830 | \$6,645,822 | \$468,013 | \$6,794,423 |
| Expenditures by Fund | | | | | |
| State General Fund | 4,231,486 | 4,661,943 | 6,271,833 | 468,013 | 6,419,187 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 41,189,984 | 256,887 | 373,989 | -- | 375,236 |
| Total Expenditures | \$45,421,470 | \$4,918,830 | \$6,645,822 | \$468,013 | \$6,794,423 |
| FTE Positions | | | | | |
| FTE Positions | 128.0 | 129.0 | 148.0 | 15.0 | 148.0 |
| Non-FTE Unclassified Permanent | 8.0 | 8.0 | 12.0 | -- | 12.0 |
| Total Positions | 136.0 | 137.0 | 160.0 | 15.0 | 160.0 |

Performance Measures

| | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|---|-------------------|---------------------|---------------------|
| Percent of offenders who showed improvements on standardized academic instruments | 75.0 % | 75.0 % | 75.0 % |
| Percent of juveniles who successfully complete conditional release | 62.0 % | 62.0 % | 62.0 % |

Larned State Hospital

Mission. The mission of the Hospital is to diagnose and treat persons with psychiatric disorders and return them to the community in the shortest time possible, treat persons with substance abuse and a mental illness as a dual diagnosis, and treat patients transferred from state correctional institutions and district court referrals.

Operations. Larned State Hospital, the largest of Kansas' three state psychiatric facilities, is located approximately three miles west of the City of Larned in Pawnee County. Opened in 1914, it is the only state psychiatric hospital in western Kansas. Larned State Hospital serves citizens from 59 western counties.

The Psychiatric Services Program includes adult services, adolescent services, and children's services. The adult services component provides inpatient services for individuals 18 years of age or older who are experiencing emotional illness as their primary diagnosis. The adolescent services function accommodates patients between the ages of 13 and 18 who are committed for psychiatric evaluation or treatment. The children's section is for patients six to 13 years of age who require psychiatric treatment.

The State Security Hospital provides 142 maximum security beds that serve the entire state. The program evaluates and treats persons committed by the courts of criminal jurisdiction and inmates from the Kansas Department of Corrections. These patients are, for the most part, dangerous to others. There is also a special unit, the 30-bed Security Behavior Ward, that treats patients from the state hospital system who have serious behavior problems and pose a danger in less restrictive settings.

The General Administration Program provides the overall management for the facility. The Staff Education and Research Program provides training for entry level staff, direct-care staff, continuing clinical education, and quality improvement education. The

Ancillary Services Program provides clinical, educational, and recreational services for the patients. The program contracts with the Ft. Larned School District, USD 495, to provide education services to youth who are residents at the Hospital.

The Physical Plant and Central Services Program operates the central heating and cooling plant; maintains the buildings, grounds, and equipment; provides dietary and laundry services, safety, and security; makes purchases; and receives goods and supplies. These services are also provided to Larned Juvenile Correctional Facility, Larned Correctional Mental Health Facility, the Sex Predator Treatment Program, and the Ft. Dodge Soldiers' Home. The Capital Improvements Program maintains the buildings and equipment that facilitate Hospital programs.

Goals and Objectives. The goal of the Hospital is to provide evaluation, care, and treatment designed to improve the functioning of those individuals in need of services. The agency will pursue this goal through the following objectives:

- Reduce the 90-day readmission rate.

- Reduce the median length of stay.

- Reduce the usage of seclusion and restraints in the treatment process.

Statutory History. The 1911 Legislature provided for a state mental hospital to be located in western Kansas, and Larned was chosen as the site (KSA 76-1303). The functions of the institution were expanded by the 1937 Legislature with the enactment of KSA 76-1305, which established the State Security Hospital. The Mental Health Reform Act (KSA 39-1601 through 39-1612) authorizes SRS to contract for community mental health services and, concurrently, to reduce institutional populations.

Larned State Hospital

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|---------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Program | | | | | |
| General Administration | 2,953,445 | 2,674,840 | 1,653,843 | 1,257,134 | 2,624,942 |
| Psychiatric Services | 6,714,625 | 6,997,131 | 2,636,580 | 3,884,174 | 4,033,440 |
| State Security Hospital | 8,278,639 | 8,146,859 | 4,286,220 | 4,532,891 | 9,268,495 |
| Staff Education & Research | 212,686 | 243,329 | 133,775 | 117,580 | 227,552 |
| Ancillary Services | 4,848,984 | 4,996,012 | 4,001,563 | 1,272,853 | 4,561,405 |
| Trusts & Benefits | 73,151 | 83,935 | 84,877 | -- | 87,478 |
| Physical Plant & Central Services | 7,746,386 | 7,483,123 | 5,037,175 | 2,647,638 | 6,970,043 |
| Capital Improvements | 1,649,789 | 471,607 | -- | -- | -- |
| Total Expenditures | \$32,477,705 | \$31,096,836 | \$17,834,033 | \$13,712,270 | \$27,773,355 |
| Expenditures by Object | | | | | |
| Salaries and Wages | 24,117,833 | 24,229,334 | 11,686,446 | 13,059,829 | 21,777,821 |
| Contractual Services | 3,453,068 | 3,128,712 | 3,090,967 | 149,367 | 2,938,914 |
| Commodities | 3,162,814 | 3,267,183 | 3,027,620 | 503,074 | 3,027,620 |
| Capital Outlay | 93,413 | -- | 29,000 | -- | 29,000 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$30,827,128 | \$30,625,229 | \$17,834,033 | \$13,712,270 | \$27,773,355 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | 788 | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$30,827,916 | \$30,625,229 | \$17,834,033 | \$13,712,270 | \$27,773,355 |
| Capital Improvements | 1,649,789 | 471,607 | -- | -- | -- |
| Total Reportable Expenditures | \$32,477,705 | \$31,096,836 | \$17,834,033 | \$13,712,270 | \$27,773,355 |
| Non-expense Items | -- | -- | -- | -- | -- |
| Total Expenditures | \$32,477,705 | \$31,096,836 | \$17,834,033 | \$13,712,270 | \$27,773,355 |
| Expenditures by Fund | | | | | |
| State General Fund | 10,451,036 | 9,768,106 | 10,313,238 | 13,712,270 | 10,136,233 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | 1,649,789 | 471,607 | -- | -- | -- |
| Other Funds | 20,376,880 | 20,857,123 | 7,520,795 | -- | 17,637,122 |
| Total Expenditures | \$32,477,705 | \$31,096,836 | \$17,834,033 | \$13,712,270 | \$27,773,355 |
| FTE Positions | | | | | |
| FTE Positions | 744.8 | 725.8 | 725.8 | -- | 725.8 |
| Non-FTE Unclassified Permanent | -- | 1.0 | 1.0 | -- | 1.0 |
| Total Positions | 744.8 | 726.8 | 726.8 | -- | 726.8 |

| Performance Measures | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|--|-------------------|---------------------|---------------------|
| Median length of stay (in days)—adult inpatient | 44 | 44 | 44 |
| Median length of stay (in days)—adolescent inpatient | 67 | 67 | 67 |
| Median length of stay (in days)—children inpatient | 122 | 122 | 122 |

Legislative Coordinating Council

Mission. The Legislative Coordinating Council consists of the President of the Senate, the Speaker of the House, the Speaker Pro Tem of the House, and the majority and minority leaders of each chamber. The Council is responsible for coordinating the delivery of legislative services.

Operations. In discharging its responsibilities, the Council meets at least once a month; receives and assigns subjects for committee study; appoints most interim legislative committees, including special, select, advisory, and subcommittees of standing committees; appoints the Revisor of Statutes, the Director of the Legislative Research Department, and

the Director of Legislative Administrative Services; and approves budgets for those offices, supervises their operations, and assigns space within the Statehouse. The Council also provides general supervision and direction to the Division of Legislative Administrative Services. The cost of the operation of this office is included in the budget of the Legislative Coordinating Council.

Statutory History. The Legislative Coordinating Council was created in 1971 as the successor to the Legislative Council. Statutory authorization for the Legislative Coordinating Council is contained in KSA 46-1201 et seq.

Legislative Coordinating Council

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|-------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 538,526 | 637,070 | 644,113 | -- | 621,562 |
| Contractual Services | 16,747 | 394,420 | 69,977 | -- | 69,977 |
| Commodities | -- | -- | -- | -- | -- |
| Capital Outlay | -- | -- | -- | -- | -- |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$555,273 | \$1,031,490 | \$714,090 | \$ -- | \$691,539 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$555,273 | \$1,031,490 | \$714,090 | \$ -- | \$691,539 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$555,273 | \$1,031,490 | \$714,090 | \$ -- | \$691,539 |
| Non-expense Items | -- | -- | -- | -- | -- |
| Total Expenditures | \$555,273 | \$1,031,490 | \$714,090 | \$ -- | \$691,539 |
| Expenditures by Fund | | | | | |
| State General Fund | 555,273 | 1,031,490 | 714,090 | -- | 691,539 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | -- | -- | -- | -- | -- |
| Total Expenditures | \$555,273 | \$1,031,490 | \$714,090 | \$ -- | \$691,539 |
| FTE Positions | | | | | |
| FTE Positions | 13.0 | 13.0 | 13.0 | -- | 13.0 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 13.0 | 13.0 | 13.0 | -- | 13.0 |

Performance Measures

There are no performance measures for this agency.

Legislative Division of Post Audit

Mission. The Legislative Division of Post Audit operates under the supervision of the ten-member Legislative Post Audit Committee and is the audit arm of Kansas government. The Division's mission is to conduct audits that provide information for the Legislature and other government officials who make and carry out policies and procedures. This information helps the Legislature ensure that Kansans receive economical, efficient, and effective services that also comply with applicable requirements. It also helps the Legislature ensure the integrity of the state's financial management and control systems. All audits are conducted in accordance with generally accepted governmental auditing standards set forth by the U.S. General Accounting Office.

Operations. KSA 46-1106 requires the Division to conduct an annual financial and compliance audit of the state's general purpose financial statements prepared by the Division of Accounts and Reports in the Department of Administration. This audit is conducted by a certified public accounting firm under contract to the Division. State law also requires financial compliance audits to be conducted on the Kansas Lottery, the Kansas Racing and Gaming Commission, the Kansas Public Employees Retirement System, and the State Treasurer's Office. These audits are contracted as well. As required by law and as directed by the Legislative Post Audit Committee, additional compliance and control audits are conducted to ensure an audit presence in each state agency at least once every three years. These audits are conducted by Legislative Post Audit staff.

The Division's performance audits are done at the specific direction of the Legislative Post Audit Committee. Performance audits determine one or more of the following: (1) whether an agency's programs are being carried out in accordance with the Legislature's intent in establishing and funding the program; (2) whether the programs are being carried

out efficiently and effectively; and (3) whether a change in a program or an agency would better serve the Legislature's goal of providing quality services to Kansans in a cost-effective fashion.

Goals and Objectives. The agency will conduct and issue audits that are responsive to the needs and mandates of the Legislature. Included is the following objective:

Address the concerns and answer questions raised by legislators or legislative committees.

Post Audit will conduct audits that promote improved efficiency, effectiveness, and financial management practices in Kansas government. The following objective will be observed:

Identify, whenever possible, ways that agencies can do their jobs more efficiently or economically, ways that agencies can improve their financial management practices, and ways the Legislature can help accomplish these improvements.

The agency will conduct audits in accordance with all applicable government auditing standards through the following objective:

Adhere to all applicable government auditing standards within the time constraints imposed by the Legislature or the Legislative Post Audit Committee.

Statutory History. The Legislative Post Audit Committee and the Legislative Division of Post Audit were established in 1971. Previously, all of the state's audit activities were housed in the Executive Branch of Kansas government. Statutory provisions relating to the Committee and the Division are contained in the Legislative Post Audit Act, KSA 46-1101 et seq.

Legislative Division of Post Audit

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|--------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 1,262,047 | 1,370,585 | 1,402,432 | -- | 1,388,400 |
| Contractual Services | 342,966 | 471,729 | 396,381 | -- | 352,335 |
| Commodities | 22,873 | 21,300 | 20,300 | -- | 20,300 |
| Capital Outlay | 21,789 | 3,500 | 3,500 | -- | 3,500 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$1,649,675 | \$1,867,114 | \$1,822,613 | \$ -- | \$1,764,535 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$1,649,675 | \$1,867,114 | \$1,822,613 | \$ -- | \$1,764,535 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$1,649,675 | \$1,867,114 | \$1,822,613 | \$ -- | \$1,764,535 |
| Non-expense Items | -- | -- | -- | -- | -- |
| Total Expenditures | \$1,649,675 | \$1,867,114 | \$1,822,613 | \$ -- | \$1,764,535 |
| Expenditures by Fund | | | | | |
| State General Fund | 1,649,667 | 1,867,114 | 1,822,613 | -- | 1,764,535 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 8 | -- | -- | -- | -- |
| Total Expenditures | \$1,649,675 | \$1,867,114 | \$1,822,613 | \$ -- | \$1,764,535 |
| FTE Positions | | | | | |
| FTE Positions | 21.0 | 21.0 | 21.0 | -- | 21.0 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 21.0 | 21.0 | 21.0 | -- | 21.0 |

| Performance Measures | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|---|-------------------|---------------------|---------------------|
| Percent of audit reports issued that meet established deadlines | 100.0 % | 100.0 % | 100.0 % |
| Percent of audits resulting in substantial corrective or other action | 86.0 % | 93.0 % | 93.0 % |
| Percent of performance audits reviewed for quality control that meet auditing standards | 100.0 % | 100.0 % | 100.0 % |
| Percent of audits completed within one week of deadline | 77.0 % | 88.0 % | 88.0 % |

Legislative Research Department

Mission. The major function of the Department is to perform research and fiscal analysis for the Legislature and its committees as well as individual legislators.

Operations. The Department operates under the supervision of the Legislative Coordinating Council, which provides staff for all legislative committees.

Statutory History. The Legislative Research Department was established as a separate agency in 1971. Prior to that time, the Department had been a division of the Legislative Council (predecessor to the Legislative Coordinating Council). Statutory authorization for the Legislative Research Department is contained in KSA 46-1210 et seq.

Legislative Research Department

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|--------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 2,350,182 | 2,387,290 | 2,391,778 | -- | 2,291,936 |
| Contractual Services | 58,671 | 116,900 | 116,900 | -- | 116,900 |
| Commodities | 18,719 | 23,000 | 23,000 | -- | 23,000 |
| Capital Outlay | 1,263 | 7,000 | 7,000 | -- | 7,000 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$2,428,835 | \$2,534,190 | \$2,538,678 | \$ -- | \$2,438,836 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$2,428,835 | \$2,534,190 | \$2,538,678 | \$ -- | \$2,438,836 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$2,428,835 | \$2,534,190 | \$2,538,678 | \$ -- | \$2,438,836 |
| Non-expense Items | -- | -- | -- | -- | -- |
| Total Expenditures | \$2,428,835 | \$2,534,190 | \$2,538,678 | \$ -- | \$2,438,836 |
| Expenditures by Fund | | | | | |
| State General Fund | 2,428,835 | 2,534,190 | 2,538,678 | -- | 2,438,836 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | -- | -- | -- | -- | -- |
| Total Expenditures | \$2,428,835 | \$2,534,190 | \$2,538,678 | \$ -- | \$2,438,836 |
| FTE Positions | | | | | |
| FTE Positions | 37.0 | 37.0 | 37.0 | -- | 37.0 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 37.0 | 37.0 | 37.0 | -- | 37.0 |

Performance Measures

There are no performance measures for this agency.

Legislature

Mission. The Legislature consists of a Senate of 40 members and a House of Representatives of 125 members who enact legislation for the benefit of the state and its citizens.

Operations. The budget for this agency finances the operations of the House and the Senate, legislative

claims, and the retirement program for temporary employees of the Legislature. The budget may also contain funding for special projects or studies.

Statutory History. The legislative power of the state is vested in the Legislature as set forth in Article 2 of the *Kansas Constitution*.

Legislature

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|---------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 6,866,640 | 7,398,314 | 7,355,398 | -- | 7,291,387 |
| Contractual Services | 4,333,765 | 5,559,561 | 5,729,026 | -- | 5,379,026 |
| Commodities | 171,333 | 282,062 | 243,094 | -- | 243,094 |
| Capital Outlay | 574,534 | 90,452 | 89,400 | -- | 89,400 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$11,946,272 | \$13,330,389 | \$13,416,918 | \$ -- | \$13,002,907 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$11,946,272 | \$13,330,389 | \$13,416,918 | \$ -- | \$13,002,907 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$11,946,272 | \$13,330,389 | \$13,416,918 | \$ -- | \$13,002,907 |
| Non-expense Items | 2,919 | -- | -- | -- | -- |
| Total Expenditures | \$11,949,191 | \$13,330,389 | \$13,416,918 | \$ -- | \$13,002,907 |
| Expenditures by Fund | | | | | |
| State General Fund | 11,793,772 | 13,105,038 | 13,285,918 | -- | 12,871,907 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 155,419 | 225,351 | 131,000 | -- | 131,000 |
| Total Expenditures | \$11,949,191 | \$13,330,389 | \$13,416,918 | \$ -- | \$13,002,907 |
| FTE Positions | | | | | |
| FTE Positions | 33.0 | 33.0 | 33.0 | -- | 33.0 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 33.0 | 33.0 | 33.0 | -- | 33.0 |

Performance Measures

There are no performance measures for this agency.

State Library

Mission. The mission of the State Library is to provide library and information services to the Judicial, Legislative, and Executive Branches of state government and to provide library extension services to all residents of the state. The agency is further directed by statute to provide leadership and assistance in the development, organization, and management of local libraries and to provide specialized library services to blind or disabled persons.

Operations. The State Library was created in 1861, continuing the responsibilities of the Kansas Territorial Library. The State Librarian, who is appointed by and serves at the pleasure of the Governor, is the head of the agency. The duties of the State Librarian include administration of six programs: Administrative Services, Research Services, Local Library Development, Library Network Services, Library Services to the Blind and Disabled, and Library Information Technology.

The State Library acts as a catalyst to improve statewide library services through consultation services, coordination of local and regional library information services, and administration of grants-in-aid to public libraries and the seven regional systems of cooperating libraries. Operations are financed primarily by the State General Fund. Federal funding is from the Library Services and Technology Act.

The headquarters and central Talking Book Library for people who are blind or disabled is located in Emporia. All other programs of the State Library are located in the State Capitol.

Goals and Objectives. A goal of Research Services is to develop specialized public affairs collections

relevant to the needs of state government. An objective to pursue this goal is to:

Provide information resources that meet the needs of State Library users.

A goal of the Local Library Development Program is to offer timely and effective assistance on library and literacy issues to the people of Kansas. An objective to pursue this goal includes:

Responding within 12 hours to telephone queries; making at least 50 on-site consulting visits in FY 2003.

One goal of Network Services is to help librarians provide Kansans with easy access to research and education information. An objective to pursue this goal is to:

Increase interlibrary loans by awarding grants to develop and enhance library collection and online resources.

The goal of Talking Book Services is to provide a diversity of reading materials for users. One objective is to:

Maintain or increase the level of patron satisfaction with the service.

A goal of the Information Technology Program is to provide technologically current services, which support interlibrary cooperation and education.

Statutory History. Authority for the establishment and operations of the State Library is found in Article 25 of the *Kansas Statutes Annotated*.

State Library

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|--------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 1,046,282 | 1,099,665 | 1,112,717 | -- | 1,131,469 |
| Contractual Services | 845,839 | 983,260 | 981,749 | -- | 981,749 |
| Commodities | 118,884 | 127,830 | 129,599 | 50,000 | 65,761 |
| Capital Outlay | 129,214 | 96,554 | 92,989 | -- | 92,989 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$2,140,219 | \$2,307,309 | \$2,317,054 | \$50,000 | \$2,271,968 |
| Aid to Local Governments | 4,247,044 | 4,302,546 | 4,302,546 | 2,570,982 | 4,149,850 |
| Other Assistance | 450,165 | 393,163 | 393,163 | -- | 393,163 |
| Subtotal: Operating Expenditures | \$6,837,428 | \$7,003,018 | \$7,012,763 | \$2,620,982 | \$6,814,981 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$6,837,428 | \$7,003,018 | \$7,012,763 | \$2,620,982 | \$6,814,981 |
| Non-expense Items | 89,700 | -- | -- | -- | -- |
| Total Expenditures | \$6,927,128 | \$7,003,018 | \$7,012,763 | \$2,620,982 | \$6,814,981 |
| Expenditures by Fund | | | | | |
| State General Fund | 5,213,867 | 5,403,611 | 5,413,357 | 2,620,982 | 5,213,060 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | 170,000 | -- | -- | -- | -- |
| Children's Initiatives Fund | 70,000 | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 1,473,261 | 1,599,407 | 1,599,406 | -- | 1,601,921 |
| Total Expenditures | \$6,927,128 | \$7,003,018 | \$7,012,763 | \$2,620,982 | \$6,814,981 |
| FTE Positions | | | | | |
| FTE Positions | 27.0 | 27.0 | 27.0 | -- | 27.0 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 27.0 | 27.0 | 27.0 | -- | 27.0 |

Performance Measures

| | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|--|-------------------|---------------------|---------------------|
| Number of children participating in summer reading programs | 74,596 | 81,318 | 81,318 |
| Number of libraries participating in summer reading program | 274 | 301 | 315 |
| Number of requests for information responded to by library staff | 23,000 | 24,000 | 24,000 |
| Number of community literacy programs | 116 | 118 | 118 |

Office of the Lieutenant Governor

Mission. The mission of the Office of the Lieutenant Governor is to serve the State of Kansas. The office will assist the Governor in carrying out the executive functions of the state. In doing so, the Office of the Lieutenant Governor will represent the government and the people of the State of Kansas in an appropriate and honest manner.

Operations. The Office of the Lieutenant Governor is directed by the Lieutenant Governor who is jointly elected with the Governor to a four-year term. The Lieutenant Governor becomes the Governor when the Office of the Governor becomes vacant. If the Governor becomes disabled, the Lieutenant Governor serves as the Governor until the Governor is no longer disabled. The Governor can appoint the Lieutenant Governor as the head of a state agency. The primary purpose of the office is to provide effective

representation of the state at meetings and conferences and to carry out tasks assigned by the Governor.

Goals and Objectives. The goal of this agency is to administer the affairs of the office in a manner consistent with state law.

Statutory History. Article 1, Section 1 of the *Kansas Constitution* provides for the election of the Lieutenant Governor. Article 1, Section 11 specifies the conditions for succession to the Office of the Governor. The duties of the Lieutenant Governor are found in KSA 75-301, and the membership and duties of the State Election Board are specified in KSA 25-2203. Appointment of the Lieutenant Governor to an administrative position in a state agency is authorized by KSA 75-303. The determination of appropriate compensation is governed by KSA 75-3103.

Office of the Lieutenant Governor

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|-------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Object | | | | | |
| Salaries and Wages | 80,061 | 101,010 | 102,031 | -- | 99,307 |
| Contractual Services | 37,510 | 43,854 | 23,240 | -- | 23,240 |
| Commodities | 1,196 | 2,741 | 2,022 | -- | 2,022 |
| Capital Outlay | -- | -- | -- | -- | -- |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$118,767 | \$147,605 | \$127,293 | \$ -- | \$124,569 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | -- | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$118,767 | \$147,605 | \$127,293 | \$ -- | \$124,569 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$118,767 | \$147,605 | \$127,293 | \$ -- | \$124,569 |
| Non-expense Items | -- | -- | -- | -- | -- |
| Total Expenditures | \$118,767 | \$147,605 | \$127,293 | \$ -- | \$124,569 |
| Expenditures by Fund | | | | | |
| State General Fund | 118,767 | 147,605 | 127,293 | -- | 124,569 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | -- | -- | -- | -- | -- |
| Total Expenditures | \$118,767 | \$147,605 | \$127,293 | \$ -- | \$124,569 |
| FTE Positions | | | | | |
| FTE Positions | 3.0 | 3.0 | 3.0 | -- | 3.0 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 3.0 | 3.0 | 3.0 | -- | 3.0 |

Performance Measures

There are no performance measures for this agency.

Kansas Lottery

Mission. The mission of the Kansas Lottery is to produce the maximum amount of revenue for the State of Kansas while ensuring the integrity of all games.

Operations. Revenues for the sale of lottery tickets are credited to the Lottery Operating Fund. From this fund, transfers are made to the State Gaming Revenues Fund. This law stipulates that a minimum of 30.0 percent of lottery receipts be transferred to the State Gaming Revenues Fund. Monies from the State Gaming Revenues Fund are used to provide receipts to special revenue funds, which are in turn used to finance projects in corrections and juvenile detention. Current law provides that, first, \$80,000 is transferred to the Gambling Grant Fund. Then 85.0 percent of the balance is transferred to the Economic Development Initiatives Fund, 10.0 percent to the Correctional Institutions Building Fund, and 5.0 percent to the Juvenile Detention Facilities Fund.

General operations of the Kansas Lottery are under the direction of the Executive Director, who is appointed by the Governor subject to Senate confirmation. The five departments of the Kansas Lottery are Executive, Administration, Security, Sales, and Marketing. A five-member Kansas Lottery Commission appointed by the Governor consults with and advises the Executive Director about the operation of the state lottery, establishment of policies, and approval of an annual operating budget. The Commission is required by statute to meet at least four times each year.

Goals and Objectives. One of the goals of the Kansas Lottery is to provide increasing revenues to the State

Gaming Revenues Fund through the sale of lottery products. Objectives associated with this goal include:

Promote ongoing development and improvement of all lottery games to enhance game sales and increase revenue transfers.

Provide courteous and prompt service to internal and external customers.

Create efficiency through constant monitoring and improvement of internal procedures.

Promote continuing efforts to ensure the integrity of lottery products, personnel, retailers, and operations.

Maximize employee productivity through education, involvement, recognition, quality management, and communication.

Provide education to employees, retailers, players, and other external customers of the Kansas Lottery to enhance productivity, utilization of services, and product knowledge.

Statutory History. Article 15 of the *Kansas Constitution* was amended in 1986 to allow the operation of a state lottery. KSA 74-8701 et seq. constitute the Kansas Lottery Act. The Kansas Lottery Commission is created by KSA 74-8709. The Kansas Lottery is established by KSA 74-8703, and the powers and duties of the Executive Director are outlined in KSA 74-8704 and KSA 74-8706.

Kansas Lottery

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|---------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Program | | | | | |
| Executive | 521,319 | 603,157 | 609,999 | -- | 617,201 |
| Information Resources Management | -- | 1,088,946 | 921,407 | -- | 928,923 |
| Finance & Administrative Services | 860,539 | 1,015,237 | 1,012,377 | -- | 916,822 |
| Security | 587,724 | 556,897 | 562,819 | -- | 572,626 |
| Cost of Sales | 91,020,543 | 131,161,950 | 127,044,375 | 27,904,625 | 127,044,375 |
| Communications Division | 4,935,876 | 5,321,041 | 5,895,373 | -- | 5,927,409 |
| Total Expenditures | \$97,926,001 | \$139,747,228 | \$136,046,350 | \$27,904,625 | \$136,007,356 |
| Expenditures by Object | | | | | |
| Salaries and Wages | 3,629,048 | 3,788,747 | 3,822,550 | -- | 3,883,556 |
| Contractual Services | 16,768,768 | 17,805,360 | 15,575,855 | 1,066,625 | 15,475,855 |
| Commodities | 345,025 | 379,510 | 385,850 | -- | 385,850 |
| Capital Outlay | 146,391 | 217,611 | 60,095 | -- | 60,095 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$20,889,232 | \$22,191,228 | \$19,844,350 | \$1,066,625 | \$19,805,356 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | 59,646,089 | 117,556,000 | 116,202,000 | 26,838,000 | 116,202,000 |
| Subtotal: Operating Expenditures | \$80,535,321 | \$139,747,228 | \$136,046,350 | \$27,904,625 | \$136,007,356 |
| Capital Improvements | -- | -- | -- | -- | -- |
| Total Reportable Expenditures | \$80,535,321 | \$139,747,228 | \$136,046,350 | \$27,904,625 | \$136,007,356 |
| Non-expense Items | 17,390,680 | -- | -- | -- | -- |
| Total Expenditures | \$97,926,001 | \$139,747,228 | \$136,046,350 | \$27,904,625 | \$136,007,356 |
| Expenditures by Fund | | | | | |
| State General Fund | -- | -- | -- | -- | -- |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | -- | -- | -- | -- | -- |
| Other Funds | 97,926,001 | 139,747,228 | 136,046,350 | 27,904,625 | 136,007,356 |
| Total Expenditures | \$97,926,001 | \$139,747,228 | \$136,046,350 | \$27,904,625 | \$136,007,356 |
| FTE Positions | | | | | |
| FTE Positions | 87.8 | 87.8 | 87.8 | -- | 87.8 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 87.8 | 87.8 | 87.8 | -- | 87.8 |

Performance Measures

| | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|--|-------------------|---------------------|---------------------|
| Receipts from the sale of lottery tickets | \$186,729,958 | \$199,000,000 | \$193,500,000 |
| Receipts transferred to the State Gaming Revenues Fund | \$56,535,258 | \$59,250,000 | \$57,450,000 |
| Prize payments | \$98,136,679 | \$105,616,000 | \$104,490,000 |
| Per capita instant ticket sales | \$30.80 | \$31.20 | \$31.20 |

Norton Correctional Facility

Mission. The mission of the Norton Correctional Facility, as part of the criminal justice system, is to contribute to public safety by exercising reasonable, safe, secure, and humane control of offenders while actively assisting them to become law-abiding citizens.

Operations. Norton Correctional Facility is a medium/minimum security institution with a capacity of 817. Norton Correctional Facility comprises two units. The Central Unit is located at Norton with a capacity of 705. The East Unit, located in Stockton, is a minimum security facility that houses 112 male inmates who are in a transitional phase as they near the end of their sentences. Because of the decline in the minimum security inmate population, the Governor recommends closing the East Unit.

The facility provides work crews for maintenance at area lakes and for general clean-up, construction, renovation, or demolition projects as requested by local governments or non-profit organizations. Kansas Correctional Industries also operates a microfilming industry that employs up to 50 inmates. Inmates are offered education and vocational training, a library, recreation, medical services, mental health counseling, drug/alcohol treatment, and sex abuse treatment.

Facility operations are organized under six major programs: Administration, Security, Classification and Programs, Support Services, the East Unit in Stockton, as well as Capital Improvements. The

Administration Program provides for the overall management and operation of the facility under the direction of the Warden. The Security Program provides control and surveillance as well as crisis counseling in accordance with prescribed rules and regulations. Classification and Programs includes recreational activities and religious programming for inmates. Mental health, medical care, and food services are provided through contracts with private vendors. These contracts are coordinated and funded through the Department of Corrections. The Support Services Program includes mechanical services as well as laundry and supply operations. The Capital Improvements Program reflects capital projects that have been appropriated individually for the institution and rehabilitation and repair projects approved by the Secretary of Corrections.

Goals and Objectives. The goal of the facility is to provide for the secure and humane confinement of offenders and ensure public safety. The objectives associated with this goal are to:

- Limit the number of inmate assaults on staff.
- Prevention of inmate escape events.

Statutory History. The general statutory citation for the Department of Corrections is Article 75, Chapter 52 of the *Kansas Statutes Annotated*. Specific statutory citations include KSA 75-52,131(b), which consolidated the Norton and Stockton Correctional Facilities.

Norton Correctional Facility

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|---------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Program | | | | | |
| Administration | 1,027,849 | 894,212 | 884,455 | 117,022 | 897,077 |
| Security | 5,726,831 | 6,315,361 | 6,492,617 | 23,900 | 6,617,897 |
| Classifications & Programs | 1,107,070 | 1,174,121 | 1,188,853 | 16,300 | 1,206,074 |
| Support Services | 2,194,577 | 2,241,748 | 2,271,152 | 155,192 | 2,290,151 |
| Stockton Correctional Facility | 1,403,847 | 1,513,375 | 1,513,285 | 59,400 | 217,018 |
| Capital Improvements | 157,572 | 312,013 | -- | 1,229,706 | -- |
| Total Expenditures | \$11,617,746 | \$12,450,830 | \$12,350,362 | \$1,601,520 | \$11,228,217 |
| Expenditures by Object | | | | | |
| Salaries and Wages | 9,357,935 | 10,116,394 | 10,357,592 | 47,756 | 9,478,437 |
| Contractual Services | 1,182,040 | 1,227,451 | 1,227,451 | 2,940 | 1,078,917 |
| Commodities | 722,395 | 727,833 | 728,314 | -- | 633,858 |
| Capital Outlay | 197,676 | 67,139 | 37,005 | 321,118 | 37,005 |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$11,460,046 | \$12,138,817 | \$12,350,362 | \$371,814 | \$11,228,217 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | 128 | -- | -- | -- | -- |
| Subtotal: Operating Expenditures | \$11,460,174 | \$12,138,817 | \$12,350,362 | \$371,814 | \$11,228,217 |
| Capital Improvements | 157,572 | 312,013 | -- | 1,229,706 | -- |
| Total Reportable Expenditures | \$11,617,746 | \$12,450,830 | \$12,350,362 | \$1,601,520 | \$11,228,217 |
| Non-expense Items | -- | -- | -- | -- | -- |
| Total Expenditures | \$11,617,746 | \$12,450,830 | \$12,350,362 | \$1,601,520 | \$11,228,217 |
| Expenditures by Fund | | | | | |
| State General Fund | 11,223,060 | 11,856,762 | 12,066,169 | 1,601,520 | 10,942,404 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | 157,572 | 312,013 | -- | -- | -- |
| Other Funds | 237,114 | 282,055 | 284,193 | -- | 285,813 |
| Total Expenditures | \$11,617,746 | \$12,450,830 | \$12,350,362 | \$1,601,520 | \$11,228,217 |
| FTE Positions | | | | | |
| FTE Positions | 266.0 | 266.0 | 266.0 | 1.0 | 236.0 |
| Non-FTE Unclassified Permanent | -- | 1.0 | 1.0 | -- | 1.0 |
| Total Positions | 266.0 | 267.0 | 267.0 | 1.0 | 237.0 |

| | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|-------------------------------------|-------------------|---------------------|---------------------|
| Performance Measures | | | |
| Number of escapes | 2 | -- | -- |
| Number of inmate batteries on staff | 12 | 8 | 6 |

Osawatomie State Hospital

Mission. The mission of the Hospital is to provide inpatient psychiatric care to the people of Kansas. The Hospital is an integral part of the array of state mental health services, providing stabilization and rehabilitation to people with severe mental illness who cannot or will not access these services elsewhere.

Operations. Osawatomie State Hospital is one of three state psychiatric facilities which provide care for Kansans with mental illness. The Hospital serves citizens from 46 eastern and central Kansas counties. In addition, patients are admitted for substance abuse detoxification.

The Hospital provides inpatient services for adult patients ages 18 years and older. An interdisciplinary team uses individual, group, and family therapies; activity and work therapies; and adult education to treat the facility's clients. Medical services provides limited medical care for clients who become physically ill while in the hospital.

The General Administration Program provides the overall management of the facility. The Staff Development and Training Department provides orientation and training for entry level staff and advanced training for direct-care staff. The Ancillary Services Program provides a variety of services, including physical therapy, pharmacy, dental, educational, activity therapy, and chaplaincy. The

Physical Plant and Central Services Program operates the central heating plant; maintains buildings, grounds, and equipment; furnishes dietary and laundry services; and provides adequate supplies for other programs. The Capital Improvements Program provides and maintains buildings and equipment which meet codes and regulations as well as conserve energy.

Goals and Objectives. The primary goal of the Hospital is to treat persons with psychiatric disorders and return them to the community in the shortest time possible. The agency has established the following objectives to reach this goal:

Reduce the average length of stay of patients.

Maintain or reduce the number of readmissions.

Achieve a seclusion and restraint free environment.

Statutory History. Osawatomie State Hospital was established by the Legislature in 1863. Current statutory authority can be found in KSA 76-1201 et seq. The Mental Health Reform Act (KSA 39-1601 through 39-1612) authorizes the Department of Social and Rehabilitation Services to contract for the provision of community mental health services and, concurrently, to reduce institutional populations.

Osawatomie State Hospital

| | FY 2001 Actual | FY 2002 Gov. Estimate | FY 2003 Base Budget | FY 2003 Enhanc. Pkg. | FY 2003 Gov. Rec. |
|---|---------------------|--------------------------|------------------------|-------------------------|----------------------|
| Expenditures by Program | | | | | |
| General Administration | 2,854,322 | 2,515,046 | 2,834,208 | -- | 2,622,094 |
| Psychiatric Services | 8,802,946 | 9,726,166 | 8,771,481 | 439,968 | 8,961,910 |
| Staff Education & Research | 448,329 | 389,511 | 396,486 | -- | 401,529 |
| Ancillary Services | 3,090,150 | 2,800,484 | 2,686,928 | 125,253 | 2,713,546 |
| Medical & Surgical Services | 583,436 | 235,530 | 191,780 | -- | 191,780 |
| Trusts & Benefits | 36,751 | -- | -- | -- | -- |
| Physical Plant & Central Services | 4,478,666 | 4,309,326 | 4,313,777 | 68,227 | 4,379,681 |
| Capital Improvements | 754,867 | 15,026 | -- | -- | -- |
| Total Expenditures | \$21,049,467 | \$19,991,089 | \$19,194,660 | \$633,448 | \$19,270,540 |
| Expenditures by Object | | | | | |
| Salaries and Wages | 15,742,566 | 16,215,709 | 15,675,480 | 439,968 | 15,751,360 |
| Contractual Services | 2,355,667 | 1,789,680 | 1,758,281 | 68,227 | 1,758,281 |
| Commodities | 2,066,440 | 1,969,547 | 1,759,772 | 125,253 | 1,759,772 |
| Capital Outlay | 122,466 | -- | -- | -- | -- |
| Debt Service | -- | -- | -- | -- | -- |
| Subtotal: State Operations | \$20,287,139 | \$19,974,936 | \$19,193,533 | \$633,448 | \$19,269,413 |
| Aid to Local Governments | -- | -- | -- | -- | -- |
| Other Assistance | 7,461 | 1,127 | 1,127 | -- | 1,127 |
| Subtotal: Operating Expenditures | \$20,294,600 | \$19,976,063 | \$19,194,660 | \$633,448 | \$19,270,540 |
| Capital Improvements | 754,867 | 15,026 | -- | -- | -- |
| Total Reportable Expenditures | \$21,049,467 | \$19,991,089 | \$19,194,660 | \$633,448 | \$19,270,540 |
| Non-expense Items | -- | -- | -- | -- | -- |
| Total Expenditures | \$21,049,467 | \$19,991,089 | \$19,194,660 | \$633,448 | \$19,270,540 |
| Expenditures by Fund | | | | | |
| State General Fund | 4,134,446 | 5,595,467 | 5,905,464 | 633,448 | 5,958,603 |
| Water Plan Fund | -- | -- | -- | -- | -- |
| EDIF | -- | -- | -- | -- | -- |
| Children's Initiatives Fund | -- | -- | -- | -- | -- |
| Building Funds | 739,850 | 15,026 | -- | -- | -- |
| Other Funds | 16,175,171 | 14,380,596 | 13,289,196 | -- | 13,311,937 |
| Total Expenditures | \$21,049,467 | \$19,991,089 | \$19,194,660 | \$633,448 | \$19,270,540 |
| FTE Positions | 477.4 | 477.4 | 477.4 | -- | 477.4 |
| Non-FTE Unclassified Permanent | -- | -- | -- | -- | -- |
| Total Positions | 477.4 | 477.4 | 477.4 | -- | 477.4 |

| Performance Measures | FY 2001 Actual | FY 2002 Estimate | FY 2003 Estimate |
|---|-------------------|---------------------|---------------------|
| Average daily census | 177 | 175 | 160 |
| Percent of total patient hours in seclusion | .12 % | .10 % | .08 % |
| Percent of admissions resulting from readmissions | 6.4 % | 6.5 % | 5.0 % |